
NEW YORK STATE **REGISTER**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on September 27, 2020
- the 45-day period expires on September 12, 2020
- the 30-day period expires on August 28, 2020

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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99 Washington Avenue
Suite 650
Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Education Department

EMERGENCY RULE MAKING

Military Ballots for School District and School District Public Library Elections, Budget, and Referenda

I.D. No. EDU-11-20-00015-E

Filing No. 429

Filing Date: 2020-07-14

Effective Date: 2020-07-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 122 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 260, 260-a, 305, 2012, 2014, 2018-a, 2018-b, 2018-d as added by L. 2019, ch. 489

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The proposed addition of Part 122 to the Regulations of the Commissioner of Education is necessary to implement the provision of Chapter 489 of the Law of 2019 (Chapter 489) which amended the Education Law, effective November 11, 2019, providing military ballots for school district and school district public library elections, budget, and referenda.

The proposed addition of Part 122 to the Commissioner's regulations implements Chapter 489 and clarifies provisions relating to the transmission of military voter registration, ballot applications, and ballots; the form of such voter registration, ballot applications, and ballots; the procedures for voting by military ballot; language for the military ballot voter affirmation; and the canvassing of military ballots.

The proposed amendment was presented to the P-12 Education Com-

mittee for recommendation and to the Full Board for adoption as an emergency action at the March 2020 meeting of the Board of Regents, effective March 3, 2020. The proposed amendment was adopted as a 2nd emergency action at the May 2020 meeting of the Board of Regents effective May 29, 2020. The proposed amendment is being presented for adoption as a permanent rule at the July 2020 Regents meeting, however pursuant to SAPA § 203(1), the earliest effective date of the permanent rule is July 29, 2020, the date a Notice of Adoption will be published in the State Register. Since the May emergency rule will expire on July 27, 2020, emergency action is necessary for the preservation of the general welfare in order to immediately conform the Regulations of the Commission of Education to Chapter 489, which became effective November 11, 2019 to ensure that procedures and standards are in place for military voters so that they are able to vote by military ballot in school district and school district public library elections, budgets, and referenda as they are entitled to under the provisions of such chapter and to ensure that the emergency action taken at the May 2020 meeting remains in effect until the proposed rule can be permanently adopted.

Subject: Military Ballots for School District and School District Public Library Elections, Budget, and Referenda.

Purpose: To implement chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law.

Substance of emergency rule (Full text is posted at the following State website: <http://www.counsel.nysed.gov/rules/full-text-indices>): Chapter 489 of the Laws of 2019 ("Chapter 489") added section 2018-d to the Education Law which provides military voters the opportunity to vote by military ballots for school district and school district public library elections, budget, and referenda. Previously, persons serving in the military, including spouses and dependents, could register as military voters in New York State entitling them to receive military ballot for all federal, State, and local elections. Chapter 489 extends such provisions to school district and school district public library elections, budget, and referenda.

A summary of the terms of the proposed Part 122 are as follows:

- Section 122.1 provides for the applicability of this Part;
- Section 122.2 provides definitions for specific terms for this Part;
- Section 122.3 provides clarification on the transmission of military voter registration, ballot applications, and ballots including methods of transmission (mail, fax, or e-mail) and time frames for transmission;
- Section 122.4 provides clarification regarding military voter registration, including what, at a minimum, must be included on personal registration applications forms for school districts with a system for the personal registration for voters;
- Section 122.5 provides clarification regarding military ballot applications and the form of such ballot applications;
- Section 122.6 provides clarification on military ballots, including what shall be included on such ballots and the validity of such ballots. This section also requires school districts and school district public libraries, as applicable to prepare a list of military voters to whom military ballots have been issued and requires such list to be made available for public inspection;
- Section 122.7 provides clarification on the procedures for voting by military ballot, including how to mark the ballot and how to secure the ballot and transmit it back to the school district or school district public library, as applicable;
- Section 122.8 provides the language for the military ballot voter affirmation; and
- Section 122.9 provides clarification on the canvassing of military ballots by school districts and school district public libraries, as applicable.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-11-20-00015-EP, Issue of March 18, 2020. The emergency rule will expire September 11, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement**1. STATUTORY AUTHORITY:**

Education Law section 101 (not subdivided) charges the Department with the general management and supervision of all public schools and of all the educational work of the state.

Education Law section 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law section 260 provides that public libraries authorized to be established by action of the voters or their representatives shall be managed by trustees.

Education Law section 260-a requires every meeting of a board of trustees of a public library system, cooperative library system, public library or free association library to be open to the general public.

Education Law section 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law section 2012 enumerates the qualifications of voters at school district meetings.

Education Law section 2014 provides that in any union free school district, the board of education may provide for the personal registration of voters at school meetings or elections.

Education Law section 2018-a requires the board of education of school district which elect school board members by ballot and provide for personal registration of voters, to provide for absentee ballots for the election of members of the board of education and school district public library trustees, the adoption of the annual budget and school district public library budget and referenda in accordance with the provisions of such section.

Education Law section 2018-b requires school districts which do not provide for the personal registration of voters for school district meetings and elections but which elect trustees or school board members by ballot to provide for absentee ballots for the election of the trustees or members of the board and school district public library trustees, the adoption of the annual budget and school district public library budget and referenda.

Education Law section 2018-c provides for additional voting procedures that school districts may require.

Education Law section 2018-d as added by chapter 489 of the Laws of 2019 provides for military ballots for use by military voters to vote in school district and school district public library elections, budget, and referenda.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the purpose of the proposed addition of Part 122 to the Regulations of the Commissioner of Education is to implement the provisions of Chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law providing for military ballots for use by military voters for school district and school district public library elections, budget, and referenda.

3. NEEDS AND BENEFITS:

Chapter 489 of the Laws of 2019 ("Chapter 489") added section 2018-d to the Education Law which provides military voters the opportunity to vote by military ballots for school district and school district public library elections, budget, and referenda. Previously, persons serving in the military, including spouses and dependents, could register as military voters in New York State entitling them to receive military ballot for all federal, State, and local elections. Chapter 489 extends such provisions to school district and school district public library elections, budget, and referenda.

At the request of the New York State School Boards Association to provide clarity to the field on Chapter 489, the proposed addition of Part 122 of the Commissioner's regulation provides clarification on various provisions of such Chapter:

- Section 122.3 provides clarification on the transmission of military voter registration, ballot applications, and ballots including methods of transmission (mail, fax, or e-mail) and time frames for transmission;
- Section 122.4 provides clarification regarding military voter registration, including what, at a minimum, must be included on personal registration applications forms for school districts with a system for the personal registration for voters;
- Section 122.5 provides clarification regarding military ballot applications and the form of such ballot applications;
- Section 122.6 provides clarification on military ballots, including what shall be included on such ballots and the validity of such ballots. This section also requires school districts and school district public libraries, as applicable to prepare a list of military voters to whom military ballots have been issued and requires such list to be made available for public inspection;
- Section 122.7 provides clarification on the procedures for voting by

military ballot, including how to mark the ballot and how to secure the ballot and transmit it back to the school district or school district public library, as applicable;

- Section 122.8 provides the language for the military ballot voter affirmation; and
- Section 122.9 provides clarification on the canvassing of military ballots by school districts and school district public libraries, as applicable.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government beyond those inherent in statute.

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment requires school boards of education and school district public libraries, as applicable, to provide for military voter registration, military ballot applications, and military ballots consistent with the provisions of Chapter 489.

6. PAPERWORK:

The proposed amendment requires school boards of education and school district public libraries, as applicable, to provide for military voter registration, military ballot applications, and military ballots consistent with the provisions of Chapter 489.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 489. There were no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

A Notice of Emergency Adoption and Proposed Rule Making will be published in the State Register on March 18, 2020. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its July 2020 meeting. If adopted at the July 2020 meeting, the proposed amendment will become effective on July 29, 2020.

Regulatory Flexibility Analysis**(a) Small businesses:**

The proposed rule relates to military ballots for use by military voters for school district and school district public library elections, budget, and referenda. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:**1. EFFECT OF RULE:**

The purpose of the proposed addition of Part 122 to the Regulations of the Commissioner of Education is to implement the provisions of Chapter 489 of the Laws of 2019 (Chapter 489) which added section 2018-d to the Education Law providing for military ballots for use by military voters for school district and school district public library elections, budget, and referenda. The proposed amendment applies to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed addition of Part 122 of the Regulations of the Commissioner of Education requires school boards of education and school district public libraries, as applicable, to provide for military voter registration, military ballot applications, and military ballots consistent with the provisions of Chapter 489.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendment to the Regulations of the Commissioner of

Education implements Chapter 489 by providing for military ballots for use by military voters for school district and school district public library elections, budget, and referenda. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

Chapter 489 of the Laws of 2019 ("Chapter 489") added section 2018-d to the Education Law which provides military voters the opportunity to vote by military ballots for school district and school district public library elections, budget, and referenda. Previously, persons serving in the military, including spouses and dependents, could register as military voters in New York State entitling them to receive military ballot for all federal, State, and local elections. Chapter 489 extends such provisions to school district and school district public library elections, budget, and referenda.

At the request of the New York State School Boards Association to provide clarity to the field on Chapter 489, the proposed addition of Part 122 of the Commissioner's regulation provides clarification on various provisions of such Chapter:

- Section 122.3 provides clarification on the transmission of military voter registration, ballot applications, and ballots including methods of transmission (mail, fax, or e-mail) and time frames for transmission;
- Section 122.4 provides clarification regarding military voter registration, including what, at a minimum, must be included on personal registration applications forms for school districts with a system for the personal registration for voters;
- Section 122.5 provides clarification regarding military ballot applications and the form of such ballot applications;
- Section 122.6 provides clarification on military ballots, including what shall be included on such ballots and the validity of such ballots. This section also requires school districts and school district public libraries, as applicable to prepare a list of military voters to whom military ballots have been issued and requires such list to be made available for public inspection;
- Section 122.7 provides clarification on the procedures for voting by military ballot, including how to mark the ballot and how to secure the ballot and transmit it back to the school district or school district public library, as applicable;
- Section 122.8 provides the language for the military ballot voter affirmation; and
- Section 122.9 provides clarification on the canvassing of military ballots by school districts and school district public libraries, as applicable.

3. COMPLIANCE COSTS:

The proposed amendment does not impose any additional costs on entities in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to implement Chapter 489 to provide for military ballots for use by military voters for school district and school district public library election, budget, and referenda. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, including those located in rural areas.

Job Impact Statement

The purpose of the proposed addition of section 122 to the Regulations of the Commissioner of Education is to implement Chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law providing for military ballots for use by military voters in school district and school district public library elections, budget, and referenda. Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment since publication of the last assessment of public comment.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Addressing the COVID-19 Crisis and Planning for the Reopening of Schools

I.D. No. EDU-30-20-00004-EP

Filing No. 433

Filing Date: 2020-07-14

Effective Date: 2020-07-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 80-5.3, 80-5.4, 100.1, 100.2, 100.5, 100.6, 100.10, 117.3, 136.3, 145-2.1, 151-1.3, 154-2.2, 154-2.3, 156.3 and 200.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 215, 305, 308, 309, 602, 661, 905, 1709, 2117, 2854, 3001, 3004, 3009, 3204, 3205, 3208, 3212, 3214, 3602, 3602-c, 3602-e, 3604, 3623, 3713, 4401, 4403 and 4410

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020 the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis including orders directing the closure of schools for the remainder of the school year and summer school and directing non-essential work personnel to work from home. In response the Department adopted emergency regulations at the April, May, and June 2020 Board of Regents Meetings to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to prepare for the reopening of schools, it is necessary for the Department to adopt further regulatory amendments. The proposed amendments provide flexibility related to the following:

- Academic Intervention Services (AIS) determinations;
- Home instruction programs deadline for submission of the written notice of intention to instruct at home;
- School health screening waivers;
- Definition of the unity of study;
- Career development and occupational studies work-based learning experience hours;
- Science laboratory experience;
- Diagnostic screening for new school entrants;
- Psychological evaluations for students referred for being suspected of having a disability;
- Student observation for an initial evaluation of a student suspected of having a disability;
- Incidental teaching;
- Substitute teaching;
- Shorter semester for institutions of higher education;
- Class sizes for 3-year olds and 4-year olds;
- Process for initial enrollment, reentry identification and parent notification, orientation, and placement of English Language Learners (ELLs), identification of ELLs with inconsistent/interrupted formal education, and the timeline for review of ELLs identification; and
- School bus drills.

Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendment could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), is the October 2020 Regents meeting. However, because the COVID-19 crisis is presently affecting the State of New York, emergency action is necessary for the preservation of public health and the general welfare in order to immediately provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to prepare for the reopening of schools.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the October 2020 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule makings. However, since the emergency regulation will expire before the October meeting, it is anticipated that an

additional emergency action will be presented for adoption at the September 2020 Regents meeting.

Subject: Addressing the COVID-19 crisis and planning for the reopening of schools.

Purpose: To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools.

Substance of emergency/proposed rule (Full text is posted at the following State website: <http://www.counsel.nysed.gov/rules/full-text-indices>):

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools for the remainder of the school year and summer school and directing nonessential work personnel to work from home. In response, the Department adopted emergency regulations at the April, May and June 2020 Board of Regents Meetings to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, and to plan for the reopening of schools the Department is proposing further emergency regulatory amendments as follows:

- Academic Intervention Services
 - o Section 100.2(ee) of the Commissioner's regulations is amended to provide that schools are not required to conduct the two-step identification prescribed for identification of students to receive AIS for the 2020-21 school year due to the cancellation of State assessments for the 2019-20 school year due to the COVID-19 crisis.
- Home Instruction
 - o Section 100.10(b) of the Commissioner's regulations is amended to provide that for the 2020-21 school year, the home instruction program deadline for submission of the written notice of intention to instruct at home is extended from July 1 until August 1, 2020 due to the COVID-19 crisis.
- Health Screening Waiver
 - o Section 136.3(e) of the Commissioner's regulations is amended to provide that hearing, vision, and scoliosis screenings required to be provided by public schools are waived for the 2020-21 school year due to the COVID-19 crisis, unless the screening is otherwise deemed necessary.
- Curriculum and Instruction
 - o Unit of Study: The definition of "Unit of study" in section 100.1(a) of the Commissioner's regulations is amended to provide that "equivalent" shall mean at least 180 minutes of instructional time per week for instruction delivered in a traditional face to face model or through alternative instructional experiences, including but not limited to through digital technology or blended learning, that represents standards-based learning under the guidance and direction of an appropriately certified teacher. Instructional experiences shall include, but not be limited to: meaningful and frequent interaction with an appropriately certified teacher; academic and other supports designed to meet the needs of the individual student and instructional content that reflects consistent academic expectations as in-person instruction. Any alternative instructional experience must include meaningful feedback on student assignments and methods of tracking student engagement. This amendment is necessary so that schools may plan for various types of instructional models, including hybrid models, because of contingencies that may make it impossible for a specific amount of face to face contact between teachers and students due to the COVID-19 crisis.
 - o CDOS: Section 100.6(b) of the Commissioner's regulations is amended to provide that a career development and occupational studies (CDOS) commencement credential may be awarded to students exiting school in the 2020-2021 school year who are unable to complete all of the 54 hours of documented school supervised work-based learning experiences required and otherwise meet all other requirements for such credential, where such schools are unable to provide students a certain portion of their scheduled work-based learning experience in the 2020-2021 school year due to the COVID-19 crisis.
 - o Science laboratory experience: Section 100.5(b) of the Commissioner's regulations is amended to provide that for the 2020-2021 school year as a result of the COVID-19 crisis the 1,200 minutes of lab experience may be met through a combination of hands-on and simulated laboratory experience.
 - o Diagnostic screening: Section 117.3(b) of the Commissioner's regulations is amended to provide that for the 2020-21 school year diagnostic screening for new entrants and students with low test scores shall be conducted as soon as practicable due to the COVID-19 crisis rather than by December 1st of the school year.
- Special Education

o Section 200.4(b) of the Commissioner's regulations is amended to provide that an individual psychological evaluation is only a required component for an initial evaluation when it is determined to be necessary by a school psychologist due to the COVID-19 crisis when schools are closed pursuant to an Executive Order of the Governor and students are learning remotely.

o Additionally, such section is amended to require an observation of a student as a required component for an initial evaluation only when it is determined appropriate by the Committee on Preschool Special Education or Committee on Special Education due to the COVID-19 crisis when schools are closed pursuant to an Executive Order of the Governor and students are learning remotely.

o Classroom observations would continue to be a required component of an initial evaluation for students suspected of having a learning disability.

• Higher Education

o Incidental teaching: Section 80-5.3 of the Commissioner's regulations is amended to allow a superintendent of schools to assign certified teachers to teach a subject not covered by their certificate for a period not to exceed ten classroom hours a week, when no certified or qualified teachers are available after extensive and documented recruitment, during the time period of the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis.

o Substitute teacher: Section 80-5.4 of the Commissioner's regulations is amended to allow substitute teachers who do not hold a valid certificate and who are not working towards certification but who holds a high school diploma or its equivalent, to be employed by the school district or BOCES beyond the 40-day limit, for up to 90 days in extreme circumstances and for more than 90 days in rare circumstances, under specified conditions during the time period of the State of Emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis.

o Shorter semester: The definitions of full-time and part-time student for Tuition Assistance Programs (TAP) in Section 145-2.1 of the Commissioner's regulations is amended to provide that for the 2020-21 academic year students shall be considered full-time and part-time where the student is unable to enroll in credit-bearing courses for at least 15 weeks for a semester due to the COVID-19 crisis, provided that such student is enrolled in such credit-bearing courses for a minimum of 12 weeks for a semester and still meets the semester hour requirements.

• Early Learning

o Section 151-1.3(d) of the Commissioner's regulations is amended to provide that due to the COVID-19 crisis for the 2020-21 school year for school districts outside of NYC for 3-year old students, with a class size of 7 children or less there must be one teacher assigned to each class and for 4-year old students, with a class size of 8 children or less, there must be one teacher assigned to each class. For the City School District of the City of New York for 3-year old students, with a class size of 10 children or less, there must be one teacher assigned to each class and for 4-year old students, with a class size of 12 children or less there must be one teacher assigned to each class.

• English Language Learners

o Section 154-2.2(y) of the Commissioner's regulations is amended to provide that day(s) during a school closure ordered pursuant to an Executive Order(s) of the Governor pursuant to a State of emergency for the COVID-19 crisis shall not count towards the calculation of less than twelve months for identifying English language learner (ELL) students with inconsistent/interrupted formal education.

o Section 154-2.3(a)(2) of the Commissioner's regulations is amended to provide that the individual interview for the identification process to determine if a student is an English language learner is waived where a school district can document that video conferencing was used to remotely conduct an individual interview during a school closure ordered pursuant to an Executive Order(s) of the Governor pursuant to a State of emergency for the COVID-19 crisis. In such cases qualified personnel will review the previously completed Home Language Questionnaire with the parent or person in parental relation.

o Section 154-2.3(b) of the Commissioner's regulations is amended to provide that due to the COVID-19 crisis for the 2020-2021 school year, the 45-day timeline for a school district to initiate a review of a determination made in the initial or reentry identification process for English language learners after receipt of a written request is extended to 65 days from the beginning of the 2020-2021 school year for any student that was either newly enrolled during the COVID-19 closures in the 2019-2020 school year, Summer 2020, or within the first 20 days of the 2020-2021 school year.

o Section 154-2.3(g) of the Commissioner's regulations is amended to provide that:

■ Due to the COVID-19 crisis for the 2020-2021 school year, the process for initial enrollment or reentry identification and parent notification, orientation, and placement shall be completed such that a student

who was either newly enrolled during the COVID-19 closures in the 2019-2020 school year, Summer 2020, or the first 20 days of the 2020-2021 school year is placed in either a Bilingual Education or English as New Language program within 30 school days after commencement of the 2020-2021 school year for school districts with 150 or more ELLs, or where ELLs 10% or more of the district's population.

■ School districts with 149 or fewer ELLs or where ELLs constitute less than 10% of the district's population may seek an exemption from the English language learner identification timeline for students who are newly enrolled during the COVID-19 closures in the 2019-2020 school year, Summer 2020, or the first 20 days of the 2020-2021 school year on an application form in a timeframe prescribed by the Commissioner; provided that such school demonstrates sufficient need for such exemption notwithstanding the size and percentage of its ELL population.

• **Pupil Transportation**

o Section 156.3(f) is amended to provide that for the first school bus drill to be conducted during the first seven days of schools, such seven days shall exclude any days where school is closed pursuant to an Executive Order of the Governor for the COVID-19 crisis for the Fall term for 2020-2021 school year.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 11, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 148EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Julia Patane, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 148EB, Albany, NY 12234, (518) 474-6400, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. **STATUTORY AUTHORITY:**

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 208 empowers the Regents to confer diplomas and degrees as they deem proper and to establish examination as to attainments in learning, and award and confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the prescribed requirements.

Education Law § 209 provides that the Regents shall establish, in secondary institutions, examinations in studies furnishing a suitable standard of graduation therefrom and of admission to colleges, and certificates or diplomas shall be conferred by the Regents to students who satisfactorily pass such examinations.

Education Law § 215 empowers the Regents and the Commissioner to visit, examine into and inspect, any institution in the University and any school or institution under the educational supervision of the State and may require reports therefrom giving information as the Regents or the Commissioner prescribe.

Education Law § 305 establishes the general powers and duties of the Commissioner of Education.

Education Law § 308 authorizes the Commissioner to enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the State or any rule or direction of the Regents.

Education Law § 309 charges Commissioner with general supervision of boards of education and their management and conduct of all departments of instruction.

Education Law § 602 prescribes the duties of the commissioner of education.

Education Law § 661 prescribed the eligibility requirements and conditions governing general awards, academic performance awards and student loans.

Education Law § 905 requires the director of school health services of each school district to conduct screening examinations of vision, hearing, and scoliosis of all students at such times and as defined in the Commissioner's regulations, and at any time deemed necessary.

Education Law § 1709 enumerates the powers and duties of boards of education of union free school districts.

Education Law § 3001 prescribes the qualifications of teachers.

Education Law § 3004 directs the Commissioner of Education to prescribe regulations governing the examination and certification of teachers employed in all public schools of the State.

Education Law § 3009 provides that unqualified teachers shall not be paid from school moneys.

Education Law § 3204 provides that a minor required to attend upon instruction may attend at a public school or elsewhere.

Education Law § 3205 provides that each minor from six to sixteen years of age in each school district or on an Indian reservation shall attend upon full time instruction.

Education Law § 3208 provides for the screening of new entrants to school and the prohibition against mandatory medication.

Education Law § 3212 defines a person in parental relation and their duties.

Education Law § 3214 prescribes procedures and requirements for student placement, suspensions and transfers.

Education Law § 3602 provides for the apportionment of public moneys to school districts employing eight or more teachers.

Education Law § 3602-c provides for the apportionment of moneys to school district for the provision of services to pupils attending nonpublic schools.

Education Law § 3602-e authorizes and directs the Commissioner of Education to award grants for the establishment and implementation of a prekindergarten program to serve eligible children.

Education Law § 3604 enumerates conditions under which districts are entitled to the apportionment of state funds.

Education Law § 3623(1)(c) provides that the first school bus drill, of the three drills per school year, shall be conducted during the first seven days of sessions of the fall term.

Education Law § 3713 authorizes and empowers the State, any school district, or its trustees or board of education to accept appropriations from the federal government for educational purposes.

Education Law § 4401 provides definitions relating to children with handicapping conditions.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement the such statute.

2. **LEGISLATIVE OBJECTIVES:**

The proposed amendments are consistent with the above statutory authority and are necessary to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. The purpose of the proposed amendment is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to prepare for the reopening of schools.

3. **NEEDS AND BENEFITS:**

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools for the remainder of the school year and summer school and directing nonessential work personnel to work from home. In response, the Department adopted emergency regulations at the April, May and June 2020 Board of Regents Meetings to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, and to plan for the reopening of schools the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Academic Intervention Services (AIS) determinations;
- Home instruction programs deadline for submission of the written notice of intention to instruct at home;
- School health screening waivers;
- Definition of the unity of study;
- Career development and occupational studies work-based learning experience hours;
- Science laboratory experience;
- Diagnostic screening for new school entrants;
- Psychological evaluations for students referred for being suspected of having a disability;
- Student observation for an initial evaluation of a student suspected of having a disability;

- Incidental teaching;
- Substitute teaching;
- Shorter semester for institutions of higher education;
- Class sizes for 3-year olds and 4-year olds;
- Process for initial enrollment, reentry identification and parent notification, orientation, and placement of English Language Learners (ELLs), identification of ELLs with inconsistent/interrupted formal education, and the timeline for review of ELLs identification; and

- School bus drills.

4. COSTS:

- a. Costs to State government: The amendments do not impose any costs on State government.
- b. Costs to local government: The amendments do not impose any costs on local government.
- c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.
- d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted at the July 2020 Regents meeting, the emergency rule will become effective July 14, 2020. It is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its October 2020 meeting. If adopted at the October 2020 meeting, the proposed amendment will become effective on November 4, 2020. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule relates to providing flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. The proposed amendments apply to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools for the remainder of the school year and summer school and directing nonessential work personnel to work from home. In response, the Department adopted emergency regulations at the April, May and June 2020 Board of Regents Meetings to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, and to plan for the reopening of schools the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Academic Intervention Services (AIS) determinations;
- Home instruction programs deadline for submission of the written notice of intention to instruct at home;
- School health screening waivers;
- Definition of the unity of study;

- Career development and occupational studies work-based learning experience hours;
- Science laboratory experience;
- Diagnostic screening for new school entrants;
- Psychological evaluations for students referred for being suspected of having a disability;

- Student observation for an initial evaluation of a student suspected of having a disability;

- Incidental teaching;
- Substitute teaching;
- Shorter semester for institutions of higher education;
- Class sizes for 3-year olds and 4-year olds;
- Process for initial enrollment, reentry identification and parent notification, orientation, and placement of English Language Learners (ELLs), identification of ELLs with inconsistent/interrupted formal education, and the timeline for review of ELLs identification; and

- School bus drills.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. Subsequently, the Governor issued additional Executive Orders in response to the COVID-19 crisis, including orders directing the closure of schools for the remainder of the school year and summer school and directing nonessential work personnel to work from home. In response, the Department adopted emergency regulations at the April, May and June 2020 Board of Regents Meetings to address numerous issues resulting from the interruptions caused by the COVID-19 crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, and to plan for the reopening of schools the Department is proposing further emergency regulatory amendments providing flexibility related to the following:

- Academic Intervention Services (AIS) determinations;
- Home instruction programs deadline for submission of the written notice of intention to instruct at home;
- School health screening waivers;
- Definition of the unity of study;
- Career development and occupational studies work-based learning experience hours;

- Science laboratory experience;
- Diagnostic screening for new school entrants;
- Psychological evaluations for students referred for being suspected of having a disability;

- Student observation for an initial evaluation of a student suspected of having a disability;

- Incidental teaching;
- Substitute teaching;
- Shorter semester for institutions of higher education;
- Class sizes for 3-year olds and 4-year olds;
- Process for initial enrollment, reentry identification and parent notification, orientation, and placement of English Language Learners (ELLs), identification of ELLs with inconsistent/interrupted formal education, and the timeline for review of ELLs identification; and

- School bus drills.

The proposed amendment provides flexibility for certain regulatory requirements during the COVID-19 crisis and plans for the reopening of schools. Thus, the proposed amendment does not adversely impact entities

in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed amendments is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to plan for the reopening of schools. The proposed amendment provides flexibility related to the following:

- Academic Intervention Services (AIS) determinations;
- Home instruction programs deadline for submission of the written notice of intention to instruct at home;
- School health screening waivers;
- Definition of the unity of study;
- Career development and occupational studies work-based learning experience hours;
- Science laboratory experience;
- Diagnostic screening for new school entrants;
- Psychological evaluations for students referred for being suspected of having a disability;
- Student observation for an initial evaluation of a student suspected of having a disability;
- Incidental teaching;
- Substitute teaching;
- Shorter semester for institutions of higher education;
- Class sizes for 3-year olds and 4-year olds;
- Process for initial enrollment, reentry identification and parent notification, orientation, and placement of English Language Learners (ELLs), identification of ELLs with inconsistent/interrupted formal education, and the timeline for review of ELLs identification; and
- School bus drills.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

NOTICE OF ADOPTION

Update Provisions Relating to Pupil Transportation and Provide Flexibility in Response to the COVID-19 Crisis

I.D. No. EDU-52-19-00007-A

Filing No. 434

Filing Date: 2020-07-14

Effective Date: 2020-07-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 156.1, 156.2, 156.3, 156.4, 156.5 and 156.12 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305(14)(d), 1604(23), 1709, 3602(7)(a)-(b), (d), 3624, 3625, 3635(4), 3637; Vehicle and Traffic Law, sections 509-g and 1229-d

Subject: Update Provisions Relating to Pupil Transportation and Provide Flexibility in response to the COVID-19 crisis.

Purpose: To update provisions relating to pupil transportation and to provide flexibility in response to the COVID-19 crisis.

Text or summary was published in the December 24, 2019 issue of the Register, I.D. No. EDU-52-19-00007-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on April 22, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Financial Transparency and Data Reporting Requirements for Charter Schools

I.D. No. EDU-04-20-00006-A

Filing No. 431

Filing Date: 2020-07-14

Effective Date: 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 119.3 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 206, 207, 305 and 2857

Subject: Financial Transparency and Data Reporting Requirements for Charter Schools.

Purpose: To establish criteria and procedures relating to charter financial transparency reporting to ensure compliance with ESSA.

Text or summary was published in the January 29, 2020 issue of the Register, I.D. No. EDU-04-20-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

1. COMMENT:

The deadline for the new reporting guidelines does not appropriately conform to the deadline for the annual report.

DEPARTMENT RESPONSE:

The proposed regulation does not change current reporting deadlines. The annual report will continue to have an August 1 deadline, while maintaining the November fiscal reporting requirements currently in place.

2. COMMENT:

The timetable for implementation is not realistic, and implementation should be delayed until feedback is provided by a working group.

DEPARTMENT RESPONSE:

The Every Student Succeeds Act (ESSA) requires that every charter school submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds," beginning with actual expenditures from the 2019-20 school year.

The Department initially began informing schools of the proposed regulatory changes in 2019, more than one year before implementation. Town halls and meetings were held to garner feedback from schools regarding the proposal. A charter school ESSA Fiscal Transparency working group was also formed early in 2020. Members of this group, representative of the charter sector, including schools from across the State, advocacy organizations, and authorizers, meet on a regular basis to develop guidance documents for charter schools and provide input to NYSED regarding ESSA Fiscal Transparency implementation.

3. COMMENT:

Charter-specific reporting templates and guidance are needed prior to the reporting deadline.

DEPARTMENT RESPONSE:

Charter-specific guidance and template will be issued prior to the reporting deadline. The reporting template used will ensure that the data submitted by charter schools is easily comparable to the data submitted by district schools. The charter school ESSA Fiscal Transparency working group has been meeting on a regular basis to develop this guidance.

4. COMMENT:

The proposed regulation improperly exceeds the statutory reporting requirements by giving the Commissioner wide latitude regarding the form and scope of the report.

DEPARTMENT RESPONSE:

Education Law § 2857 gives the Commissioner wide latitude to determine the form and scope of the annual report, to wit, "The annual report shall be in such form as shall be prescribed by the commissioner and shall include at least the following components..." Emphasis added.

5. COMMENT:

Terms contained in the proposed regulation lack specificity and require clarification, and the reporting requirements are duplicative.

DEPARTMENT RESPONSE:

A Charter-specific template and guidance will be issued prior to the reporting deadline. The reporting template will make data submitted by charter schools easily comparable to the data submitted by district schools. The Charter school financial transparency reporting may lead to very limited cases of duplicative reporting with other charter school reporting requirements.

NOTICE OF ADOPTION

Local Government Records Management

I.D. No. EDU-11-20-00014-A

Filing No. 430

Filing Date: 2020-07-14

Effective Date: 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 185.5; addition of section 185.15 to Title 8 NYCRR.

Statutory authority: Education Law, section 207; Arts and Cultural Affairs Law, sections 57.9, 57.19 and 57.25

Subject: Local Government Records Management.

Purpose: To issue a new records retention and disposition schedule LGS-1.

Substance of final rule: The Commissioner of Education proposes to amend Section 185.5 and to add section 185.15 to the Regulations of the Commissioner of Education.

The proposed amendment to section 185.5 and addition of section 185.15 of the Regulations of the Commissioner of Education provides for a new records retention and disposition schedule for use by cities, towns, villages, fire districts, counties, school districts, boards of cooperative educational services, teacher resource and computer training centers, county vocational education and extension boards, and other local governments (LGS-1). The proposed amendment would allow cities, towns, villages, and fire districts to continue to use schedule MU-1, other local governments to continue to use schedule MI-1, school districts, boards of cooperative educational services, teacher resource and computer training centers and county vocational education and extension boards to continue to use schedule ED-1, and counties to continue to use schedule CO-2 until January 1, 2021.

Additionally, the proposed amendment requires that no later than January 1, 2021 the new records retention schedule LGS-1 shall be adopted by the governing body of each affected local government. Upon adoption, schedule LGS-1 shall supersede retention and disposition schedules MU-1, MI-1, ED-1, and CO-2.

Final rule as compared with last published rule: Nonsubstantive changes were made in Appendix L.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on March 18, 2020, non-substantive revisions were made to the proposed rule. These non-substantive revisions do not require any changes to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Military Ballots for School District and School District Public Library Elections, Budget, and Referenda

I.D. No. EDU-11-20-00015-A

Filing No. 428

Filing Date: 2020-07-14

Effective Date: 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 122 to the Regulations of the Commissioner of Education.

Statutory authority: Education Law, sections 101, 207, 260, 260-a, 305, 2012, 2014, 2018-a, 2018-b and 2018-d as added by L. 2019, ch. 489

Subject: Military Ballots for School District and School District Public Library Elections, Budget, and Referenda.

Purpose: To implement Chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law.

Substance of final rule: Chapter 489 of the Laws of 2019 ("Chapter 489") added section 2018-d to the Education Law which provides military voters the opportunity to vote by military ballots for school district and school district public library elections, budget, and referenda. Previously, persons serving in the military, including spouses and dependents, could register as military voters in New York State entitling them to receive military ballot for all federal, State, and local elections. Chapter 489 extends such provisions to school district and school district public library elections, budget, and referenda.

A summary of the terms of the proposed Part 122 are as follows:

- Section 122.1 provides for the applicability of this Part;
- Section 122.2 provides definitions for specific terms for this Part;
- Section 122.3 provides clarification on the transmission of military voter registration, ballot applications, and ballots including methods of transmission (mail, fax, or e-mail) and time frames for transmission;
- Section 122.4 provides clarification regarding military voter registration, including what, at a minimum, must be included on personal registration applications forms for school districts with a system for the personal registration for voters;
- Section 122.5 provides clarification regarding military ballot applications and the form of such ballot applications;
- Section 122.6 provides clarification on military ballots, including what shall be included on such ballots and the validity of such ballots. This section also requires school districts and school district public libraries, as applicable to prepare a list of military voters to whom military ballots have been issued and requires such list to be made available for public inspection;
- Section 122.7 provides clarification on the procedures for voting by military ballot, including how to mark the ballot and how to secure the ballot and transmit it back to the school district or school district public library, as applicable;
- Section 122.8 provides the language for the military ballot voter affirmation; and
- Section 122.9 provides clarification on the canvassing of military ballots by school districts and school district public libraries, as applicable.

Final rule as compared with last published rule: Nonsubstantive changes were made in sections 122.3(b), (f), 122.4(a), 122.5(a)(3), 122.6(b), (e), (f) and 122.8(b).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement, Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on March 18, 2020, non-substantive revisions were made to the proposed rule. These non-substantive revisions do not require any changes to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Eligibility Requirements for Loan Forgiveness and Grant Programs**I.D. No.** EDU-11-20-00016-A**Filing No.** 432**Filing Date:** 2020-07-14**Effective Date:** 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 145-6.2, 145-6.5 and 145-6.6 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 210, 215, 602, 605, 605-a, 661, 6454, 6455; L. 2019, ch. 26; L. 2019, ch. 56, part D

Subject: Eligibility Requirements for Loan Forgiveness and Grant Programs.

Purpose: Conforms Commissioner's regulations to the DREAM Act relating to student's eligibility for loan forgiveness and grant programs.

Text or summary was published in the March 18, 2020 issue of the Register, I.D. No. EDU-11-20-00016-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Office of Counsel, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Creating a Transitional J Certificate for Military Spouses**I.D. No.** EDU-30-20-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of section 80-5.28 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 3001, 3003, 3004 and 3009

Subject: Creating a Transitional J Certificate for Military Spouses.

Purpose: To create a Transitional J Certificate for spouses of individuals on full-time active duty with the Armed Forces.

Text of proposed rule: The Regulations of the Commissioner of Education is amended by adding a new section 80-5.28 to read as follows:

Section 80-5.28 Transitional J classroom teaching certificate for spouses of individuals on full-time active duty with the Armed Forces whose permanent duty station is in New York State.

(a) *Purpose. The purpose of the Transitional J certificate is to authorize a candidate who is a spouse of an individual on full-time active duty with the Armed Forces whose permanent duty station is in New York State to teach for a limited period of time while completing the requirements for certification, including the examination requirement(s).*

(b) *Limitations. The Transitional J certificate in a specific certificate title shall be valid for one year from its effective date and a candidate cannot receive a time extension pursuant to section 80-1.6 of this Part. The Transitional J certificate may be renewed once for an additional two years, provided that the candidate has submitted evidence of having achieved a satisfactory level of performance on the educating all students test and the content specialty test(s) required for the certificate title, prior to the expiration date of the first issuance of the Transitional J certificate. The Transitional J certificate shall authorize a candidate to teach only in a school district or BOCES for which a commitment of support and employment has been made.*

(c) *Requirements. To be eligible for the Transitional J certificate, the candidate shall:*

(1) *be the spouse of an individual on full-time active duty with the Armed Forces whose permanent duty station is in New York State;*

(2) *hold a baccalaureate or higher degree from a regionally accredited institution or a higher education institution that the commissioner deems substantially equivalent, and achieve a 2.5 cumulative grade point average, or its equivalent, in the program leading to the degree;*

(3) *meet the requirements of section 80-1.3 of this Part relating to citizenship; section 80-1.4 of this Part relating to study in child abuse identification and reporting, and school violence prevention and intervention; section 80-1.12 of this Part relating to study in the needs of students with autism, where applicable; section 80-1.13 of this Part relating to coursework or training in harassment, bullying and discrimination prevention and intervention; and section 80-1.11 of this Part relating to a criminal history check;*

(4) *either:*

(i) *hold a valid certificate or equivalent authorization to practice from another state or territory of the United States or the District of Columbia that is equivalent to an initial or professional certificate in the certificate title sought; or*

(ii) *have completed a teacher education program registered pursuant to section 52.21 of this Title that leads to an initial or professional certificate in the certificate title sought or a teacher education program at a regionally accredited institution of higher education or a higher education institution that the commissioner deems substantially equivalent from another state or territory of the United States or the District of Columbia that leads to the equivalent of an initial or professional certificate in the certificate title sought in the jurisdiction where the higher education institution is located; and*

(5) *submit satisfactory evidence of having a commitment from a school district or BOCES of at least one year of employment for the first Transitional J certificate, and at least two years of employment for the renewal, as a teacher with the school district or BOCES in the area of the certificate sought, which shall include a plan from the school district or BOCES for mentoring, appropriate instructional support as determined by school or BOCES leadership, and at least 20 hours of professional learning targeted toward appropriate pedagogical skills during each year of employment.*

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nyse.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement**1. STATUTORY AUTHORITY:**

Education Law 101 charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 210 grants rule-making authority to the Regents to register domestic and foreign institutions in terms of New York standards.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The purpose of the proposed amendment to § 80-5.28 of the Regulations of the Commissioner of Education is to create a Transitional J certificate in order to provide greater flexibility to spouses of individuals on full-time active duty with the Armed Forces whose permanent duty station is in New York State. The Transitional J certificate would provide certain spouses of full-time active military personnel who relocate to New York State with the time needed to complete the requirements for certification, including the examination requirement(s).

3. NEEDS AND BENEFITS:

There are many full-time active duty personnel with the Armed Forces whose permanent duty station is in New York State. Attracting, supporting, and retaining full-time active military personnel who serve our country is important to local communities as well as to the State. To achieve this goal, spousal employment should be taken into consideration.

Spouses of full-time active military personnel face special challenges in starting or maintaining a teaching career due to frequent relocations. For example, each state has different teaching certificate requirements, fees, and processing times. In response to these challenges, some states have provided flexibility with certification requirements for spouses of full-time active military personnel.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the State believes that uniform certification standards are required across the State, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its October 2020 meeting. If adopted at the October 2020 meeting, the proposed amendment will become effective on November 4, 2020.

Regulatory Flexibility Analysis

The purpose of the proposed amendment to § 80-5.28 of the Regulations of the Commissioner of Education is to create a Transitional J certificate in order to provide greater flexibility to spouses of individuals on full-time active duty with the Armed Forces whose permanent duty station is in New York State. The Transitional J certificate would provide certain spouses of full-time active military personnel who relocate to New York State with the time needed to complete the requirements for certification, including the examination requirement(s).

By requiring either an acceptable valid out-of-state certificate or the completion of an acceptable teacher preparation program, spouses of full-time active military personnel would gain flexibility with certification requirements while demonstrating that they have the knowledge and skills to enter the classroom.

The Transitional J certificate would be valid for one year from its effective date to give spouses of full-time active military personnel time to pass the edTPA, Educating All Students (EAS) test, and Content Specialty Tests(s) required for Initial certification. If they pass the EAS test and Content Specialty Tests(s) prior to the expiration date of the Transitional J certificate, the certificate may be renewed once for an additional two years. The renewal gives candidates time to continue working on completing the edTPA.

The Transitional J certificate would only be valid in a school district or BOCES for which a commitment of employment and support has been made. The commitment would be at least one year for the first certificate and two years for the renewal. The support would include a plan for mentoring, appropriate instructional support as determined by school or BOCES leadership, and at least 20 hours of professional learning targeted toward appropriate pedagogical skills during each year of employment. The professional learning would enhance the knowledge and skills of spouses of full-time active military personnel and enable them to stay current with best practices.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

This proposed amendment applies to all candidates for the Transitional J certificate, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to § 80-5.28 of the Regulations of the Commissioner of Education is to create a Transitional J certificate in order to provide greater flexibility to spouses of individuals on full-time active duty with the Armed Forces whose permanent duty station is in New York State. The Transitional J certificate would provide certain spouses of full-time active military personnel who relocate to New York State with the time needed to complete the requirements for certification, including the examination requirement(s).

By requiring either an acceptable valid out-of-state certificate or the completion of an acceptable teacher preparation program, spouses of full-time active military personnel would gain flexibility with certification requirements while demonstrating that they have the knowledge and skills to enter the classroom.

The Transitional J certificate would be valid for one year from its effective date to give spouses of full-time active military personnel time to pass the edTPA, Educating All Students (EAS) test, and Content Specialty Tests(s) required for Initial certification. If they pass the EAS test and Content Specialty Tests(s) prior to the expiration date of the Transitional J certificate, the certificate may be renewed once for an additional two years. The renewal gives candidates time to continue working on completing the edTPA.

The Transitional J certificate would only be valid in a school district or BOCES for which a commitment of employment and support has been made. The commitment would be at least one year for the first certificate and two years for the renewal. The support would include a plan for mentoring, appropriate instructional support as determined by school or BOCES leadership, and at least 20 hours of professional learning targeted toward appropriate pedagogical skills during each year of employment. The professional learning would enhance the knowledge and skills of spouses of full-time active military personnel and enable them to stay current with best practices.

3. COSTS:

The proposed amendment does not impose any costs on Transitional J certificate candidates and/or the New York State school districts/BOCES who wish to hire them.

4. MINIMIZING ADVERSE IMPACT:

The Department believes that uniform standards for certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

The proposed regulation will have a 60-day public comment period.

Job Impact Statement

The purpose of the proposed amendment is to create a Transitional J certificate to provide certain spouses of full-time active military personnel who relocate to New York State with the time needed to complete the requirements for certification, including the examination requirement(s). The requirements for the Transitional J certificate would include:

- Being a spouse of an individual on full-time active duty with the Armed Forces whose permanent duty station is in New York State.
- Holding a baccalaureate or higher degree from a regionally accredited higher education institution, or a higher education institution that the commissioner deems substantially equivalent, and achieving a 2.5 cumulative grade point average, or its equivalent, in the program leading to the degree.
- Either:
 - o holding a valid certificate or equivalent authorization to practice from another state or territory of the United States or the District of Columbia that is equivalent to an initial or professional certificate in the certificate title sought; or
 - o completing a New York State registered teacher education program that leads to an initial or professional certificate in the certificate title sought or a teacher education program at a regionally accredited institution of higher education, or a higher education institution that the commissioner deems substantially equivalent, from another state or territory of the United States or the District of Columbia that leads to the equivalent of an initial or professional certificate in the certificate title sought in the jurisdiction where the higher education institution is located.
- Submitting an employment and support commitment from a school district or BOCES as a teacher with the school district or BOCES in the area of the certificate sought, which shall include a plan from the school district or BOCES for mentoring, appropriate instructional support as determined by school or BOCES leadership, and at least 20 hours of professional learning targeted toward appropriate pedagogical skills during each year of employment.
- Completing training in child abuse identification and reporting; school violence prevention and intervention; harassment, bullying and discrimination prevention and intervention; and the needs of students with autism, where applicable.
- Completing a fingerprint clearance.

By requiring either an acceptable valid out-of-state certificate or the completion of an acceptable teacher preparation program, spouses of full-time active military personnel would gain flexibility with certification requirements while demonstrating that they have the knowledge and skills to enter the classroom.

The Transitional J certificate would be valid for one year from its effective date to give spouses of full-time active military personnel time to pass the edTPA, Educating All Students (EAS) test, and Content Specialty Tests(s) required for initial certification. If they pass the EAS test and Content Specialty Tests(s) prior to the expiration date of the Transitional J certificate, the certificate may be renewed once for an additional two years. The renewal gives candidates time to continue working on completing the edTPA.

The Transitional J certificate would only be valid in a school district or BOCES for which a commitment of employment and support has been made. The commitment would be at least one year for the first certificate and two years for the renewal. The support would include a plan for mentoring, appropriate instructional support as determined by school or BOCES leadership, and at least 20 hours of professional learning targeted toward appropriate pedagogical skills during each year of employment. The professional learning would enhance the knowledge and skills of spouses of full-time active military personnel and enable them to stay current with best practices.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Creating Safety Nets for the Arts Content Specialty Tests (CSTs)

I.D. No. EDU-30-20-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 80-1.5(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 3001, 3003, 3004 and 3009

Subject: Creating Safety Nets for the Arts Content Specialty Tests (CSTs).

Purpose: To create a safety net for the Arts Content Specialty Tests (CSTs).

Text of proposed rule: Subparagraph (vi) of paragraph (2) of subdivision (c) of section 80-1.5 of the Regulations of the Commissioner of Education shall be added to read as follows:

(vi) When the revised content specialty examination(s) in dance, music, theater and visual arts become available, a candidate may take either the applicable revised content specialty examination or the applicable predecessor content specialty examination in dance, music, theater and visual arts, for one year after the applicable revised content specialty examination(s) become operational.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3003 authorizes the Commissioner to issue permanent certificates to school superintendents.

Education Law 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The Department is proposing to create safety nets for the CSTs in Dance, Music, Theater, and Visual Arts that would become effective when the revised CSTs in Dance, Music, Teacher and Visual Arts become operational. When available, a candidate would be able to take either the applicable revised CST or the applicable predecessor CST in Dance, Music, Theater, and Visual Arts for one year after the revised CSTs become operational. The proposed safety nets allow candidates to be held harmless during the one-year transition period from the predecessor CSTs to the revised CSTs.

3. NEEDS AND BENEFITS:

At its September 2017 meeting, the Board of Regents approved the implementation of the New York State P-12 Learning Standards for the Arts in all schools beginning July 1, 2018. The approval of the new standards prompted the Department to redevelop the Content Specialty Tests (CSTs) for certification in Dance, Music, Theater, and Visual Arts. Through the test redevelopment process, the test frameworks and items were redeveloped to ensure that candidates for certification in the arts subject areas can demonstrate the knowledge, skills, and abilities reflected in the new standards.

It is anticipated that the revised CSTs in Dance, Music, Theater, and Visual Arts will become operational in November 2020. The test frameworks for the revised Arts CSTs are available online for candidates and teacher preparation programs to assist in the preparation of candidates for the redeveloped tests.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the State believes that uniform certification standards are required across the State, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its October 2020 meeting. If adopted at the October 2020 meeting, the proposed amendment will become effective on November 4, 2020.

Regulatory Flexibility Analysis

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create safety nets for the CSTs in Dance, Music, Theater and Visual Arts that would become effective when the revised CSTs in Dance, Music, Theater and Visual Arts become operational. When these revised CSTs become operational, a candidate would be able to take either the applicable revised CST or the applicable predecessor CST in Dance, Music, Theater and Visual Arts for one year after the revised CSTs become operational. The proposed safety nets allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CSTs.

At its September 2017 meeting, the Board of Regents approved the implementation of the New York State P-12 Learning Standards for the Arts in all schools beginning July 1, 2018. The approval of the new standards prompted the Department to redevelop the Content Specialty Tests (CSTs) for certification in Dance, Music, Theater, and Visual Arts. Through the test redevelopment process, the test frameworks and items were redeveloped to ensure that candidates for certification in the arts subject areas can demonstrate the knowledge, skills, and abilities reflected in the new standards.

It is anticipated that the revised CSTs in Dance, Music, Theater and Visual Arts will become operational in November 2020. The test frameworks for the revised Arts CSTs are available online for candidates and teacher preparation programs to assist in the preparation of candidates for the redeveloped tests.

The amendment does not impose any new recordkeeping or other

compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

This proposed amendment applies to all candidates of the CST in Dance, Music, Theater and Visual Arts, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create safety nets for the CSTs in Dance, Music, Theater and Visual Arts that would become effective when the revised CSTs in Dance, Music, Theater and Visual Arts become operational. When these revised CSTs become operational, a candidate would be able to take either the applicable revised CST or the applicable predecessor CST in Dance, Music, Theater and Visual Arts for one year after the revised CSTs becomes operational. The proposed safety nets allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CSTs.

At its September 2017 meeting, the Board of Regents approved the implementation of the New York State P-12 Learning Standards for the Arts in all schools beginning July 1, 2018. The approval of the new standards prompted the Department to redevelop the Content Specialty Tests (CSTs) for certification in Dance, Music, Theater, and Visual Arts. Through the test redevelopment process, the test frameworks and items were redeveloped to ensure that candidates for certification in the arts subject areas can demonstrate the knowledge, skills, and abilities reflected in the new standards.

It is anticipated that the revised CSTs in Dance, Music, Theater and Visual Arts will become operational in November 2020. The test frameworks for the revised Arts CSTs are available online for candidates and teacher preparation programs to assist in the preparation of candidates for the redeveloped tests.

3. COSTS:

The proposed amendment does not impose any costs on CST candidates and/or the New York State school districts/BOCES who wish to hire them.

4. MINIMIZING ADVERSE IMPACT:

The Department believes that uniform standards for certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

The proposed regulation will have a 60-day public comment period.

Job Impact Statement

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create safety nets for the CSTs in Dance, Music, Theater and Visual Arts that would become effective when the revised CSTs in Dance, Music, Theater and Visual Arts become operational. When these revised CSTs become operational, a candidate would be able to take either the applicable revised CST or the applicable predecessor CST in Dance, Music, Theater and Visual Arts for one year after the revised CSTs becomes operational. The proposed safety nets allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CSTs.

At its September 2017 meeting, the Board of Regents approved the implementation of the New York State P-12 Learning Standards for the Arts in all schools beginning July 1, 2018. The approval of the new standards prompted the Department to redevelop the Content Specialty Tests (CSTs) for certification in Dance, Music, Theater, and Visual Arts. Through the test redevelopment process, the test frameworks and items were redeveloped to ensure that candidates for certification in the arts subject areas can demonstrate the knowledge, skills, and abilities reflected in the new standards.

It is anticipated that the revised CSTs in Dance, Music, Theater and Visual Arts will become operational in November 2020. The test frameworks for the revised Arts CSTs are available online for candidates and teacher preparation programs to assist in the preparation of candidates for the redeveloped tests.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Creating a Safety Net for the School Building Leader Assessment

I.D. No. EDU-30-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 80-1.5(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 3001, 3003, 3004 and 3009

Subject: Creating a Safety Net for the School Building Leader Assessment.

Purpose: To create a safety net for the School Building Leader Assessment.

Text of proposed rule: Subparagraph (vii) of paragraph (2) of subdivision (c) of section 80-1.5 of the Regulations of the Commissioner of Education shall be added to read as follows:

(vii) When the revised (May 2019) school building leader assessment becomes available, a candidate may take part one of either the revised (May 2019) school building leader assessment or the revised (September 2013) school building leader assessment, and may take part two of either the revised (May 2019) school building leader assessment or the revised (September 2013) school building leader assessment, for two years after the revised (May 2019) school building leader assessment becomes operational.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 474-2238, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3003 authorizes the Commissioner to issue permanent certificates to school superintendents.

Education Law 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The Department is proposing to create a safety net for the two-part School Building Leader Assessment that would become effective when the 2019 SBL Assessment becomes operational. When available, a candidate would be able to take Part One of either the 2019 SBL Assessment or the 2013 SBL Assessment and would be able to take Part Two of either the 2019 SBL Assessment or the 2013 SBL Assessment, for two years after the 2019 SBL Assessment becomes operational.

The proposed safety net allows candidates to be held harmless during the two-year transition period from the 2013 SBL Assessment to the 2019 SBL Assessment. The Department is proposing a safety net that is two years in length because candidates may enroll in a school building leader program that is based on the ISLLC Standards as late as Fall 2020, and candidates typically complete school building leadership preparation programs within two years.

3. NEEDS AND BENEFITS:

At its December 2017 meeting, the Board of Regents approved requiring school building leader preparation programs, that are registered or seek registration on or after December 1, 2020, to be aligned with the Professional Standards for Educational Leaders (PSELs), with some modifications. Programs have been aligned with the Interstate School Leaders Licensure Consortium (ISLLC) Standards. As such, the current

Revised (September 2013) School Building Leader Assessment ("2013 SBL Assessment") for certification is based on the ISLLC Standards.

The approval of the PSELs prompted the Department to redevelop the 2013 SBL Assessment. Through the test redevelopment process, the test framework and items were redeveloped to ensure that candidates for school building leader certification can demonstrate the knowledge, skills, and abilities reflected in the PSELs.

It is anticipated that the Revised (May 2019) School Building Leader Assessment ("2019 SBL Assessment") will become operational in November 2020. The assessment framework was revised in May 2019 and was made available online for candidates and school building leader preparation programs to assist in the preparation of candidates for the redeveloped assessment.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the State believes that uniform certification standards are required across the State, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its October 2020 meeting. If adopted at the October 2020 meeting, the proposed amendment will become effective on November 4, 2020.

Regulatory Flexibility Analysis

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create a safety net for the two-part School Building Leader Assessment that would become effective when the 2019 SBL Assessment becomes operational. When available, a candidate would be able to take Part One of either the 2019 SBL Assessment or the 2013 SBL Assessment and would be able to take Part Two of either the 2019 SBL Assessment or the 2013 SBL Assessment, for two years after the 2019 SBL Assessment becomes operational.

The proposed safety net allows candidates to be held harmless during the two-year transition period from the 2013 SBL Assessment to the 2019 SBL Assessment. The Department is proposing a safety net that is two years in length because candidates may enroll in a school building leader program that is based on the ISLLC Standards as late as Fall 2020, and candidates typically complete school building leadership preparation programs within two years.

At its December 2017 meeting, the Board of Regents approved requiring school building leader preparation programs, that are registered or seek registration on or after December 1, 2020, to be aligned with the Professional Standards for Educational Leaders (PSELs), with some modifications. Programs have been aligned with the Interstate School Leaders Licensure Consortium (ISLLC) Standards. As such, the current Revised (September 2013) School Building Leader Assessment ("2013 SBL Assessment") for certification is based on the ISLLC Standards.

The approval of the PSELs prompted the Department to redevelop the 2013 SBL Assessment. Through the test redevelopment process, the test framework and items were redeveloped to ensure that candidates for school building leader certification can demonstrate the knowledge, skills, and abilities reflected in the PSELs.

It is anticipated that the Revised (May 2019) School Building Leader Assessment ("2019 SBL Assessment") will become operational in November 2020. The assessment framework was revised in May 2019 and was made available online for candidates and school building leader preparation programs to assist in the preparation of candidates for the redeveloped assessment.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the

nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

This proposed amendment applies to all candidates of the School Building Leader (SBL) Assessment, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create a safety net for the two-part School Building Leader Assessment that would become effective when the 2019 SBL Assessment becomes operational. When available, a candidate would be able to take Part One of either the 2019 SBL Assessment or the 2013 SBL Assessment and would be able to take Part Two of either the 2019 SBL Assessment or the 2013 SBL Assessment, for two years after the 2019 SBL Assessment becomes operational.

The proposed safety net allows candidates to be held harmless during the two-year transition period from the 2013 SBL Assessment to the 2019 SBL Assessment. The Department is proposing a safety net that is two years in length because candidates may enroll in a school building leader program that is based on the ISLLC Standards as late as Fall 2020, and candidates typically complete school building leadership preparation programs within two years.

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It is anticipated that the Revised (May 2019) School Building Leader Assessment ("2019 SBL Assessment") will become operational in November 2020. The assessment framework was revised in May 2019 and was made available online for candidates and school building leader preparation programs to assist in the preparation of candidates for the redeveloped assessment.

3. COSTS:

The proposed amendment does not impose any costs on SBL Assessment candidates and/or the New York State school districts/BOCES who wish to hire them.

4. MINIMIZING ADVERSE IMPACT:

The Department believes that uniform standards for certification must be established across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

The proposed regulation will have a 60-day public comment period.

Job Impact Statement

The purpose of the proposed amendment to Section 80-1.5 of the Regulations of the Commissioner of Education is to create a safety net for the two-part School Building Leader Assessment that would become effective when the 2019 SBL Assessment becomes operational. When available, a candidate would be able to take Part One of either the 2019 SBL Assessment or the 2013 SBL Assessment and would be able to take Part Two of either the 2019 SBL Assessment or the 2013 SBL Assessment, for two years after the 2019 SBL Assessment becomes operational.

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It is anticipated that the Revised (May 2019) School Building Leader Assessment ("2019 SBL Assessment") will become operational in November 2020. The assessment framework was revised in May 2019 and was made available online for candidates and school building leader preparation programs to assist in the preparation of candidates for the redeveloped assessment.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

REVISED RULE MAKING NO HEARING(S) SCHEDULED

Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures

I.D. No. EDU-11-20-00013-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of sections 200.1 and 200.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3214, 4403, 4404 and 4410

Subject: Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures.

Purpose: To address volume of special education due process complaints in the New York City due process system.

Text of revised rule: 1. Paragraph (1) of subdivision (x) of section 200.1 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) be an individual admitted to the practice of law [in the State of New York] who is currently in good standing and who has a minimum of [two years] *one year* of practice and/or experience in the areas of education, special education, disability rights [or], civil rights *or administrative law*; or be an individual certified by the State of New York as an impartial hearing officer on September 1, 2001; *or for complaints filed in the city school district of the city of New York be an individual possessing a minimum of a Master's degree in education, special education, psychology, or a related field and two years of experience applying knowledge of federal or State law and regulations pertaining to the Individuals with Disabilities Education Act in education, special education, or a related field.*

2. Paragraph (2) of subdivision (e) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) Each public school, public agency [and], approved private school, *and impartial hearing officer* subject to the provisions of this Part shall preserve the confidentiality of personally identifiable data, information or records pertaining to students with disabilities. Such confidentiality must be preserved in a manner consistent with the procedures adopted pursuant to section 200.2(b)(6) of this Part and/or in accordance with 20 USC 1232(g) and the provisions of part 99 of title 34 of the Code of Federal Regulations or its successor and sections 300.610 through 300.625. (United States Code, 2006 edition, volume 12, 2008; Superintendent of Documents, U.S. Government Printing Office, Stop SSOP, Washington, DC 20402-9328: 2004; Code of Federal Regulations, 2009 edition, title 34, part 99, Superintendent of Documents, U.S. Government Printing Office, Stop SSOP, Washington, DC 20402-0001; Code of Federal Regulations, 2009 edition, title 34, sections 300.610-300.625, Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402-0001; 2009 - available at the Office of Counsel, New York State Education Department, State Education Building, Room 148, 89 Washington Avenue, Albany, NY 12234).

3. Clause (c) of subparagraph (xii) of paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(c) The impartial hearing officer may receive any oral, documentary or tangible evidence except that the impartial hearing officer shall exclude evidence that he or she determines to be irrelevant, immaterial, unreliable or unduly repetitious. The impartial hearing officer may receive testimony by telephone *or video conference*, provided that such testimony shall be made under oath and shall be subject to cross examination.

4. Subparagraph (xii) of paragraph (3) of subdivision (j) of section 200.5

of the Regulations of the Commissioner of Education is amended by adding a new clause (i) to read as follows:

(i) *The impartial hearing officer may conduct the impartial hearing by video conference or teleconference with consent of the parties, which may be obtained at the pre-hearing conference if one is held, or at a minimum of 10 days before the scheduled hearing date, provided that all personally identifiable data, information or records pertaining to students with disabilities during such hearing shall be subject to the requirements of section 200.5(e)(2) of this Part.*

5. The opening paragraph of paragraph (5) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education are amended to read as follows:

(5) Timeline to render a decision. Except as provided in section 200.16(h)(9) of this Part and section 201.11 of this Title, if a school district files the due process complaint, the impartial hearing officer shall render a decision and mail a copy of the written, or at the option of the parents, electronic findings of fact and the decision to the parents and to the board of education not later than 45 days from the day after the public agency's due process complaint is received by the other party and the State Education Department. Except as provided in section 200.16(h)(9) of this Part and section 201.11 of this Title, if the parent files the due process complaint notice, the decision is due not later than 45 days from the day after one of the following events, whichever shall occur first: (a) both parties agree in writing to waive the resolution meeting; (b) after either the mediation or resolution meeting starts but before the end of the 30-day period, the parties agree in writing that no agreement is possible; (c) if both parties agree in writing to continue the mediation at the end of the 30-day resolution period, but later, the parent or public agency withdraws from the mediation process; or (d) the expiration of the 30-day resolution period. In cases where extensions of time have been granted beyond the applicable required timelines, the decision must be rendered and mailed no later than 14 days from the date the impartial hearing officer closes the record. The date the record is closed shall be indicated in the decision. *Whether the parent or school district filed the due process complaint, the impartial hearing officer shall render a decision in a format consistent with State Education Department guidelines wherein all personally identifiable data, information or records pertaining to students with disabilities shall be subject to the requirements of section 200.5(e)(2) of this Part.* After a final decision has been rendered, the impartial hearing officer shall promptly transmit the record to the school district together with a certification of the materials included in the record. The record of the hearing and the findings of fact and the decision shall be provided at no cost to the parents. Within 15 days of mailing the decision to the parties, the impartial hearing officer shall submit the decision to the Office of Special Education of the State Education Department. All personally identifiable information, in accordance with the guidelines provided by the commissioner, shall be deleted from the copy forwarded to the Office of Special Education.

Revised rule compared with proposed rule: Substantive revisions were made in section 200.5(j)(3).

Text of revised proposed rule and any required statements and analyses may be obtained from Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Ave., Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Office of Special Education, NYS Education Department, 89 Washington Avenue, 301M EB, Albany, NY 12234, (518) 473-6119, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and of all the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3214(3)(g) establishes the authority of an impartial hearing officer relating to a change in placement to interim alternative educational settings.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement the such statute.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the purpose of the proposed amendments are to: (1) expand the pool of potential impartial hearing officer (IHO) applicants in New York City by removing the restriction that all IHO attorney candidates be licensed in New York State and by allowing for the certification of qualified non-attorney IHOs to hear complaints filed in New York City; (2) ensure that IHOs maintain student confidentiality of students' personally identifiable information and render decisions in a format consistent with NYSED guidelines that comply with the Family Educational Rights and Privacy Act; and (3) permit IHOs to receive testimony by video conference, and to conduct hearings by video conference.

3. NEEDS AND BENEFITS:

In January 2020, the Board of Regents was presented with a discussion item regarding expanding the pool of applicants of Impartial Hearing Officers (IHOs) to hear special education due process complaints filed in New York City. A presentation by the New York State Education Department's (NYSED's) Office of Special Education's (OSE) consultant, Deusedi Merced from Special Education Solutions, LLC, provided data on the number of due process complaints filed in New York State compared to the rest of the country. New York exceeds by 63 percent the next most active state (California) with due process complaint filings.

Additionally, within New York State, the overwhelming majority of due process complaints are filed in New York City. In the 2018-2019 school year, 10,189 special education due process complaints were filed in New York State; of these, 9,694 filings, or 95 percent, were in New York City. That amount is expected to increase during the 2019-2020 school year. This unprecedented volume of special education due process complaints is overwhelming the New York City due process system.

NYSED is proposing certain regulatory changes to expand the pool of IHO applicants in New York City and to clarify certain IHO duties and responsibilities. Many of these additional changes were listed in the January 2020 Regents item as possible amendments to address deficiencies in the hearing process that might help alleviate some of the pressure on the New York City Department of Education's special education due process system.

Expanding the Pool of Potential IHO Applicants in New York City

In accordance with the Individuals with Disabilities Education Act (IDEA), all IHOs must be held to a standard of appropriate legal practice when presiding over and rendering decisions in special education due process hearings. However, as discussed at the January 2020 Board of Regents meeting, New York State's current requirements for IHOs far exceed those required by IDEA to address the unprecedented number of open special education due process complaints in New York City.

1) Widening the Pool of Attorney IHO Candidates to Become IHOs:

NYSED proposes to amend section 200.1(x) of the Regulations of the Commissioner of Education to remove the restriction that all IHO attorney candidates be licensed in New York State, thereby providing for the certification of qualified candidates from neighboring states. These candidates must be in good standing in their licensed state. The amendment would further expand the areas of relevant law practice and experience for attorney candidates to better align with the requirements of IDEA by eliminating reference to civil rights law and more appropriately including reference to include experience in administrative law. The proposed amendment would also reduce the number of years of experience and/or practice for attorney candidates from two years to one year. While these proposed changes may widen the pool of applicant IHOs, it is important to note that when seeking a new cohort of IHOs for certification, NYSED seeks resumes, an extensive application, a writing sample, and three references, as well as conducting interviews of candidates, before inviting the applicant to attend a rigorous five-day training program. In other words, IHO candidates are rigorously vetted.

2) Allowing for Certification of Non-Attorney IHOs:

NYSED further proposes to amend section 200.1(x) of the Regulations of the Commissioner of Education to allow for the certification of non-attorney IHOs to hear complaints filed in New York City, as well as set qualifications for these candidates. Because 95 percent of the volume of New York State's cases are in New York City, and, during stakeholder engagement, the Rest of State (ROS) did not endorse this change in

qualifications for the IHOs handling due process hearings in their districts, this change would only pertain to New York City. The New York City Department of Education endorses this change in order to expand the number of certified IHOs accepting cases in New York City. Currently, there are 67 certified IHOs assigned to more than 10,000 due process complaints in New York City with approximately 1,700 cases waiting assignment of an IHO. Expanding the pool of applicants and having more IHOs available to take cases will assist with this volume. Non-attorney IHOs will be required to possess a minimum of a Masters degree in education, special education, psychology, or a related field and two years of experience applying knowledge of federal or State law and regulations pertaining to the Individuals with Disabilities Education Act in education, special education, or a related field.

Privacy

1) IHOs must maintain student confidentiality:

NYSED proposes to amend section 200.5(e) of the Regulations of the Commissioner of Education to add IHOs to this section listing out who is required to maintain confidentiality of students' personally identifiable information.

2) IHOs must render decisions in a consistent format:

Additionally, and in accordance with section 200.5(e), NYSED proposes to amend section 200.5(j) requiring IHOs to render decisions in a format consistent with NYSED guidelines that comply with the Family Educational Rights and Privacy Act (FERPA). This requirement will eliminate the need for local educational agencies or NYSED to have to redact decisions so that they comply with FERPA before making the decisions publicly available as required by IDEA.

Use of Video Conference

1) IHOs may receive testimony by video conference:

The regulations currently allow IHOs to receive testimony by telephone. NYSED proposes amending section 200.5(j)(3)(xii)(c) of the Regulations of the Commissioner of Education to allow IHOs to receive testimony via video conference to better accommodate needed witnesses, provided that such testimony shall be made under oath and shall be subject to cross-examination.

2) IHOs may conduct hearings by teleconference or video conference:

To address concerns of the more than 10,000 due process cases in New York City, NYSED proposes to add clause (h) to section 200.5(j)(3)(xii) to allow an IHO to conduct hearings by teleconference or video conference with the consent of parties so long as all personally identifiable data, information, or records pertaining to students with disabilities during such hearing is subject to section 200.5(e), pertaining to privacy, described above.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government beyond those inherent in statute.

(b) Costs to local government: There are no additional costs to local government beyond those inherent in statute.

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment expands the pool of IHO applicants in New York City and clarifies certain IHO duties and responsibilities and does not impose any program, service, duty or responsibility upon any county, city, town, village, school district, fire district or other special district.

6. PAPERWORK:

The proposed amendment does not impose any reporting or other paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to address the deficiencies in the impartial hearing process by expanding the pool of IHO applicants and clarifying certain IHO duties and responsibilities. There were no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

A Notice of Proposed Rule Making will be published in the State Register on March 18, 2020. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its July 2020 meeting. If adopted at the July 2020 meeting, the proposed amendment will become effective on July 29, 2020.

Revised Regulatory Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on March 18, 2020, substantial revisions were made to the

proposed rule. The Department revised the proposed amendments to section 200.5 of the Commissioner's regulations to permit IHO's to conduct hearings by "teleconference" in addition to video conference and clarified language to reflect that written consent may be requested at a pre-hearing conference, if one is held.

These substantial revisions do not require any changes to the previously published Regulatory Flexibility Analysis for Small Businesses and Local Government.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on March 18, 2020, substantial revisions were made to the proposed rule. The Department revised the proposed amendments to section 200.5 of the Commissioner's regulations to permit IHO's to conduct hearings by "teleconference" in addition to video conference and clarified language to reflect that written consent may be requested at a pre-hearing conference, if one is held.

1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:

The proposed amendments applies to impartial hearing officers (IHOs) who conduct special education impartial hearings where the district or a parent initiates a due process complaint at any public school located in New York State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional compliance requirements or professional services requirements on entities in rural areas.

The proposed amendments would: (1) expand the pool of potential impartial hearing officer (IHO) applicants in New York City by removing the restriction that all IHO attorney candidates be licensed in New York State and by allowing for the certification of qualified non-attorney IHOs to hear complaints filed in New York City; (2) ensure that IHOs maintain student confidentiality of students' personally identifiable information and render decisions in a format consistent with NYSED guidelines that comply with the Family Educational Rights and Privacy Act; and (3) permit IHOs to receive testimony by video conference, and to conduct hearings by video conference or teleconference.

3. COMPLIANCE COSTS:

The proposed amendment does not impose any additional costs on entities in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to address the unprecedented volume of special education due process complaints in the New York City due process system by expanding the pool of IHO applicants in New York City. The proposed amendment is also necessary to clarify certain IHO duties and responsibilities. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Three public hearings will be conducted to obtain comment on the proposed amendments. In addition, the proposed amendment was submitted for comment to school districts through the offices of the district superintendents of each supervisory district in the State including those in rural areas.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on March 18, 2020, substantial revisions were made to the proposed rule. The Department revised the proposed amendments to section 200.5 of the Commissioner's regulations to permit IHO's to conduct hearings by "teleconference" in addition to video conference and clarified language to reflect that written consent may be requested at a pre-hearing conference, if one is held.

These substantial revisions do not require any changes to the previously published Statement in Lieu of Job Impact Statement.

Assessment of Public Comment

Since publication of a Notice of Revised Rule Making in the State Register on March 18, 2020, the State Education Department (SED) received the following comments on the proposed amendment.

Widening the Pool of Attorney Impartial Hearing Officer (IHO) Candidates to Become IHOs: Remove the restriction that all IHO attorney candidates be licensed in New York State (NYS), reduce the number of years of experience from two years to one year, and expand the areas of relevant law practice to include administrative law.

1. COMMENT:

Support allowing attorneys from other states to serve as IHOs but require them to have two years of legal experience and training on Part 200 of the Commissioner's Regulations (CR). Individuals with Disabilities Education Act (IDEA) requires IHOs to possess knowledge of federal and state statutes, regulations, and case law. Understanding of NYS practice

and administrative law is an important qualification for IHOs, since the record may be reviewed and remanded within the NYS Unified Court System, and certifying IHOs who are licensed in other states could reduce the quality of hearings. Even two years of legal experience is insufficient to ensure an attorney has developed "standard legal practice."

DEPARTMENT RESPONSE:

SED acknowledges the importance of IHOs possessing knowledge of and having a fundamental understanding of IDEA, as well as federal and State statutes, regulations, policy and case law. All IHO applicants are rigorously vetted before being invited to attend a five-day training program, which includes CR Part 200. After training, IHO applicants must pass a test before becoming a NYS-certified IHO for special education due process. Additionally, each year, IHOs must participate in a seven and one half hour in-person training as well as 6 webinar hours of continuing legal education classes pertaining to special education law provided by SED. Even with the proposed reduction to one year of experience, SED is confident it will be able to continue to find appropriate IHO candidates who are able to provide standard legal practice.

2. COMMENT:

Administrative law is too broad of a category and would allow attorneys who practice in irrelevant fields (e.g., labor or zoning) and do not have relevant knowledge or experience to adjudicate claims regarding the education of students with disabilities to become IHOs.

DEPARTMENT RESPONSE:

Administrative law is one of several areas of practice and/or experience needed to become an IHO as defined in CR § 200.1(x). Most commenters agree that administrative law experience is an asset to becoming an IHO.

Allowing for Certification of Non-Attorney IHOs

3. COMMENT:

Several commenters opposed certification and use of non-attorney IHOs. These commenters noted the following:

- Proposal will hurt children and increase cases being improperly handled. Need individuals who are reliable interpreters of law;
- Law school requires three years of training including civil procedure, rules of evidence, state and federal legal system, how to read and interpret case law, legal writing, and other relevant courses;
- Attorney IHOs have passed the NYS Bar, which ensures a basic level of expertise. Other reasons non-attorneys are not qualified to be IHOs include: lack of knowledge to read, research and interpret case law; lack of knowledge of civil procedure and rules of evidence; uneven power dynamic when experienced attorneys can sway non-attorney IHOs who will not have background/experience to handle situations. Many parents' attorneys have expressed same concerns;
- Do not believe this would lead to an improved due process system and that the idea failed when attempted in past. Forty-two other states use attorneys, and no other state has reversed their decision to use attorneys;
- Amendment is not equitable, and crisis should not be used to push through a policy that the rest of the State would not be willing to adopt;
- Changing the eligibility criteria for IHOs in New York City (NYC) only, is discriminatory and denies NYC parents equal access to justice;
- School professionals such as district representatives and others have little understanding of the intent, process or provision of IDEA;
- Non-attorneys are less likely to be impartial adjudicators;
- Non-attorneys would require intensive training to support their ability to make sound decisions based on the law;
- Many former NYC Department of Education (NYCDOE) employees will be attracted to position and will be biased;
- There will be additional unintended consequences – number of appeals may rise dramatically, and length and complexity of hearings would increase. SRO's office already struggled with backlog in 2014. Parent attorneys would become insistent on extremely comprehensive records in anticipation of SRO appeal;
- SED's reason for revising regulations in 2001 to require IHOs be attorneys was that "since hearings have become increasingly complex and require individuals with expertise in substantive and procedural law involving special education in this State." Current proposal is completely inapposite to SED's former position; Special education law is one of most difficult legal topics to practice. Insulting to current IHOs to imply non-attorneys can do this work. Non-attorney IHOs would not be held to same code of ethics as attorneys. Non-attorney IHO's should not be paid same as attorney IHOs;
- Proposed regulation does not address true problem of a dysfunctional NYC impartial hearing system. Address inadequate compensation of IHO's and problems cited in Deuseddi Merced's February 2019 report. Eliminate uncontested pendency from impartial hearing process and cases in which NYCDOE has conceded that it has denied a student a free appropriate public education; and
- Proposed regulation makes it look like bodies are just needed; which will ultimately backfire. Other ideas can potentially speed up and decrease the number of cases in NYC including: not holding unnecessary pendency

hearings for uncontested pendency cases; enhanced rate cases (account for huge number of outstanding NYCDOE cases); increase maximum amount providers can be paid and provide benefits so there are truly qualified providers at schools and parents will be happy with services provided; and, develop system where additional years of private school for certain students can be vetted quickly for settlement.

DEPARTMENT RESPONSE:

SED acknowledges that by changing the regulations to allow for non-attorney IHOs in NYC, it is changing the regulations implemented in 2001, which specifically required that all IHOs, with the exception of those individuals who were grandfathered, be an individual admitted to practice law in NYS. The Department is currently reviewing these comments.

4. COMMENT:

One commenter favored adopting the regulation to allow for certification of non-attorney IHOs. There are people who are sufficiently knowledgeable about NYS's special education laws and regulations, capable of making wise decisions, and able to write effectively and within the Family Educational Rights and Privacy Act (FERPA) requirements.

DEPARTMENT RESPONSE:

Comments supportive; no response necessary.

IHOs Must Maintain Student Confidentiality

5. COMMENT:

Support SED's recommendation to extend confidentiality provisions of CR § 200.5(e) to IHOs. Parents are entitled to confidentiality at all stages of special education process, and all persons involved in their child's education should be subject to confidentiality provisions.

DEPARTMENT RESPONSE:

Comments supportive; no response needed.

IHOs Must Render Decisions in a Consistent Format

6. COMMENT:

IHOs already lack critical support needed to do their jobs in a timely and efficient manner. Proposal could add administrative tasks to IHOs for which they are not paid and have unintended consequence of slowing their work and adding to, rather than reducing, backlog of cases in NYC.

DEPARTMENT RESPONSE:

Requirement to render decisions in consistent format is necessary to address FERPA-compliant decisions and the confidentiality requirements of IDEA (20 U.S.C. § 1412 (a)(8) and 34 CFR § 300.610 et. seq.). In accordance with 34 CFR § 300.513(d)(2), SED is required to make IHO decisions available to the public. All IHOs must comply with FERPA and submit decisions that are written in a manner so as not to reveal any personally protected information regarding a student or must redact decisions before submission to SED.

Use of Video Conference to Receive Testimony and Conduct Hearings

7. COMMENT:

Many commenters generally support the use of video conferencing technology in impartial hearings. Videoconferencing could alleviate travel burden for families, lessen space constraints, and allow for scheduling of more meetings in a day. Critical that districts be required to use technology that is reliable, confidential, and secure. Mandate that video and audio from any testimony provided via video conference be recorded to ensure accuracy in the transcript. Recommended regulations require IHOs to take testimony by video conference when requested, rather than leaving it to discretion of individual IHOs. Support efforts to modernize impartial hearing process, but decision must belong to the parents rather than the IHO. IHO should be the decision maker as to whether a hearing proceeds via video, not the parent. Develop form that parent can use at the start of hearing process to indicate if requesting an in-person hearing or a hearing via video conference, with an explanation of the technology needed to participate in the video conference.

Use of hearings by teleconference was successful and expeditious in NYC during COVID-19 shut-downs. Revise regulations to allow for hearings to be conducted by telephone also.

DEPARTMENT RESPONSE:

CR § 200.5(j)(3)(v) requires all hearings, whether in person or by telephone or video, be transcribed, or at option of parents, recorded electronically verbatim; therefore, it is unnecessary to have an additional requirement for recording. Proposed rule only allows hearings to be conducted by video conference with consent of parties. SED agrees that the parent must consent to proceed by video or telephone. The type of video platform used is at the discretion of the district and IHO, as long as information is maintained in a confidential manner. Districts may choose to utilize a form asking parents if they are interested in a video or phone hearing that explains the necessary equipment and how confidentiality will be maintained.

SED agrees that hearings should be able to proceed by telephone as well as by video conference and is amending the proposed rule to add telephone hearings to § 200.5(j)(3)(xii).

8. COMMENT:

Proposed regulations as drafted would allow IHO from another part of

NYS or jurisdiction to accept case on assumption that parties will agree to a videoconference only to learn that the parent does not have the technology needed or does not wish to participate via videoconference.

DEPARTMENT RESPONSE:

It would be inappropriate for IHOs to accept cases on the premise that they will proceed via video or phone conference only. Additionally, it would be inappropriate for an IHO to recuse if a parent will not agree to conduct a hearing remotely. The proposed rule does not allow IHOs to presume that hearings will proceed by video or telephone. IHOs were reminded of proper reasons for recusal in November 2019. IHOs are subject to review when complaints are filed against them in accordance with CR § 200.21 and may be subject to suspension or revocation.

Compensation Policy

9. COMMENT:

One commenter submitted comments pertaining to the newly revised NYC IHO Compensation Policy.

DEPARTMENT RESPONSE:

Comments regarding NYC IHO Compensation policy is beyond scope of rule, therefore no response is necessary.

Department of Environmental Conservation

ERRATUM

A Notice of Emergency Adoption, ID number ENV-15-20-00015-E, pertaining to Regulations Governing Commercial and Recreational Fishing for Striped Bass, was filed on, and has been in effect since, June 26, 2020. We apologize for a processing error that resulted in the omission of a portion of the regulatory text, which was published in the July 15, 2020 issue of the *State Register*. The full text, as it was filed with the Notice of Emergency Adoption, is republished in its entirety below:

Part 10 of 6 NYCRR is amended to read as follows:

Existing paragraph 10.1(b)(18) is amended to read as follows:

(b) 'Table A—Sportfishing regulations.'

	'Species'	'Minimum length'	'Daily limit'	'Open season'
(18)	Striped Bass (in the Hudson River and tributaries north of the George Washington Bridge and all inland waters)	April 1 through November 30	18" to 28" TL [or > 40" TL] (total length see ECL § 13-0339[4])	1

Subparagraph 10.2(j)(2)(f) is amended to read as follows:

(2) Table D: Fishing regulations for Delaware River and its West Branch bordering Pennsylvania.

	'Species'	'Open Season'	'Minimum Length'	'Daily limit'
(f)	Striped Bass	All year	28" to 35"	1

Subdivision 40.1(f) is amended to read as follows:

(f) Table A—Recreational Fishing

'Species'	'Open Season'	'Minimum Length'	'Possession limit'
Striped Bass (except the Hudson River north of the George Washington Bridge)	April 15 – Dec. 15	28" to 35" TL	1

Species Red drum through Atlantic menhaden remain the same.

Subdivision 40.1(i) is amended to read as follows:
(i) 'Table B—Commercial fishing.'

'Species'	'Open Season'	'Minimum Length'	'Trip limit'
Striped Bass (the area east of a line drawn due north from the mouth of Wading River Creek and east of a line at 73 degrees 46 minutes west longitude, which is near the terminus of East Rockaway Inlet)	May 15 – Dec. 15#	Not less than [28"] 26" TL nor greater than 38" TL	See subdivision (j) of this section

Species Red drum through Atlantic menhaden remain the same.

NOTICE OF ADOPTION

Chronic Wasting Disease

I.D. No. ENV-14-20-00005-A

Filing No. 427

Filing Date: 2020-07-13

Effective Date: 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 189 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 03-0301, 11-0325 and 11-1905

Subject: Chronic wasting disease.

Purpose: Rectify a clerical error associated with ENV-27-19-00001-A that inadvertently removed recently adopted sections of Part 189.

Text or summary was published in the April 8, 2020 issue of the Register, I.D. No. ENV-14-20-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jeremy Hurst, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4754, (518) 402-8883, email: jeremy.hurst@dec.ny.gov

Additional matter required by statute: A programmatic environmental impact statement is on file with the Department of Environmental Conservation.

Revised Job Impact Statement This rule making is necessary to reinstate sections of 6 NYCRR Part 189 that were inadvertently removed by a clerical error and to correct an errant subdivision reference. The provisions of Part 189 include measures to protect New York State's wild white-tailed deer and moose populations from Chronic Wasting Disease (CWD) by preventing the importation of CWD infectious materials into New York. As this rule simply fixes a subdivision reference and restores regulations that were previously adopted November 13, 2019 (I.D. No. ENV-32-19-00006-A), this rulemaking will not impose any additional impacts on jobs or employment opportunities in New York.

Assessment of Public Comment

The agency received no public comment.

Department of Financial Services

NOTICE OF ADOPTION

Reverse Mortgage Loans

I.D. No. DFS-12-20-00002-A

Filing No. 426

Filing Date: 2020-07-13

Effective Date: 2020-07-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Part 79; addition of new Part 79 to Title 3 NYCRR.

Statutory authority: Banking Law, sections 6-h, 14; Real Property Law, sections 280, 280-a, 280-b; Financial Services Law, sections 202 and 302

Subject: Reverse Mortgage Loans.

Purpose: To implement Real Property Law section 280-b.

Substance of final rule: Section 79.1 provides the scope of the regulation.

Section 79.2 contains definitions of terms that are used in Part 79.

Section 79.3 provides that only licensed or exempt entities may make reverse mortgage loans and describes the financial responsibility requirements that must be met by licensees and information that must be provided by exempt organization before the department will grant them authority to do reverse mortgage loans.

Section 79.4 sets forth new requirements and restrictions concerning the advertising of reverse mortgage loans.

Section 79.5 sets forth various requirements and limitations on lenders. This sub-section covers loan-to-value ratio limitations and financial fitness assessments of applicants and related "set aside account" provisions for certain loans. It also grants consumers a right to cancel for three (3) business days after the issuance of a commitment.

Section 79.6 sets forth a mortgagor's obligations to maintain the real property securing the reverse mortgage loan and the creditor's remedies if the mortgagor fails to maintain the property.

Section 79.7 specifies the events that permit the mortgagee to terminate the mortgage, notice and disclosure requirements required to accelerate the mortgage, and the homeowner's rights to cure any events of default.

Section 79.8 outlines the fees, costs and payments that lenders and brokers may charge applicants or borrowers at or before closing.

Section 79.9 specifies enhanced disclosures that must be provided to applicants. It also sets forth counselling requirements for prospective borrowers.

Section 79.10 sets forth the circumstances when a lender would be exempt from the requirement of making as many Real Property Law section 280-a loans as Real Property Law section 280 loans.

Section 79.11 sets forth a list of prohibited conduct that could subject lenders and brokers to administrative penalties if violated.

Section 79.12 sets forth provisions concerning the payment of real estate taxes and insurance for a mortgaged property and the respective rights and obligations of mortgagors and mortgagees.

Section 79.13 obligates the directors, trustees, and officers of lending institutions to adopt proper policies and procedures to implement the requirements of this regulation.

Section 79.14 provides a compliance safe harbor for a limited duration for certain lenders.

Final rule as compared with last published rule: Nonsubstantive changes were made in sections 79.1-79.9, 79.11-79.13 and 79.14.

Text of rule and any required statements and analyses may be obtained from: George Bogdan, Esq., Department of Financial Services, 1 State Street, New York, NY 10004, (212) 480-4758, email: george.bogdan@dfs.ny.gov

Revised Regulatory Impact Statement

1. Statutory Authority: Sections 280, 280-a and 280-b of the Real Property Law ("RPL") provide for the regulation of reverse mortgage loans.

2. Legislative Objectives: By enacting Sections 280 and 280-a, the Legislature created a reverse mortgage product in the State of New York to ensure that seniors could stay in their homes and utilize their equity to safeguard their financial stability. In doing so, the legislature recognized the potential for consumer abuses and entrusted the Superintendent with the authority to write regulations governing the origination and servicing of reverse mortgage loans in New York. The Department responded by promulgating the existing version of Part 79.

Now, by enacting a new RPL Section 280-b, the Legislature has determined that additional consumer protections are also needed for federal home equity conversion (“HECM”) loans insured by the federal Department of Housing and Urban Development (“HUD”). Section 280-b (2) prohibits unfair or deceptive practices in the connection with the marketing of reverse mortgage loans. Section 280-b (3) provides that lenders must provide supplemental consumer protection materials to consumers in a form or manner specified by the Superintendent. Section 280-b (4)(b) provides for special notices and warnings concerning set aside accounts.

Accordingly, the new version of Part 79 increases and clarifies disclosure requirements, requires counseling as a prerequisite to origination of a reverse mortgage, creates new advertising standards and incorporates a new financial fitness test as part of the underwriting process to evaluate the need for a set aside account.

The following is a summary of the major changes from the previous regulation:

(a) **Enhanced Disclosures.** The proposed regulation lays out specific notice language to be given to applicants warning of the potential risks of a reverse mortgage loan and the benefits of speaking with a housing counselor. Additional notice requirements would also include, among other things, a list of termination and acceleration events, information on the applicant’s 3-day cooling off and recession period, a listing of all fees and costs associated with the reverse mortgage, and a schedule of estimated payments.

(b) **Housing Counselor Checklist and Counselling Requirement.** Housing counselling will become mandatory for applicants considering loans under RPL 280 or 280-a. For applicants considering HECM loans, this new requirement is consistent with HUD regulations governing counselling. The new provisions also require the delivery of a housing counselor checklist to all reverse mortgage applicants. Such checklist lays out the items to be discussed with a housing counselor prior to moving forward with the reverse mortgage. The checklist will ensure that applicants are fully informed of the potential risks and benefits of a reverse mortgage.

(c) **Creation of Advertising Restrictions.** The current Part 79 does not include any restrictions or requirements for the advertising of reverse mortgage loans to potential consumers. The new version creates such restrictions and requirements. Specifically, certain disclosures are required if an advertisement references any material loan terms. Moreover, false or misleading statements in an advertisement are explicitly prohibited and samples of all advertisements are to be maintained for a period of three years from the date of last publication. Further, the new regulation no longer excludes reverse mortgage loans from the requirements of Part 38 of the General Regulations of the Superintendent. The advertising and disclosure requirements of Part 38 will also become applicable to supplement the new requirements of Part 79.

(d) **Financial Assessment Form/Set Aside Account.** The proposed amendments remove the unconditional requirement for a set-aside account for the payment of property taxes and insurance and instead replace it with a requirement for a financial fitness assessment of each applicant to determine their need for a set-aside. Borrowers who do reverse mortgage loans pursuant to RPL 280 or 280-a will be evaluated based upon the new test in Part 79.5 (l) (4). Borrowers who do HECM loans will be evaluated under a substantially similar test required by HUD regulations. This new financial fitness test in Part 79 is intended to be substantially similar to the HUD test.

(e) **Special Authorization by the Department to do Reverse Mortgage Loans.** The new Part 79.3(a) provides that only licensed or exempt entities may make reverse mortgage loans. Section 79.3 9 (c) (1) sets forth an application procedure and the financial responsibility requirements that must be met by a licensee. Section 79.3 (c) (2) sets forth the application provision for exempt organizations and the information they must provide before the department will grant them authority to do reverse mortgage loans. Section 79.3 (d) provides for filing deadlines.

3. **Needs and Benefits:** Based on industry and consumer concerns, the Department has identified the need to increase consumer protections for seniors taking out a reverse mortgage loan. The new version of Part 79 increases and clarifies disclosure requirements, requires counseling as a prerequisite to origination of a reverse mortgage, creates advertising standards and incorporates a financial fitness test as part of the underwriting process. These changes are consistent with the goals of RPL sections 280, 280-a and 280-b. The new regulation should provide a systematic and consistent way to regulate both HECM and non-HECM reverse mortgage loans for the benefit of senior citizens.

4. **Costs:** Although reverse mortgage lenders may incur some additional costs as a result of this regulatory change, the vast majority of reverse mortgage lenders are large businesses with the experience, resources and systems to comply with these requirements. Any additional costs created by new notices and disclosures will not be significant.

These proposed amendments will not result in any negative fiscal implications to the State.

5. **Local government mandates:** The proposed amendments do not impose any new programs, services, duties, or responsibilities upon any county, city, town, village, school district, fire district or other special district.

6. **Paperwork:** Additional disclosures and notice requirements will slightly increase the paperwork burden on the industry. The burden of this increase is outweighed by the consumer benefits expected to be received by potential customers and borrowers.

7. **Duplication:** The proposed amendments do not duplicate, overlap, or conflict with any other regulations.

8. **Alternatives:** The Department could choose not to amend the current regulation, however, without the proposed amendments the current regulation is impractical and insufficient.

9. **Federal Standards:** Section 255 of the National Housing Act governs HECM loans administered and insured by HUD. Public Law 73-479, 48 Stat. 1246. The enabling legislation is codified at 12 USC section 1715z-20. HUD has issued regulations to implement the HECM program. 24 C.F.R. Part 206.

The existing version of Part 79 did not regulate HECM loans. Such loans were excluded from the scope of our regulations. Newly enacted RPL 280-b changes that. In preparing the new Part 79, the Department tried to devise standards that are consistent with the HUD regulation wherever possible. For example, our new financial fitness requirements were derived from HUD standards in 24 C.F.R. 206.205. The Department also used the HUD definition of “Eligible Survivor Non-Mortgagor Spouse” and HUD’s eligibility criteria for the protection of these non-borrowing spouses.

To the extent the Department deviated from HUD standards in minor respects, it did so to provide incrementally greater consumer protections.

The Federal Truth in Lending Act, 82 Stat. 146, codified at 15 U.S.C. §§ 1601-1667, also applies to multiple communications lenders have with applicants and customers. Regulation Z, promulgated pursuant to the Truth in Lending Act, provides more specific rules concerning these communications. 12 C.F.R. § 1026.

10. **Compliance Schedule:** On March 5, 2020, the Department repealed and replaced Part 79 through the adoption of an emergency rulemaking, which was re-promulgated on June 3. The Department had also proposed the same rulemaking on March 5, 2020, which the Department now adopts with non-substantive changes.

The Department had promulgated this regulation on an emergency basis to ensure that Part 79 reflected changes made by RPL 280-b as of the effective date of the new statute. The immediate effectiveness of the emergency regulation caused some hardship for reverse mortgage lenders. The coronavirus crisis also made it far more difficult for the industry to engage in normal business activities or make necessary adjustments to comply with the emergency regulation.

Accordingly, in this final adoption the Department has included the following transitional provision in Section 79.14: “Notwithstanding any other applicable federal or state law or rule, for one hundred and twenty (120) days including and following the March 5, 2020 effective date of this Part, mortgages either originating or servicing RPL section 280-b loans shall not be in violation of this Part if they comply with the Part 79 that was in effect prior to March 5, 2020.”

The Department believes that the regulation in its final adopted form will not impose a greater burden upon HECM providers and should, in fact, decrease any burden and reduce the providers’ uncertainties. Accordingly, the Department did not provide for a longer transition on a forward-looking basis.

Revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement are not required for the adoption of Part 79 because the non-substantive revisions to the regulation do not require a change to the previously published Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The New York State Department of Financial Services (the “Department”) received 2 comments on proposed new version of 3 NYCRR 79 (“Part 79”) from entities representing both consumers and reverse mortgage lenders. The comments acknowledge the need to update the rules, particularly in light of the new Real Property Law section 280-b (“RPL 280-b”) which provide federal home equity conversion mortgage (“HECM”) borrowers with additional consumer protections. This Assess-

ment provides an overview of the revisions and clarifications the Department has made in response to comments, and, where applicable, the reasons for not making additional revisions or clarifications. In many instances, the Department did not make recommended revisions because it found them either unnecessary or inconsistent with the goals of the regulation.

DFS Authorizations for Reverse Mortgage Lenders

One industry commenter principally concerned with HECM loans complained that the Department had not yet posted application forms and clarifying information concerning the authorization process set forth in Part 79.3. Since receipt of that comment, the Department has prepared application materials and made them available to all who inquire and via the NMLS system.

A similar comment was made requesting clarification of the irrevocable standby letter of credit requirement in Part 79.3(c)(i). The Department has clarified such requirements as follows: First, this requirement only applies to loans done under RPL 280 or 280-a. Providers of these proprietary non-HECM loans can also request exemption from this requirement if they have a history of strong credit ratings or plan to fully disburse all proceeds at the loan closing. Second, the Department clarified that the 12-month period upon which the amount of the letter of credit is dependent, is a rolling 12-month period. Lastly, the Department clarified that the strong credit rating exemption does not apply for any period where the lender does not maintain the required strong rating for three consecutive years.

This same commenter asked the Department to set a minimum capital amount of \$10,000,000 in Part 79.3(c)(1), because this dollar amount was used in the prior version of the regulation. The Department declines to do so. The Department believes an annual adjustment of this amount is necessary and plans to post periodic notices on its website providing this minimum capital amount.

Lenders' Fees and Costs

The industry commenter requested the inclusion of additional fees in Part 79.8(c), governing fees after the closing of a loan. Upon further reflection, the Department has decided to remove this section entirely and as it was redundant of the rules already in effect under Part 419, which the Department notes is generally applicable to reverse mortgage loans.

Property Maintenance Requirements

The industry commenter considered Part 97.6 highly problematic because it introduced the term "structural integrity" into the mortgagor's obligation to maintain the property in good condition. The commenter observed that the Department's intent was unclear, and this new requirement was inconsistent with the Federal Housing Administration's Minimum Property Requirements and Minimum Property Standards. They requested Part 79.6 be eliminated in its entirety. The Department has responded by re-writing Part 79.6 to eliminate the term "structural integrity." Now, the mortgagor must maintain the property in a "reasonably similar condition, state and repair as the property is in at the time of closing."

The Department also revised the provisions concerning when a lender can perform this maintenance itself, how the lender can recover the cost of such repairs, and the dispute resolution process.

Relationship to Other DFS Mortgage Regulations

The industry commenter asked the Department to restore certain exceptions found in the old version of Part 79. In particular, the commenter requested that Parts 38, 39, 80 and 82 not apply to HECMs. With respect to Parts 80 and 82, the Department included language exempting RPL 280-b loans from such parts to the extent that compliance would conflict with the HECM reverse mortgage loan program. On the other hand, the Department feels Parts 38 and 39 should apply to all reverse mortgages. This change was intentional and not inconsistent with HUD regulations.

Changes to Key Definitions

Both commenters criticized the use of the term "termination" in Part 79.7. The Department recognizes that this term is confusing. The Department used this term because it appears in the RPL. The Department resolved this problem by adding a definition to Part 79.2. The term "termination" shall mean acceleration of a reverse mortgage loan as a result of the mortgagor's default. Acceleration is the common term of art.

The consumer advocate also pointed out that the term "Eligible Surviving Non-Mortgagor Spouse" is not consistent with the most recent guidance issued by HUD. HUD Mortgage Letter 2019-15 (September 2019). This is relevant because a non-borrowing spouse has 180 days to make an election to retain the property subject to a reverse mortgage. The Department rewrote its definition to conform to the HUD letter. The Department never intended to provide narrower rights to these non-borrowing spouses.

Other changes in definitions are discussed when evaluating specific topics in the Assessment.

Advertising Standards and Prohibited Conduct

One commenter principally concerned with consumer protection requested that restrictions on advertising not be limited to print or electronic media. They asserted that a limitation of this type is not consistent

with the defined term "advertisement" in Part 79.2. The Department has responded by striking the qualifying phrase "placed in print or electronic media" in Part 79.4 (a). The qualifying phrase is not necessary to the meaning of Part 79.4 (a), and the deletion removes an unintended ambiguity. The definition of "advertising" was also changed in Part 79.2 to remove an illustrative list of items.

The same commenter also argued that advertising restrictions should be based on a different legal standard. The regulation should prohibit "unfair and deceptive practices," as opposed to "false and misleading statements." The Department is not convinced that a meaningful distinction exists between these two phrases. One phrase refers to standardized marketing media, while the other refers to a set of behaviors and actions by people. Nonetheless, the Department moved Part 79.4(d) to Part 79.11 on prohibited conduct. Part 79.11 lists a broad range of unfair practices in marketing loans. False and misleading advertising does count as an unfair and prohibited practice. Other coercive behaviors or actions enumerated in Part 79.11 can be prohibited even if they are not upon factual misrepresentations in standardized marketing communications.

Conversely, another commenter was particularly concerned that Part 79.4(d)(1), now relocated to Part 79.11, prohibited statements that a HECM loan is "endorsed by the Federal Housing Administration or Housing and Urban Development." Please note that on April 3, 2020 the Legislature made a technical amendment to RPL 280-b that clarified the advertising standards for HECM loans. Chapter 55, Subpart Z, of the Laws of 2020. Like Part 79, this legislative amendment makes clear that only false and misleading claims about reverse mortgage loans are prohibited. Accurate claims about HECMs are not prohibited.

Finally, the industry commenter also claimed that Part 79.4 is inconsistent with the standards set forth in Regulation Z, 12 C.F.R. 1026.24, promulgated pursuant to the federal Truth in Lending Act. In response, the Department did correct one discrepancy on its proposal. The term "payments" has been changed to "repayments" in Part 79.4(c)(2)(ii), since this subsection requires a disclosure of the borrower's obligations to make payments during the full term of the loan. This sub-section did not reference a lender's obligations.

Consumer Disclosures and Notices

One commenter suggested that obligatory notices to seniors in Part 79.9 should not be printed in all capital letters, since that format makes it harder for seniors to read. The Department accepted that change.

This commenter also wanted all required notices to be translated into the top 6 languages spoken in New York State, as is done with notices under Real Property Actions and Proceedings Law section 1304. The Department did not accept this proposal. The requirements of federal Regulation Z, 12 C.F.R. 1026.24 (i)(7) are sufficient. Lenders cannot selectively communicate with customers in a foreign language and then revert to English language documentation for provisions they don't wish to emphasize. If a lender provides marketing materials and other notices in a foreign language, then it should also provide required notices in that same foreign language.

Counselling Requirements

One commenter requested clarification on the formal requirements for a counselling affidavit or certificate. They asked that DFS conform Part 79.5 and Part 79.9 to HUD standards. Conversely, the other requestor wanted the DFS to write specific language for that counselling affidavit.

The Department did not intend to deviate from HUD standards by adding additional formalities to the documentation. Thus, "counselling affidavit" has been changed to "counselling acknowledgement." A HUD Certificate of HECM Counselling, or a reasonable equivalent for non-HECM loans, is sufficient to meet this requirement. The acknowledgement need not be notarized.

One commenter requested that these counselling acknowledgements in Part 79.5(e) have an expiration term. Accordingly, the Department added a 6-month expiration for acknowledgements. We are confident that almost all reverse mortgages can proceed from counselling to commitment within 6 months. If a particular application languishes for a longer period, the prospective borrower should speak with a counsellor again.

The same commenter wanted to add an additional cooling off period between the commitment offering and commitment signing. The Department rejected that idea. A right of rescission already exists during this period, and a second cooling off period is not necessary.

Transition

The commenter mainly concerned with HECM loans asked for a 120-day transition period, starting on March 5, 2020, to come into compliance with the new version of Part 79 adopted on an emergency basis. The Department has granted this request. The Department promulgated this regulation on an emergency basis mainly to be sure Part 79 reflected changes made by RPL 280-b as of the effective date of the new statute. We understand that the immediate effectiveness of an emergency regulation can cause surprise and some hardship for the industry. The coronavirus crisis also made it far more difficult for the industry to engage in normal

business activities or make necessary adjustments to comply with the emergency regulation.

Please note that the final version of the new Part 79 will be adopted after this transition period is over. The Department is confident that the final version of Part 79 will not burden HECM providers more than the earlier emergency adoptions did. In important respects, it should decrease their burden and reduce their uncertainties. Accordingly, the Department did not provide for a longer transition than the one requested.

Finally, in response to comments for clarity, the new version of Part 79 is only applicable to reverse mortgage loan activity by a lender, broker or servicer after March 5, 2020. While the industry commenter asked the Department to write this explicitly into the regulation, the Department determined that this Assessment of Public Comment suffices to address any ambiguity.

Department of Health

EMERGENCY RULE MAKING

Enforcement of Social Distancing Measures

I.D. No. HLT-30-20-00001-E

Filing No. 424

Filing Date: 2020-07-09

Effective Date: 2020-07-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 66 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 201 and 206

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: Where compliance with routine administrative procedures would be contrary to public interest, the State Administrative Procedure Act (SAPA) § 202(6) empowers state agencies to adopt emergency regulations necessary for the preservation of public health, safety, or general welfare. In this case, compliance with SAPA for filing of this regulation on a non-emergency basis, including the requirement for a period of time for public comment, cannot be met because to do so would be detrimental to the health and safety of the general public.

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 8 million cases and 437,604 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 384,575 confirmed cases and 24,608 deaths, as of June 16, 2020, New York State is currently the most impacted state in the nation.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued (and continues to issue) Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the State. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restrictions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State.

Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order. Further, given the emergent nature of the COVID-19 outbreak, these emergency regulations are necessary to implement social distancing measures to continue to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

Subject: Enforcement of Social Distancing Measures.

Purpose: To control and promote the control of communicable diseases to reduce their spread.

Text of emergency rule: The title of Part 66 is amended as follows:

Immunizations and Communicable Diseases

A new Subpart 66-3, titled COVID-19 Emergency Regulations, is added to read as follow:

66-3.1 Duration and Applicability

The provision of this Subpart shall apply for the duration of any state disaster emergency declared pursuant to sections 28 and 29-a of the Executive Law related to the outbreak of COVID-19 in New York State. To the extent any provision of this Subpart becomes inconsistent with any Executive Order, the remainder of the provisions in this Subpart shall remain in effect and shall be interpreted to the maximum extent possible as consistent with such Executive Orders.

66-3.2 Face-Coverings

(a) Any person who is over age two and able to medically tolerate a face-covering shall be required to cover their nose and mouth with a mask or face-covering when in a public place and unable to maintain, or when not maintaining, social distance.

(b) Any paying passenger of a public or private transportation carrier or other for-hire vehicle, who is over age two and able to medically tolerate a face covering, shall wear a mask or face-covering over the nose and mouth during any such trip; any employee of such public or private transportation carrier who is operating such public or private transport, shall likewise wear a mask or face-covering which covers the nose and mouth while there are any paying passengers in such vehicle.

(c) Any employee who is present in the workplace shall be provided and shall wear a mask or face-covering when in direct contact with customers or members of the public, or when unable to maintain social distance. Businesses must provide, at their expense, such face coverings for their employees.

(d) Business operators and building owners, and those authorized on their behalf shall deny admittance to any person who fails to comply with this section and shall require or compel such persons' removal. Provided, however, that this regulation shall be applied in a manner consistent with the federal American with Disabilities Act, New York State or New York City Human Rights Law, and any other applicable provision of law.

(e) For purposes of this section:

(i) Face-coverings shall include, but are not limited to, cloth masks (e.g. homemade sewn, quick cut, bandana), surgical masks, N-95 respirators, and face shields.

(ii) A person shall be considered as maintaining social distancing when keeping at least six feet distance between themselves and any other persons, other than members of such persons' household.

66-3.3 Non-essential gatherings

(a) There shall be no non-essential gatherings of greater than ten individuals for any reason at any location in the state, including but not limited to parties, celebrations or other social events. This restriction may be modified by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region.

(b) No person, business, or other entity, shall encourage or promote

any non-essential gathering on any public property including, but not limited to, streets, sidewalks, parking lots, parks, playgrounds, or beaches, that would violate subdivision (a) of this section.

(c) All non-essential gatherings that are permitted pursuant to this section shall comply with social distancing protocols and cleaning and disinfection guidelines issued by the Department.

(d) Subdivision (a) of this section shall not apply to any essential business, as defined by the New York State Department of Economic Development d/b/a Empire State Development (ESD), pursuant to the authority provided in Executive 202.6, or as further defined in this Subpart.

66-3.4 Business operations

(a) All businesses and not-for-profit entities in the state shall utilize, to the maximum extent possible, any telecommuting or work from home procedures that they can safely utilize. Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, each employer shall reduce the in-person workforce at any work locations by 100%, provided that any essential business or entity providing essential services or functions shall not be subject to such in-person restrictions. An entity providing essential services or functions whether to an essential business or a non-essential business shall not be subjected to the in-person work restriction, but may operate at the level necessary to provide such service or function.

(b) Any business not otherwise described herein may be deemed essential after requesting an opinion from the Empire State Development Corporation, which shall review and grant such request, should it determine that it is in the best interest of the state to have the workforce continue at full capacity in order to properly respond to this disaster.

(c) Essential businesses include, but are not limited to, the following: essential health care operations including but not limited to any entity or individual licensed, certified, registered or otherwise approved pursuant to Articles 5, 28, 30, 35, 36 or 40 of the Public Health Law, or Articles 131, 131-B, 131-C, 137, 139, 140, 153, 154, 163, 164 or 165 of the Education Law, and any other research or laboratory services; essential infrastructure including utilities, telecommunication, airports and transportation infrastructure, and hotels and places of accommodation; essential manufacturing, including food processing and pharmaceuticals; essential retail including grocery stores and pharmacies; essential services including trash collection, mail, and shipping services; news media; banks and related financial institutions; providers of basic necessities to economically disadvantaged populations; construction; vendors of essential services necessary to maintain the safety, sanitation and essential operations of residences or other essential businesses; vendors that provide essential services or products, including logistics and technology support, child care and services needed to ensure the continuing operation of government agencies and provide for the health, safety and welfare of the public.

(d) Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, all restaurants and bars shall cease serving patrons food or beverages on premises, and shall only serve food or beverages for off-premises consumption which may include take-out or delivery services.

(e) Except as may otherwise be permitted by any Executive Order issued pursuant to Executive Law Section 28 and 29-A implementing the phased re-opening of New York businesses and the relaxation of social distancing rules by region, all indoor common portions of retail shopping malls with in excess of 100,000 square feet of retail space available for lease shall remain closed. Any stores located within such shopping malls, which have their own external entrances open to the public, separate from the general mall entrance, and which are essential businesses may remain open, provided that any restaurant shall limit itself to take out or delivery food services, and that any interior entrances to common areas of the mall remain closed and locked.

66-3.5 Penalties

A violation of any provision of this Subpart is subject to all civil and criminal penalties as provided for by law. Individuals who violate this Subpart are subject to a maximum fine of \$1,000 for each violation. For purposes of civil penalties, each day that there is a non-essential gathering or that a business operates in a manner inconsistent with the Subpart shall constitute a separate violation under this Subpart.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires October 6, 2020.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

The statutory authority for adding a new Subpart 66-3 is sections 201 and 206 of the Public Health Law.

Legislative Objectives:

The legislative objective of PHL § 201 includes authorizing the New York State Department of Health ("Department") to control and promote the control of communicable diseases to reduce their spread. Likewise, the legislative objective of PHL § 206 includes authorizing the Commissioner of Health to take cognizance of the interests of health and life of the people of the state, and of all matters pertaining thereto and exercise the functions, powers and duties of the department prescribed by law, including control of communicable diseases.

Needs and Benefits:

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 8 million cases and 437,604 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 384,575 confirmed cases and 24,608 deaths, as of June 16, 2020, New York State is currently the most impacted state in the nation.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria.

Additionally, Executive Orders have continued to be issued to extend restrictions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State. Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order.

In light of this situation, these regulations are necessary to further implement social distancing measures to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

Costs:

Costs to Regulated Parties:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

Costs to Local and State Governments:

State and local government are authorized to enforce civil and criminal penalties related to the violation of these regulations, and there may be some cost of enforcement. Notably, pursuant to section 12-b of the PHL, any person who willfully violates these regulations may be subject to criminal penalties including imprisonment not exceeding one year, or a fine not exceeding \$10,000, or by both. However, pursuant to Executive Order 202.14, the criminal penalty for the willful violation of these regulations is currently limited to \$1,000.

Paperwork:

This regulation imposes no additional paperwork.

Local Government Mandates:

This regulation imposes no additional local mandates beyond what has already been required pursuant to Executive Orders.

Duplication:

The purpose of this regulation is to codify certain provision regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. There is no duplication of federal law.

Alternatives:

The alternative would be to not codify provisions of Executive Orders into the Department's regulations. However, this alternative was rejected, as the Department believes that codification will facilitate increased awareness and enforcement.

Federal Standards:

States and local governments have primary authority for controlling disease within their respective jurisdictions. Accordingly, there are no federal statutes or regulations that apply to disease control within NYS.

Compliance Schedule:

The regulations will become effective upon filing with the Department of State.

Regulatory Flexibility Analysis**Effect on Small Business and Local Government:**

As part of ongoing efforts to address the COVID-19 pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state, that have a significant impact on small business and local government. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restrictions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State. Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order.

As the purpose of these regulations is to codify existing obligations imposed pursuant to the above referenced Executive Orders, the effect of this regulation is expected to be minimal.

Compliance Requirements:

These regulations codify existing obligations imposed pursuant to the above referenced Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. To comply with these regulations, small businesses that are non-essential must reduce their in-person work force by 100%, unless subject to reopening of relaxation of social distancing requirements pursuant Executive Orders establishing phased regional reopening criteria and requirements.

Professional Services:

It is not expected that any professional services will be needed to comply with this rule.

Compliance Costs:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

Economic and Technological Feasibility:

There are no economic or technological impediments to the rule changes.

Minimizing Adverse Impact:

As the proposed regulations codify existing provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.

Small Business and Local Government Participation:

Due to the emergent nature of COVID-19, small business and local governments were not consulted.

Rural Area Flexibility Analysis**Types and Estimated Numbers of Rural Areas:**

While this rule applies uniformly throughout the state, including rural areas, for the purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means areas of the state defined by Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein."

The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County
Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties of have population of 200,000 or greater, and towns with population densities of 150 person or fewer per square mile, based upon the United States Census estimated county populations for 2010:

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, recordkeeping, and other compliance requirements; and professional services:

These regulations codify existing obligations imposed pursuant to several Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. Specifically, on March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, numerous Executive Orders were issued to, among other things, require the reduction of the in-person workforce of non-essential work locations by 100% and order the cancellation/postponement of non-essential gatherings of any size for any reason, which have both subsequently been modified by more recent Executive Orders, consistent with phased regional reopening criteria. Additionally, Executive Orders have continued to be issued to extend restrictions related to closures of indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Executive Orders and corresponding Department guidance have also been issued to require adherence to certain measures by restaurants (i.e., outdoor seating in Phase 2 regions) to account for the phased regional reopening of the State.

Although New York State has shifted to a regional reopening, resulting in a phased relaxation of these prohibitions/requirements, "New York on PAUSE" still applies unless otherwise explicitly modified by Executive Order. The Executive Order provisions referenced above have applied and continue to be applied equally to rural areas of the state.

Compliance Costs:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo

through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

Economic and Technological Feasibility:

There are no economic or technological impediments to the rule changes.

Minimizing Adverse Impact:

As the proposed regulations codify existing provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.

Rural Area Participation:

Due to the emergent nature of COVID-19, parties representing rural areas were not consulted.

Job Impact Statement

The Department of Health has determined that this regulatory change will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

**REGULATORY IMPACT
STATEMENT,
REGULATORY FLEXIBILITY
ANALYSIS, RURAL AREA
FLEXIBILITY ANALYSIS
AND/OR
JOB IMPACT STATEMENT**

Prohibition of Fireworks

I.D. No. HLT-29-20-00003-E

This regulatory impact statement, regulatory flexibility analysis, rural area flexibility analysis and/or job impact statement pertain(s) to a notice of Emergency rule making, I.D. No. HLT-29-20-00003-E, printed in the *State Register* on July 22, 2020.

Regulatory Impact Statement

Statutory Authority:

The statutory authority for the regulatory amendment to Subpart 9-4 of Title 10 of the Official Compilation of Codes, Rules, and Regulations of the State of New York is section 225 of the Public Health Law (PHL), which authorizes the Public Health and Health Planning Council (PHHPC), subject to the approval of the Commissioner of Health (Commissioner), to establish and amend the State Sanitary Code (SSC) provisions related to any matters affecting the security of life or health or the preservation and improvement of public health in the State of New York.

Legislative Objectives:

The legislative objective of PHL section 225 is to regulate all matters affecting the security of life or health or the preservation and improvement of public health in the State of New York. Prohibiting unpermitted use of fireworks in consistent with that authority.

Needs and Benefits:

On July 3, 2020, Governor Andrew M. Cuomo issued Executive Order No. 202.47, which directed and authorized the Department of Health to issue emergency regulations prohibiting the use of fireworks, consistent with Section 270.00 of the Penal Law. In 2019, there were reports of 12 non-occupational, fireworks-related deaths in the United States, as well as an estimated 10,000 injuries treated in U.S. hospital emergency departments. Children younger than 15 years of age accounted for 36 percent of the estimated fireworks-related injuries. Similar to 2018, nearly half of the estimated emergency department-treated, fireworks-related injuries were to individuals younger than 20 years of age. Children 0 to 4 years of age had the highest estimated rate of emergency department-treated, fireworks-related injuries.

Hospitals are on the front lines of the efforts to treat and care for people suffering from COVID-19, and it is important that New York State not lose the gains it has made in reducing daily case counts through diligent social distancing. As we continue our efforts to minimize COVID-19 case counts and “flatten the curve,” it is imperative that people stay safe and refrain from illegal firework use, both to protect themselves and others from fireworks-related injuries, and to minimize the impact on hospital emergency departments.

In addition, there has been evidence of incidents of increased use of fireworks and dangerous fireworks, including in dense areas, which are especially unsafe conditions in which to use them. Use of fireworks and dangerous fireworks presents a danger to public health especially when used in close proximity to people and structures, and the increased prevalence of these reports justifies the need for this emergency regulation to deter such use and protect the public health.

Costs:

Costs to Regulated Parties:

Per Executive Order No. 202.47, Governor Andrew M. Cuomo directed and authorized the Department of Health to issue emergency regulations prohibiting the use of fireworks, consistent with section 270.00 of the Penal Law. Although there has been a long-standing prohibition against fireworks in the Penal Law (subject to certain exceptions), the prohibition of their use and the establishment of a penalty through the PHL would permit violations to be subject to additional civil and criminal penalties provided for by law, including but not limited to criminal penalties under PHL section 12-b. For the purposes of civil penalties, these regulations impose a maximum fine of \$500 for the first violation (i.e., use of fireworks), \$1,000 for the second violation, and \$2,000 for each additional violation.

Costs to Local and State Governments:

Per Executive Order No. 202.47, Governor Andrew M. Cuomo directed and authorized the Department of Health to issue emergency regulations prohibiting the use of fireworks, consistent with section 270.00 of the Penal Law. Accordingly, under this regulation, local boards of health have authority to assess civil penalties for the use of fireworks. However, it is not anticipated that this regulation will impose any significant costs to state or local governments, as there has been a longstanding prohibition of fireworks within the Penal Law, and this regulation provides an additional enforcement mechanism for local governments.

Paperwork:

This regulation imposes no additional paperwork.

Local Government Mandates:

There are no specific mandates on local governments.

Duplication:

Per Executive Order No. 202.47, Governor Andrew M. Cuomo directed and authorized the Department of Health to issue emergency regulations prohibiting the use of fireworks, consistent with section 270.00 of the Penal Law. Although this regulation prohibits the use of fireworks in accordance with section 270.00 of the Penal Law, it also establishes a penalty for their use through PHL. Accordingly, there is no duplication of State law.

Alternatives:

The alternative would be to not promulgate the regulation. However, this alternative was rejected, as the Department of Health believes that this regulation will facilitate increased awareness and enforcement, and the regulation is consistent with Executive Order 202.47.

Federal Standards:

Federal regulations govern certain aspects of firework importation, distribution, storage, and use, as well as the types of fireworks that are legal. However, this regulation does not duplicate those standards and is consistent with the states' authority to place additional regulations on the use of fireworks.

Compliance Schedule:

The regulation became effective upon filing with the Department of State.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Businesses and Local Governments is not being submitted with this regulation, as this regulation will not impose any adverse economic impact or reporting, recordkeeping, or other compliance requirements on small businesses or local governments. Unpermitted use of fireworks is already illegal under section 270.00 of the Penal Law, this regulation provides penalties for their use through the Public Health Law. Further, this regulation does not distinguish between different types and sizes of regulated parties located in different geographical areas.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not being submitted with this regulation because it will not impose any adverse impact on any rural areas. This regulation has been promulgated in response to the directive contained in Executive Order No. 202.47, and will have uniform statewide application.

Job Impact Statement

The Department of Health has determined that this regulatory change will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

Public Service Commission

ERRATUM

A Notice of Proposed Rule Making, ID no. PSC-25-20-00016-P, pertaining to Modifications to the Low-Income Affordability Program, published in the June 24, 2020 issue of the *State Register* was mistakenly published with an incorrect Public Service Commission Case Number of 15-M-0565SP14. The correct Case Number for this proposal should be 14-M-0565SP14.

NOTICE OF ADOPTION**Extension of Inside Baseline Gas Service Line Inspections**

I.D. No. PSC-18-20-00001-A

Filing Date: 2020-07-09

Effective Date: 2020-07-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 7/9/20, the PSC adopted an order, adopting the emergency rule on a permanent basis, authorizing New York local distribution gas companies to extend completion of inside baseline gas service line inspections to August 1, 2020.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Extension of inside baseline gas service line inspections.

Purpose: To adopt the emergency rule on a permanent basis.

Substance of final rule: The Commission, on July 9, 2020, adopted an order adopting the emergency rule on a permanent basis, authorizing New York local distribution gas companies to extend completion of inside baseline gas service line inspections to August 1, 2020, subject to the terms and conditions set forth in the order.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-G-0244SA4)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition to Submeter Electricity and Request for Waiver of 16 NYCRR § 96.5(k)(3)

I.D. No. PSC-30-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of 52-41 Center LLC to submeter electricity at 52-41 Center Boulevard, Long Island City, New York and request for waiver of 16 NYCRR § 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the petition, filed by 52-41 Center LLC Site on May 14, 2020, to submeter electricity at 52-41 Center Boulevard, Long Island City, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the petition, 52-41 Center LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The owner states that because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems and therefore, an energy audit is not appropriate in this case.

The full text of the petition and waiver request and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0234SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Tariff Modifications to Include Provisions to Enter into Negotiated Agreements for Billing Services

I.D. No. PSC-30-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by the Orange and Rockland Utilities, Inc., to modify its electric tariff schedule, P.S.C. No. 3—Electricity, regarding specific provisions to enter into negotiated agreements for billing services.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Tariff modifications to include provisions to enter into negotiated agreements for billing services.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Commission is considering a proposal filed by the Orange and Rockland Utilities, Inc. (O&R or the Company) on June 26, 2020, to amend its electric tariff schedule, P.S.C. No. 3. The amendment would include specific provisions allowing O&R to enter into negotiated agreements for billing services.

The Company, at its sole discretion, may negotiate and execute a Service Agreement to provide billing services on behalf of an entity that is not provided electric service under any Rider or Service Classification of this Rate Schedule. Such Service Agreement shall provide for the Company's recovery of a fixed monthly service fee, plus any costs incurred by the Company on behalf of the entity. The Company shall file executed Service Agreements with the Commission. The proposed amendment has an effective date of November 1, 2020.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page:

www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0313SP1)

State University of New York

ERRATUM

A Notice of Emergency Adoption, I.D. No. SUN-29-20-00005-A, pertaining to Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees, published in the July 22, 2022 issue of the *State Register*, indicated that a new section would be added and numbered as section "302.14-a." In order to maintain consistency with the numbering system for the NYCRR, the unaltered text of the new provision will appear instead as new section 302.19 of Title 8 NYCRR.

Department of Taxation and Finance

EMERGENCY RULE MAKING

Property Tax Levy Limits for School Districts in Relation to Certain Costs Resulting from Capital Local Expenditures

I.D. No. TAF-02-20-00001-E

Filing No. 425

Filing Date: 2020-07-10

Effective Date: 2020-07-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 8300 to Title 20 NYCRR.

Statutory authority: Education Law, section 2023-a(2)(c); Tax Law, section 171, subdivision First

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Commissioner is required, pursuant to Education Law section 2023-a(2)(c), to, as appropriate, promulgate rules and regulations that may provide for adjustment of capital local expenditures to reflect a school district's share of additional budgeted capital expenditures made by a board of cooperative educational services (BOCES).

These amendments adding new Part 8300 to 20 NYCRR are consistent with this statutory requirement and are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES.

This rule is being re-adopted on an emergency basis in order to allow school districts to include in their capital local expenditures for their 2020-21 school budgets their allowable share of additional budgeted capital expenditures made by a BOCES.

Subject: Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures.

Purpose: To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts.

Text of emergency rule: A new Part 8300 is added to read as follows:

Part 8300

Capital Local Expenditures for School District's Share of Additional Budgeted Capital Local Expenditures Made by a Board of Cooperative Educational Services

Section 8301. Definition of Capital Local Expenditures.

(a) Pursuant to Education Law Section 2023-a, beginning in the 2020-2021 school year and each school year thereafter, school districts may increase their property tax levy above the levy limit for certain costs resulting from Capital Local Expenditures, including the allowable share of board of cooperative educational services (BOCES) capital expenditures.

(b) For purposes of this section, Capital Local Expenditures are defined as those expenditures, including a school district's allowable share of BOCES capital expenditures, resulting from the financing, refinancing, acquisition, design, construction, reconstruction, rehabilitation, improvement, furnishing and equipping of, or otherwise providing for school district/BOCES capital facilities, school district/BOCES capital equipment, including debt service and lease expenditures, and transportation capital debt service, subject to the approval of the qualified voters where required by law.

(c) Capital Local Expenditures must be for projects with a period of probable usefulness as defined in Local Finance Law.

(d) Capital Local Expenditures do not include expenditures for maintenance or operations.

(e) For the purposes of calculating the levy limit for 2020-2021, a district's share of BOCES capital expenditures in 2019-2020 must be added to the calculation of the prior year capital local expenditures.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. TAF-02-20-00001-EP, Issue of January 15, 2020. The emergency rule will expire September 7, 2020.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Tax Law, section 171, subdivision First, generally authorizes the Commissioner of Taxation and Finance to promulgate regulations; Education Law section 2023-a(2)(c) provides that the Commissioner of Taxation and Finance shall, as appropriate, promulgate rules and regulations that may provide for adjustment of capital local expenditures to reflect a school district's share of additional budgeted capital expenditures made by a board of cooperative educational services (BOCES).

2. LEGISLATIVE OBJECTIVES:

The amendments adding new Part 8300 to 20 NYCRR are consistent with the above statutory authority and are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES.

3. NEEDS AND BENEFITS:

Education Law section 2023-a provides that, unless otherwise provided by law, the amount of taxes that may be levied by or on behalf of any school district, other than a school district of a city with 125,000 inhabitants or more, shall not exceed the tax levy limit established pursuant to such section. This section provides for separate approval of certain capital local expenditures and also provides that the Commissioner of Taxation and Finance shall, as appropriate, promulgate rules and regulations that may provide for adjustment of capital local expenditures to reflect a school district's share of additional budgeted capital expenditures made by a BOCES.

Under this authority the proposed amendments provide that, beginning in the 2020-2021 school year and each school year thereafter, school districts may include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: The amendments do not impose any costs on the regulating agency for implementation and continued administration.

5. LOCAL GOVERNMENT MANDATES:

The amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES. There were no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that all regulated parties will be in compliance with the amendments when they take effect.

Regulatory Flexibility Analysis**(a) Small businesses:****1. EFFECT OF RULE:**

These amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a board of cooperative educational services (BOCES). The amendments do not affect small business taxpayers any differently than other taxpayers.

2. COMPLIANCE REQUIREMENTS:

The amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES and do not impose any additional compliance requirements.

3. PROFESSIONAL SERVICES:

The amendments do not impose any additional professional services requirements on small businesses.

4. COMPLIANCE COSTS:

The amendments do not impose any additional program, service, duty, responsibility or cost on small businesses, beyond those inherent in the implementation of Education Law section 2023-a.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The rule does not impose any additional costs or technological requirements on small businesses.

6. MINIMIZING ADVERSE IMPACT:

The amendments provide school districts with flexibility to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES. The amendments minimize adverse impact on local governments and do not impose any additional costs to the State, regulated parties, or the Department of Taxation and Finance beyond those inherent in the implementation of Education Law section 2023-a. Accordingly, no alternatives were considered.

7. SMALL BUSINESS PARTICIPATION:

Comments on the rule will be solicited from the United States Chamber of Commerce Small Business Council.

(b) Local government:**1. EFFECT OF RULE:**

The amendments apply to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES and do not impose any additional compliance requirements.

3. NEEDS AND BENEFITS:

Education Law section 2023-a provides that, unless otherwise provided by law, the amount of taxes that may be levied by or on behalf of any school district, other than a school district of a city with 125,000 inhabitants or more, shall not exceed the tax levy limit established pursuant to that section. Section 2023-a provides for separate approval of certain capital local expenditures and also provides that the Commissioner of Taxation and Finance shall, as appropriate, promulgate rules and regulations that may provide for adjustment of capital local expenditures to reflect a school district's share of additional budgeted capital expenditures made by a BOCES.

Rural Area Flexibility Analysis**1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:**

The proposed amendments apply to all school districts in the State, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of ad-

ditional budgeted capital expenditures made by a board of cooperative educational services (BOCES).

3. COMPLIANCE COSTS:

The proposed amendments do not impose any additional costs on the State, regulated parties, or the Department of Taxation and Finance, beyond those inherent in the implementation of Education Law section 2023-a.

4. MINIMIZING ADVERSE IMPACT:

Since the proposed amendments provide school districts with flexibility to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a BOCES, the proposed amendments minimize adverse impacts on rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed amendments will be solicited from the State Education Department's Rural Advisory Committee, whose membership includes school districts located in rural areas.

Job Impact Statement

These amendments are necessary to allow school districts to include in their capital local expenditures their allowable share of additional budgeted capital expenditures made by a board of cooperative educational services.

The amendments will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the amendments that they will have no impact on jobs or employment opportunities attributable to the adoption of the rule, or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Housing and Community Renewal, Division of		
HCR-26-20-00012-P	Schedule of Reasonable Costs for Major Capital Improvements in Rent Regulated Housing Accommodations	641 Lexington Ave., New York, NY— September 9, 2020, 10:00 a.m. Note: hearing may be canceled, re-scheduled or conducted remotely due to COVID-19. Notice of any change shall be provided on the agency's website.
Lake George Park Commission		
LGP-29-20-00006-P	Amendment of Stormwater Regulations Within the Lake George Park	Fort William Henry, 48 Canada St., Lake George, NY—September 22, 2020, 4:00 p.m.
Long Island Power Authority		
LPA-28-20-00033-EP	LIPA's Late Payment Charges, Reconnection Charges, and Low-income Customer Discount Enrollment	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—September 14, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY— September 14, 2020, 2:00 p.m.
Public Service Commission		
PSC-23-20-00008-P	Disposition of sales tax refund and other related matters	Department of Public Service, 19th Fl. Board Rm., Three Empire State Plaza, Albany, NY— August 11, 2020 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)* *On occasion, it is necessary or appropriate to reschedule or postpone hearing dates. In such an event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 20-M-0134.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGE-34-19-00014-P	10/05/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State

AGING, OFFICE FOR THE

AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-12-20-00006-P	03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
AAM-21-20-00002-P	05/27/21	Milk and Milk Products	To incorporate federal requirements applicable to the processing and manufacture of milk and milk products
AAM-27-20-00001-EP	07/08/21	Spotted Lanternfly ("SL")	To prevent SL-infested articles originating in specific counties in NJ, PA, MD, VA and WV from entering NYS

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-19-20-00001-P	05/13/21	General service standards applicable to outpatient substance use disorder programs	To set-forth the minimum regulatory requirements for certified outpatient substance use disorder treatment programs.
ASA-28-20-00013-P	07/15/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00014-P	07/15/21	Specialized Services	To replace the term "chemical dependence" with "addiction"
ASA-28-20-00016-P	07/15/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-24-20-00001-EP	06/17/21	Medical reviews for child placement	To modernize the requirements for medical reviews so that required standards not act as a barrier for child placement
CFS-24-20-00014-EP	06/17/21	To implement and enforce emergency health guidance as put forward by the Executive Chamber and DOH.	To implement and enforce emergency health guidance as put forward by the Executive Chamber and DOH.
CIVIL SERVICE, DEPARTMENT OF			
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-45-19-00003-P	11/05/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-19-00004-P	11/05/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-45-19-00005-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-45-19-00006-P	11/05/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-45-19-00007-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-45-19-00009-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-20-00006-P 02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-06-20-00007-P 02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P 02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P 04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P 04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P 04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P 04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P 04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P 04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P 04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P 04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P 04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P 04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P 04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P 04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P 04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P 05/06/21	Jurisdictional Classification	To classify a position in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-20-00002-P	06/17/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-24-20-00003-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00004-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00005-P	06/17/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-20-00006-P	06/17/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-20-00007-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00008-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00009-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-20-00010-P	06/17/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	07/15/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	07/15/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	07/15/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	07/15/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-28-20-00011-P	07/15/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	07/15/21	Jurisdictional Classification	To classify positions in the non-competitive class
CORRECTION, STATE COMMISSION OF			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
*CCS-21-19-00014-P	09/05/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-30-19-00010-ERP	10/05/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
CJS-19-20-00010-P	05/13/21	Part 364 - Conditional release conditions.	Conform to the recent changes made by the Legislature by removing the term "gravity knife".
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-09-20-00007-RP	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	10/05/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	10/05/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-11-20-00013-RP	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-16-20-00002-ERP	04/22/21	Addressing the COVID-19 Crisis	To iprovide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-20-20-00008-ERP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-25-20-00004-P	06/24/21	Alternative High School Equivalency Preparation and Alternative Transition Programs	To provide expanded access to and update Alternative High School Equivalency Preparation and Alternative Transition Programs
EDU-25-20-00005-EP	06/24/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-25-20-00006-EP	06/24/21	Licensing Examinations in the Profession of Public Accountancy	Conform the Commissioner's Regulations to the national licensing examination standards in public accountancy
EDU-25-20-00007-EP	06/24/21	Professional Student of Nursing	To implement Chapter 502 of the Laws of 2017 and Chapter 380 of the Laws of 2018
EDU-25-20-00008-EP	06/24/21	Eligibility for Participation of Students With Section 504 or ADA Plans in Interschool Competition and Inclusive Athletics	To clarify the eligibility requirements for participation of students with section 504 or ADA plans in interschool competition
EDU-30-20-00002-P	07/29/21	Creating a transitional J Certificate for Military Spouses	To create a Transitional J certificate for spouses of individuals on full-time active duty with the Armed Forces
EDU-30-20-00003-P	07/29/21	Creating Safety Nets for the Arts Content Specialty Tests (CSTs)	To create a safety net for the Arts Content Specialty Tests (CSTs)
EDU-30-20-00004-EP	07/29/21	Addressing the COVID-19 crisis and planning for the reopening of schools	To provide regulatory flexibility due to the COVID-19 crisis and to plan for the reopening of schools
EDU-30-20-00005-P	07/29/21	Creating a Safety Net for the School Building Leader Assessment	To create a safety net for the School Building Leader Assessment

ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE

ERD-19-20-00012-P	05/13/21	CO2 Allowance Auction Program	Continued administration and implementation of the CO2 allowance auctions and programs under Part 507
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ENVIRONMENTAL CONSERVATION, DEPARTMENT OF

ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-37-19-00003-P	10/05/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-11-20-00002-P	03/18/21	Brookfield Trail System	To protect public safety and natural resources on the Brookfield Trail System
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.
ENV-15-20-00015-EP	04/15/21	Regulations governing commercial and recreational fishing for striped bass.	To amend 6 NYCRR Parts 10 and 40 pertaining to commercial and recreational regulations for striped bass.
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program
ENV-17-20-00006-P	04/29/21	Emission Statements	The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.
ENV-21-20-00003-EP	05/27/21	Regulations governing the recreational harvest of bluefish	To revise regulations concerning the recreational harvest of bluefish in New York State
ENV-22-20-00003-P	06/03/21	Amendments to New York State migratory game bird hunting regulations	To bring New York State migratory game bird hunting regulations into compliance with Federal Code of Regulations
ENV-22-20-00004-P	06/03/21	Amendments to New York State mink, muskrat, and beaver trapping season dates	To align existing mink, muskrat and beaver trapping season start dates and adjust the seasons to trapper-preferred dates
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-33-19-00004-P	10/05/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	10/22/20	Independent Dispute Resolution for Emergency Services and Surprise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-11-20-00001-P	03/18/21	Corporate Governance	To require an authorized insurer to adopt a corporate governance framework and file an annual disclosure
DFS-24-20-00015-EP	06/17/21	Paid Family Leave COVID-19 Risk Adjustment Mechanism	Establishment of a risk adjustment mechanism as required by Chapter 25 of the Laws of 2020
DFS-27-20-00002-P	07/08/21	Mental Health and Substance Use Disorder Treatment Parity Compliance Program	To establish mental health and substance use disorder parity compliance program requirements
GAMING COMMISSION, NEW YORK STATE			
SGC-22-20-00008-P	06/03/21	Permit harness horses to race without qualifying in extraordinary circumstances	To enhance harness racing in New York and promote a reasonable return for government
SGC-22-20-00009-P	06/03/21	Technical changes to correct cross-references in the regulations	To correct cross-references in the regulations
GENERAL SERVICES, OFFICE OF			
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-30-19-00006-RP	10/05/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00006-P	10/05/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00004-P	10/05/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.

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HEALTH, DEPARTMENT OF			
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	01/28/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.
HLT-04-20-00003-P	01/28/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	01/28/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-04-20-00012-P	01/28/21	State Aid for Public Health Services: Counties and Cities	Clarifying State Aid payments for maintaining a cooling tower program.
HLT-11-20-00003-P	03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00005-P	07/08/21	Private Duty Nursing Services to Medically Fragile Children	To amend the Medicaid reimbursement for fee-for-service private duty nursing provided to medically fragile children
HLT-27-20-00006-P	07/08/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-27-20-00007-P	07/08/21	Development of Mental Health and Substance Use Disorder Parity Compliance Programs	To establish standards for a mental health and substance use disorder parity compliance program
HLT-28-20-00019-P	07/15/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP

HOUSING AND COMMUNITY RENEWAL, DIVISION OF

*HCR-21-19-00019-P	10/05/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HCR-26-20-00012-EP	09/09/21	Schedule of Reasonable Costs for Major Capital Improvements in rent regulated housing accommodations	Provide a schedule of reasonable costs for Major Capital Improvements in rent regulated housing accommodations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HOUSING FINANCE AGENCY			
*HFA-21-19-00020-P	10/05/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUDSON RIVER PARK TRUST			
HPT-19-20-00011-P	05/13/21	Amendment of rules and regulations for Hudson River Park	To create a new penalty schedule for the enforcement of violations of Park rules
JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE			
JPE-28-20-00031-P	07/15/21	Source of funding reporting	Clarifying amendments to Source of Funding reporting
JPE-28-20-00032-P	07/15/21	Amendments to the lobbying regulations	To clarify the lobbying regulations that implement the provisions of the Lobbying Act
LABOR, DEPARTMENT OF			
LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAKE GEORGE PARK COMMISSION			
LGP-29-20-00006-P	09/22/21	Amendment of Stormwater Regulations within the Lake George Park	To more adequately control and minimize the pollutants found in stormwater runoff from going into Lake George
LAW, DEPARTMENT OF			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-37-18-00013-P exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-20-00010-P exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LONG ISLAND RAILROAD COMPANY			
LIR-20-20-00005-EP 05/20/21	The conduct and safety of the public in the use of terminals, stations, and trains operated by The Long Island Railroad Company	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminals and stations
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-20-20-00002-EP 05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of the transit system
MEDICAID INSPECTOR GENERAL, OFFICE OF			
MED-28-20-00029-P 07/15/21	Monetary Penalties	To amend regulations governing the imposition of monetary penalties under the Medicaid program
MENTAL HEALTH, OFFICE OF			
OMH-47-19-00001-P 11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-12-20-00003-P 03/25/21	Uncompensated care funds issued pursuant to the Indigent Care Program.	To ensure the appropriate allocation of uncompensated care funds.
OMH-18-20-00003-P 05/06/21	Clinic Treatment Plans	To provide more flexibility in the development and execution of an individual's treatment plan
METRO-NORTH COMMUTER RAILROAD			
MCR-20-20-00004-EP 05/20/21	The conduct and safety of the public in the use of terminal, stations, and trains operated by Metro-North Commuter Railroad	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminal and stations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
METROPOLITAN TRANSPORTATION AGENCY			
*MTA-23-19-00006-ERP	09/02/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
MOTOR VEHICLES, DEPARTMENT OF			
MTV-28-20-00001-P	07/15/21	Limited Use Vehicles - Equipment	Removes an obsolete, unnecessary requirement for seat height on 2 and 3 wheeled limited use motorcycles
MTV-28-20-00002-P	07/15/21	Safety Criteria for Registration - Motorcycles	removes an obsolete, unnecessary requirement for seat height on motorcycles
MTV-28-20-00030-P	07/15/21	Dealer and transporters -procedures used for certificates of sale	makes minor technical change removing an obsolete reference and conforms regulation with federal regulation
NEW YORK CITY TRANSIT AUTHORITY			
NTA-20-20-00001-EP	05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by New York City Transit Authority	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of tge transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-23-20-00005-EP	06/10/21	face coverings, social distancing and dispersal of groups not from the same household or family unit	To encourage patrons to wear face coverings or stay six feet away from other patrons and not congregate in groups at parks
PKR-29-20-00001-P	07/22/21	Listing of state parks, parkways, recreation facilities and historic sites (facilities). New York City Region	To update the listing of state parks, parkways, recreation facilities and historic sites in the New York City Region
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-29-20-00002-EP	07/22/21	Operating Certificates	Outlines the required operating certificates for providers who intend to provide care coordination sand crisis intervention

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspurity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
*PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
*PSC-23-19-00005-P exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00011-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-34-19-00015-P exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-34-19-00018-P exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00020-P exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-36-19-00011-P exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-43-19-00014-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00008-P exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
PSC-46-19-00010-P exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-48-19-00007-P exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-19-00001-P exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00003-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00005-P exempt	Compensation of and rates for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00006-P exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-03-20-00009-P exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-04-20-00014-P exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-05-20-00003-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-20-00004-P exempt	A statewide Make-Ready Program that that would provide incentives to deploy EVSE&I to charge light duty electric vehicles (EV).	To deploy the infrastructure needed to meet the State's goals of 850,000 EVs by 2025 and recommend appropriate utility roles.
PSC-05-20-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00014-P exempt	A program for the procurement of Renewable Energy Certificates from existing renewable resources.	To purchase Renewable Energy Certificates and maintain the State's baseline of existing renewable resources.
PSC-06-20-00016-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-06-20-00017-P exempt	Petitions for rehearing, reconsideration, clarification and stay of the December 12, 2019 Order.	To determine whether the Commission should grant, deny, or modify the relief sought and actions proposed by Petitioners
PSC-07-20-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00010-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-08-20-00003-P exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-09-20-00002-P exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-20-00003-P exempt	Proposed transfer of the Company's assets to the Town and dissolution of the Company.	To determine if transfer of the water system to the Town of North Greenbush is in the public interest.
PSC-09-20-00004-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-20-00005-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-09-20-00006-P exempt	Petition for the use of an electric meter in submetering applications.	Whether to permit the use of the GG electric meter in submetering applications in New York State.
PSC-10-20-00003-P exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-11-20-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00007-P exempt	Deferral and recovery of incremental costs and establishment of an extraordinary repair escrow account.	To consider deferring costs related to water main leak repairs for subsequent recovery and establishment of an escrow account.
PSC-11-20-00008-P exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00009-P exempt	Proposed transfer of water supply assets.	To determine whether the transfer of assets from Whitlock to NYAW is in the public interest.
PSC-11-20-00011-P exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00008-P exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00010-P exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-12-20-00011-P exempt	Sale of facilities.	To consider whether the sale of facilities is in the public interest.
PSC-12-20-00013-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-13-20-00006-P exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-20-00008-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Lancaster.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-15-20-00011-P exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00012-P exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00013-P exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-15-20-00014-P exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-16-20-00003-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00004-P exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-16-20-00005-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00006-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00007-P exempt	Proposed plan to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00008-P exempt	Extension of the ESA between New York State Electric & Gas Corporation and Nucor Steel Auburn, Inc.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preference.
PSC-16-20-00009-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00010-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Clifton Park.
PSC-16-20-00011-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-17-20-00008-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-17-20-00009-P exempt	Proposed filing to provide credits for AMI non-residential customer sided meters.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-20-00010-P exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-17-20-00011-P exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00012-P exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Gas Customers.	To consider appropriate tariff provisions for non-compliant Interruptible and Off-Peak Firm Gas Customers.
PSC-18-20-00012-P exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00013-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Clyde.
PSC-18-20-00014-P exempt	Tariff modifications to reduce customer costs related to relocating customer owned equipment for back-lot service relocations.	To facilitate the relocation of service lines owned by customers from the back of their lots to the front.
PSC-18-20-00015-P exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00003-P exempt	Continued implementation of the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-19-20-00004-P exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00006-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-19-20-00007-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-19-20-00008-P exempt	Review of CECPN ownership transfer and related assets among CHPE, Inc., CHPE Properties, Inc., and CHPE, LLC	To consider the transfer of the CECPN and assets related to the Champlain Hudson Power Express Project.
PSC-19-20-00009-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-21-20-00006-P exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Village of Dryden.
PSC-21-20-00007-P exempt	The methodology for the calculation of reactive power demand.	To revise the methodology for the calculation of reactive power demand.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-20-00008-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-21-20-00009-P exempt	Consideration of the NFG petition to modify Audit Implementation Plans.	To consider if modifying the Audit Implementation Plans are in the public interest.
PSC-21-20-00010-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Thompson.
PSC-21-20-00011-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.
PSC-22-20-00001-EP exempt	Modifications to electric utility dynamic load management (DLM) demand reduction programs.	To encourage DLM participation in summer 2020 capability period despite uncertainty due to the State Disaster Emergency.
PSC-22-20-00005-P exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To reduce unnecessary waste and disposal of directory listings.
PSC-22-20-00006-P exempt	Proposed tariff amendment regarding the billing of customers participating in the Preservation Power Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-20-00007-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-23-20-00006-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IDT Energy, Inc. and Residents Energy, LLC should be permitted to offer Green Gas Products in New York.
PSC-23-20-00007-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether American Power & Gas LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-23-20-00008-P exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
PSC-23-20-00009-P exempt	Adjustments to the Non-Firm Revenue Sharing Mechanism.	To adjust the Non-Firm Revenue Sharing mechanism to increase firm customer sharing
PSC-23-20-00010-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether AmeriChoice Energy should be permitted to offer its Green Gas Products to mass market customers.
PSC-24-20-00011-EP exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-24-20-00012-EP exempt	Further postponement of a rate increase and waiver of a tariff rule.	To assist customers in a time of hardship.
PSC-24-20-00013-EP exempt	Further postponement of the annual update of the low income discount credits.	To assist customers in a time of hardship.
PSC-24-20-00016-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the NRG Retail Companies should be permitted to offer Green Gas Products in New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-24-20-00017-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-24-20-00018-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Atlantic Energy, LLC should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-24-20-00019-P exempt	Enwave Syracuse LLC and Syracuse Energy Concessionaire LLC's operation and maintenance of the SUSS and muni agreements.	To review a contract to operate, maintain and modernize the SUSS and three municipal road use agreements.
PSC-24-20-00020-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether CenStar Energy, Major Energy Services, and Spark Energy Gas should be permitted to offer Green Gas Products.
PSC-25-20-00009-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-25-20-00010-P exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
PSC-25-20-00011-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-20-00012-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-20-00013-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-20-00014-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether South Bay Energy Corp. should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00015-P exempt	Staff whitepaper on a Data Access Framework.	To standardize the necessary privacy and cybersecurity requirements for access to energy-related data.
PSC-25-20-00016-P exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-25-20-00017-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Marathon Energy should be permitted to offer Green Gas Products to mass market customers in New York.
PSC-25-20-00018-P exempt	Staff's whitepaper proposing an IEDR.	To collect and integrate a large and diverse set of energy-related information and data on one statewide platform.
PSC-25-20-00019-P exempt	A Clean Energy Resources Development and Incentives Program.	To identify and develop renewable energy project sites for competitive auction to private developers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-20-00020-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-20-00004-EP exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-26-20-00005-EP exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-26-20-00006-EP exempt	Emergency financial relief.	To protect the health, safety and general welfare of low-income customers during the summer months.
PSC-26-20-00009-P exempt	Escrow account modification and one-time surcharge.	To determine whether the Company's proposed changes to its Escrow Account and a one time surcharge is in the public interest.
PSC-26-20-00010-P exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	The waiver should be considered because directory publishing is temporarily not feasible due to the COVID-19 pandemic.
PSC-26-20-00011-P exempt	NYSERDA and Staff whitepaper regarding a clean energy regulatory structure.	To develop a renewable energy program to meet Climate Leadership and Community Protection Act goals.
PSC-27-20-00003-P exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-27-20-00004-P exempt	Tariff modifications to implement programming changes to National Fuel Gas Distribution Corporation's SAP Billing System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-28-20-00020-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00021-P exempt	Waiver of tariff provisions.	To ensure just and reasonable rates charged to customers without undue preference.
PSC-28-20-00022-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-28-20-00023-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-20-00024-P exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00025-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-28-20-00026-P exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act.	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals.
PSC-28-20-00027-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether the petitioners should be permitted to offer Green Gas Products to mass market customers in New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-28-20-00034-P exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-29-20-00007-P exempt	Petition to transfer telephone and cable systems, franchises and assets.	Consider the proposed transfer of telephone and cable systems, franchises and assets.
PSC-29-20-00008-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-29-20-00009-P exempt	Niagara Mohawk Power Corporation d/b/a National Grid's economic development programs.	To consider modifications to Niagara Mohawk Power Corporation d/b/a National Grid's economic development assistance programs.
PSC-29-20-00011-P exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Opportunities for Broome, Inc for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-29-20-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-29-20-00013-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00014-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-29-20-00015-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-30-20-00006-P exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-20-00007-P exempt	Tariff modifications to include provisions to enter into negotiated agreements for billing services.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
STATE, DEPARTMENT OF			
DOS-26-20-00008-P 07/01/21	Creation of a cease and desist zone within Kings County	To adopt a cease and desist zone for a designated area within Kings County
STATE UNIVERSITY OF NEW YORK			
SUN-53-19-00002-P 12/30/20	Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P 12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-28-20-00028-EP 07/15/21	Tuition, Fees and Charges	To authorize the waiver of admission application fees for active-duty military service members and their dependents.

Action Pending Index**NYS Register/July 29, 2020**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-29-20-00004-EP	07/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
SUN-29-20-00005-EP	07/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-20-20-00003-EP	05/20/21	The conduct and safety of the public in the use of terminals, stations and trains operated by Staten Island Rapid Transit Auth	To safeguard the public health and safety by amending rules concerning appropriate and safe use of terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-02-20-00001-EP	01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-21-20-00004-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period July 1, 2020 through September 30, 2020.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-16-20-00012-P	04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
TDA-26-20-00007-P	07/01/21	Supplemental Security Income (SSI) Additional State Payments	To clarify who participates, the intended uses for benefits, that benefits won't be issued once a death is verified, time frames to report and circumstances when underpayment/retroactive benefits will issue, and NYS operates SSP under State rules
THRUWAY AUTHORITY, NEW YORK STATE			
THR-01-20-00003-P	01/07/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY			
TBA-26-20-00001-P	exempt	A proposal to establish a new crossing charge schedule for use of bridges and tunnels operated by TBTA	To provide for the implementation of split tolling at TBTA's Verrazzano-Narrows Bridge as required by federal law
WORKERS' COMPENSATION BOARD			
WCB-37-19-00002-P	09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-23-20-00001-P	06/10/21	Submission of medical bills and reports	To allows the single mailing address and format prescribed by the chair for submission of bills and medical reports
WCB-23-20-00002-P	06/10/21	Medical Treatment Guidelines	Repeal carpal tunnel syndrome MTG and replace with hand,wrist, and forearm, and add asthma
WCB-23-20-00004-P	06/10/21	EDI system updates	To require carriers to report certain credits taken for payments to claimants; biannual reports; EDI 3.1 updates
WCB-28-20-00003-EP	07/15/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE ROOF

David Axelrod Institute
Albany, Albany County

Sealed bids for Project No. M3123-C, comprising a contract for Construction Work, Replace Roof, David Axelrod Institute, 120 New Scotland Avenue, Albany (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C, Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Health, until 2:00 p.m. on Wednesday, August 12th, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$89,700 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$3,000,000 and \$4,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 391 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder

provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

REPLACE COOLER

Taberg Residential Center for Girls
Taberg, Oneida County

Sealed bids for Project No. 45992-C, comprising a contract for Construction Work, Replace Cooler, Taberg Residential Center for Girls, 10011 Taberg Florence Road, Taberg (Oneida County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th

Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Children and Family Services, until 2:00 p.m. on Wednesday, August 5, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$19,100 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewycky, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 211 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

☒ Project commenced design before January 1, 2020. Not subject to provision.

☐ Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation

(based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD’s on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewycky*, Deputy Director
OGS - Design & Construction Group

REHABILITATE RESTROOMS State Armory Buffalo, Erie County

Sealed bids for Project Nos. 46223-C, 46223-E, 46223-H and 46223-P, comprising separate contracts for Construction Work, Electrical Work, HVAC Work, and Plumbing Work, Rehabilitate Restrooms, State Armory, 184 Connecticut Street, Buffalo (Erie County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, August 5th, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$33,100 for C, \$8,400 for E, \$13,600 for H, and \$14,000 for P).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C, between \$50,000 and \$100,000 for E, between \$100,000 and \$250,000 for H, and between \$100,000 and \$250,000 for P.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewycky, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination

of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

X Project commenced design before January 1, 2020. Not subject to provision.

Project commenced design on or after January 1, 2020. Subject to provision.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 433 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work, an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work and an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Plumbing Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law.

Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REHABILITATE RESTROOMS State Armory Peekskill, Westchester County

Sealed bids for Project No. 46226-C, comprising a contract for Construction Work, Rehabilitate Restrooms, State Armory, 955 Washington Street, Peekskill (Westchester County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, August 5, 2020, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$28,300 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupos, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of

construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 241 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <https://ogs.ny.gov/design-construction/construction-contractors>

For questions about purchase of bid documents, please send an e-mail to DC.Plans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Department of State
F-2020-0294

Date of Issuance – July 29, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2020-0294 or the "Ferrara Dock", the applicants, Joseph & Carolyn Ferrara, are proposing to construct a 3' x 36' fixed dock. The authorized work is located at 185 Osprey Nest Road, Greenport, Suffolk County, Gull Pond.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0294_Ferrara_App.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, August 28, 2020.

Comments should be addressed to the Consistency Review Unit, New York State Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0539 (DA)

Date of Issuance – July 29, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0539 (DA), NOAA's National Marine Fisheries Service (NMFS) has requested an emergency rule under the Fishery Management Plan for Coastal Migratory Pelagic Resources in the Gulf of Mexico and Atlantic Region. The Council's request would increase the recreational bag limit for Atlantic king mackerel from 3-fish per person to 4-fish per person in federal waters from the New York/Connecticut/Rhode Island boundary to the Georgia/Florida boundary, and from 2-fish per person to 4-fish per person in federal waters from the Georgia/Florida boundary to the Miami-Dade/Monroe County, Florida boundary.

The applicant's consistency certification and supporting information are available for review at: [http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0539\(DA\)NOAA.pdf](http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0539(DA)NOAA.pdf)

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or August 13, 2020.

Comments should be addressed to the New York State Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0304 Matter of Woodhull Expediting, Amy Devito, 1031 Main

Street, Port Jefferson, NY 11777, for a variance concerning safety requirements, including the height under a girder. involved is an existing one family dwelling located at 224 Wavcrest Drive, Mastic Beach, Town of Brookhaven, NY, County of Suffolk, State of New York.

2020-0306 Matter of JL Drafting Inc., John Lagoudes, 707 Route 110, Farmingdale, NY 11735, for a variance concerning safety requirements, including the height under a girder. involved is an existing one family dwelling located at 77 Campbell Street, New Hyde Park, Town of North Hempstead, NY, County of Nassau, State of New York.

2020-0307 Matter of Diproperzio & Mallia, LLP, Anthony S. Diproperzio, 499 Jericho Tpke., Mineola, NY 11501, for a variance concerning safety requirements, including the height under a girder, involved is an existing one family dwelling located at 137 Claudy Lane, New Hyde Park, Town of North Hempstead, NY, County of Nassau, State of New York.

2020-0308 Matter of Toc Architects, P.C., Todd O'Connell, 1200 Veterans Memorial Hwy., Suite 120, Hauppauge, NY 11788, for a variance concerning safety requirements, including the height under a girder. Involved is an existing one family dwelling located at 246 Robinson Avenue, Patchogue, Town of Brookhaven, NY, County of Suffolk, State of New York.

2020-0309 Matter of Joseph Pagac Architect P.C., Joseph Pagac, 16 Library Ave., Suite D, Westhampton Beach, NY 11978, for a variance concerning safety requirements, including the height under a girder, involved is an existing one family dwelling located at 30 Blackwatch Court, Southampton, Town of Southampton, NY, County of Suffolk, State of New York.

2020-0310 Matter of TOC Architects, P.C, Todd O'Connell, 1200 Veterans Memorial Hwy., Suite 120, Hauppauge, NY 11788, for a variance concerning safety requirements, including the height under a girder, involved is an existing one family dwelling located at 26 Diamond Drive, Plainview, Town of Oyster Bay, NY, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0305 In the matter of Klepper, Hahn & Hyatt, James D Aloisio, 5710 Commons Park Drive, Syracuse, NY 13057, for plum court condominiums concerning building code and fire safety requirements including to allow repairs and improvements to an existing lower level parking surface and application of an asphalt topcoat to that surface.

Involved are, the repairs and improvements to the parking surface, known as "Plum Court Condominiums", located at 528 Plum Street, City of Syracuse, County of Onondaga, New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0312 In the matter of Beardsley Architects - Engineers, Mark Rebich, 64 South Street, Auburn, NY 13021, for Nicks Ride 4 Friends concerning building code and fire safety requirements including to allow the installation of a LULA elevator which will exceed allowable travel distance.

Involved are, the alterations and change of occupancy for business use, known as "Nicks Ride 4 Friends", located at 13 Chapel Street, City of Auburn, County of Cayuga, New York.

PUBLIC NOTICE

Department of State Program Change

STATEWIDE — Pursuant to 15 CFR 923, the New York State Department of State (DOS) hereby gives notice that the National Oceanic and Atmospheric Administration's Office for Coastal Management (OCM) concurred on July 1, 2020 on the incorporation of the City of Buffalo Local Waterfront Revitalization Program (LWRP) into New York State's Coastal Management Program as a Program Change. As of July 1, 2020, the enforceable policies identified in the Table of Approved Changes below shall be applicable in reviewing federal actions pursuant to the federal consistency requirements of the Coastal Zone Management Act (CZMA) and its implementing regulations found at 15 CFR part 930. DOS requested OCM's concurrence on this action on June 2, 2020, in a previous notice in the New York State Register, which further described the content of the action.

The City of Buffalo LWRP was prepared in partnership with DOS and in accordance with the New York State Waterfront Revitalization of Coastal Areas and Inland Waterways Act and the New York State Coastal Management Program. The LWRP is a long-term management program for the City's waterfront resources along Lake Erie, the Niagara River, Scajaquada Creek, Hoyt Lake, the Buffalo River, Cazenovia Creek, and South Park Lake, and is based on the policies of the New York State Coastal Management Program. The City of Buffalo LWRP includes a comprehensive description of the existing and proposed land uses in the waterfront revitalization area, incorporates harbor management elements, contains enforceable policies to be used for CZMA consistency review purposes, and identifies the next generation of waterfront revitalization projects.

Pursuant to the New York State Coastal Management Program and Article 42 of the New York State Executive Law, the City of Buffalo LWRP was adopted by resolution by the Buffalo Common Council on July 24, 2018 and approved by the New York State Secretary of State on April 5, 2019.

OCM's concurrence includes the following list of changes and qualifications:

Table of Approved Changes

Legal citation	Title of policy, section, or other descriptor	Is the change new, revised, or deleted	Date effective in state	Enforceable policy	Enforceable mechanism citation
Not applicable	City of Buffalo Local Waterfront Revitalization Program (LWRP)	New	04/05/2019	Yes (Section II only)	Executive Law, Article 42

Qualifications

As with previous approval of NY CMP LWRPs, the enforceable provisions of Section II are only the stated policies and sub-policies. The enforceable policies do not include the explanatory text that accompanies each policy. While the explanatory text may be advisory as to how activities can show consistency with the LWRP policies, the State may not use the explanatory text as a basis for issuing an objection under its CZMA authority. Please also note that for the review of

federal actions pursuant to the CZMA, the requirements of the statute and implementing regulations at 15 CFR part 930 are controlling over any conflicting interpretation of the discussion of the CZMA federal consistency requirements within the City of Buffalo LWRP.

As a standard qualification applying to all program changes, states may not incorporate enforceable policies by reference. If an approved enforceable policy refers to another statute, regulation, policy, standard, guidance, or other such requirement or document, the referenced policy must be submitted to and approved by the Office for Coastal Management as an enforceable policy in order to be applied under the federal consistency review provisions of the CZMA. No referenced policy may be applied for CZMA federal consistency review purposes unless that policy has been separately reviewed and approved as an enforceable policy by the Office for Coastal Management.

The City of Buffalo Local Waterfront Revitalization Program is available at: http://www.dos.ny.gov/opd/programs/WFRevitalization/LWRP_status.html, the website of the New York State Department of State. If you have any questions, please contact Barbara Kendall, DOS Office of Planning, Development & Community Infrastructure, at Barbara.Kendall@dos.ny.gov.

COURT NOTICES

AMENDMENT OF RULE

Rules of Practice for the Commercial Division

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend Rule 1 of the section 202.70(g) of the Uniform Rules for the Supreme and County Courts (Rules of Practice for the Commercial Division), effective July 15, 2020, to read as follows:

Rule 1. Appearance by Counsel with Knowledge and Authority.

* * *

(d) Counsel may request the court's permission to participate in court conferences and oral arguments of motions from remote locations through use of videoconferencing or other technologies. Such requests will be granted in the court's discretion for good cause shown; however, nothing contained in this subsection (d) is intended to limit any rights which counsel may otherwise have to participate in court proceedings by appearing in person.

AMENDMENT OF RULE

Uniform Rules for the New York State Trial Courts

Pursuant to the authority vested in me, and upon consultation with and approval by the Administrative Board of the Courts, I hereby amend, effective July 13, 2020, section 208.4-a of the Uniform Rules for the New York State Trial Courts (22 NYCRR § 208.4-a), relating to the electronic filing of actions in the New York City Civil Court, to read as follows:

§ 208.4-a. Electronic Filing in New York City Civil Court.

(a) Application. [There is hereby established a pilot program in which certain civil actions] *On consent, documents may be filed and served by electronic means in the New York City Civil Court ("Civil Court") [may be commenced by electronic filing. Documents may be filed by such means] in such actions and in such counties as shall be authorized by order of the Chief Administrator of the Courts and only to the extent and in the manner [authorized by] provided in this section [and only in] ; except that in an action brought by a provider of health services specified in section 5102(a)(1) of the Insurance Law against an insurer for failure to comply with rules and regulations promulgated by the Superintendent of Insurance pursuant to section 5108(b) of such law, the Chief Administrator of the Courts may eliminate the requirement of consent pursuant to section 2111 (b)(2)(C) of the CPLR.*

(b) [Definitions. For the purpose of these rules:

(1) "Electronic means" shall mean any method of transmission of information between computers or other machines, other than facsimile machines, designed for the purpose of sending and receiving such transmissions and which allows the recipient to receive and to reproduce the information transmitted in a tangible medium of expression.

(2) "Electronic filing address" shall mean the server accessed via the internet protocol address, and any successor thereto, established by the Unified Court System for receipt by the Civil Court of electronic filings as provided in this section.

(3) "Hard copy" shall mean information set forth in paper form.

(4) "Electronic filing" shall mean the filing by electronic means through the electronic filing address.

(5) The "date of receipt" of a document by the electronic filing

address shall mean the date on which electronic transmission of such document is recorded at such address.

(c)] Electronic filing in actions in the Civil Court.

(1) [A party may commence an action specified in subdivision (a) of this section by the electronic filing of such documents as are required to be filed by the CPLR or the Civil Court Act in actions in the Civil Court.

(2) (i) Documents may be transmitted at any time to the electronic filing address.

(ii) Documents that are electronically filed to commence an action in compliance with this section will be deemed filed with the clerk of the Civil Court in the county in which the action is brought for the purposes of section 400 of the Civil Court Act upon the date of receipt of those documents by the electronic filing address, provided, however, no document will be deemed filed unless an index number for the action is endorsed thereon.

(iii) No later than two business days following the date of receipt of documents by the electronic filing address, the clerk of the Civil Court shall make available by electronic means a confirmation of electronic filing. This confirmation will constitute the clerk's return of the copy to the party for the purposes of section 400(1) of the Civil Court Act.

(3) When a document has been filed electronically the official record of that document shall be its electronic recording.] *Except as otherwise provided in this section, 22 NYCRR section 202.5-b, and section 202.5-bb where applicable, shall apply to all actions in which electronic filing is authorized in the Civil Court. References in sections 202.5-b and 202.5-bb to the Chief Clerk of the Supreme Court, or the clerk of a court shall be deemed to mean, where relevant, the Chief Clerk of the Civil Court and references in such section to the CPLR shall be deemed to include, where relevant, the New York City Civil Court Act and Real Property Actions and Proceedings Law.*

(2) Commencing an action by electronic means. (i) *Except as otherwise provided in subdivision (a) of this section, a party may commence any action in the Civil Court in any county (provided that e-filing has been authorized in that county and in the class of actions to which that action belongs pursuant to subdivision (a) of this section) by electronically filing the initiating documents with the clerk of the court through the NYSCEF site.*

(ii) *Documents that are electronically filed to commence an action in compliance with this section shall be deemed filed with the Clerk of the Civil Court in the county in which the action is brought for purposes of section 400 of the New York City Civil Court Act upon the date of receipt of those documents by the NYSCEF site, together with the payment of any required fee.*

(iii) *No later than the close of business on the business day following the date on which the initiating documents are electronically filed to commence an action pursuant to subparagraph (ii) of this paragraph, a confirmation notice shall be transmitted electronically by the NYSCEF site to the person filing such documents. The notice shall contain a link to a copy of the initiating documents to which shall be affixed an index number for the matter and a filing stamp showing the date of filing of the documents and to which there may also be affixed, as the court may require, an image of the signature of the Chief Clerk. This notice will satisfy the requirement in section 400(1) of the New York City Civil Court Act that the clerk return a copy of the filing to the party.*

(iv) *Where a summary proceeding under Article 7 of the Real Property Actions and Proceedings Law involving residential property is commenced electronically, NYSCEF shall provide the petitioner with the option, in lieu of submitting a stamped postcard containing the written notice required by section 208.42(i) of these rules, of having the clerk of the court mail such notice to the respondent or, if there be more than one respondent, to all of them. If the petitioner selects this option, the clerk shall charge the petitioner the cost of postage and a processing fee.*

(3) *E-filing in an action after commencement. Except as otherwise provided in subdivision (a) of the section, after commencement of an action wherein e-filing is authorized, documents may be electronically filed by, and electronically served by and upon, parties who have consented thereto. Consent shall be obtained, and such e-filing shall be conducted as provided in 22 NYCRR section 202.5-b(b)(2).*

[(d)] (c) Service of documents.

(1) A person seeking to obtain personal jurisdiction over a person named as a party to an action specified in subdivision (a) of this section may serve the opposing party *in hard copy, or by electronic means* if the opposing party agrees to accept such service, in accordance with the CPLR or the New York City Civil Court Act.

(2) Where an action is commenced by electronic filing pursuant to this section, the original proof of service required by section 409 of the New York City Civil Court Act [must] *shall be [electronically] filed with the Clerk of the Court in the county in which the action was commenced by filing with the NYSCEF site. Service is deemed complete for the purposes of section 410(b) of the New York City Civil Court Act upon [the date of] receipt of the electronic proof of service by the electronic filing address NYSCEF site. In a summary proceeding to recover possession of real property, commenced electronically in accordance with this section, only the proof of service needs to be e-filed. The initial e-filing of the Notice of Petition or Signed Order to Show Cause, at the time of the commencement of the action, satisfies the requirement in 208.42 (h) to return the original of those documents to the Clerk of the Court with proof of service.*

(3) *Service of documents after commencement of an e-filed action shall be made as provided in 22 NYCRR section 202.5-b (f) (2), and 202.5-bb(c)(1), where applicable. When an e-filing party serves a document in hard copy on a non-participating party, the document served shall be a true copy of the e-filed document and shall bear full signatures of all signatories. Proof of such service shall be filed electronically.*

[(e)] Signatures.

(1) Documents filed electronically shall be signed as required by Part 130 of the Rules of the Chief Administrator (“Part 130”) and shall provide the signatory’s name, address and telephone number.

(2) A signature on a document filed electronically pursuant to this section, including for the purposes of Part 130, shall be made (i) by autograph of the signatory on a hard copy that is thereafter scanned into portable document format or (ii) by the signatory electronically affixing the digital image of his or her signature to the document.]

(d) *Official Record; Maintenance of Files; Working Copies.*

(1) *When a document has been filed electronically pursuant to this section, the official record shall be the electronic recording of the document stored by the NYSCEF system. The clerk or his or her designee may scan and e-file documents that were filed in hard copy in an action subject to e-filing or maintain those documents in hard copy form.*

(2) *Parties participating in e-filing shall not be required to submit working copies of documents filed electronically.*

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: June 30, 2020

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 06/30/2020
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	6,511,063.86
Occupational Training Act Funding Account	Key Bank	4,637.00
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(166,490,373.12)
General Checking	Key Bank	(668,822,834.50)
Direct Deposit Account	Wells Fargo	52,345.93
TOTAL		(185,831,107.49)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,741.45
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New York OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	2,031.75
Key Advantage Account	Key Bank	89,188.76
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	928,711.40
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	7,350.43
Special Fund	Key Bank	186,628.48
State Police Receipts Account	Bank of America, N.A.	120,488.28
Troop A Batavia - Petty Cash	Bank of America, N.A.	350.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,400.00
Troop B Confidential	Key Bank	6,350.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	1,971.94
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	5,780.20
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	496.75
Troop K Poughkeepsie-Confidential	Bank of Millbrook	5,807.50
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	10,971.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	7,563.34
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	13,304.53
Revenue Account	JPMorgan Chase Bank, N.A.	396,273.27
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS Flex Spending	Key Bank	54,466.94
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	No report received
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	No report received
Petty Cash	Community Bank	No report received
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	130,546.46
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	1,081.49
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,897.00
FBI Fee Account	Bank of America, N.A.	3,734.46
Fingerprint Fee Account	Bank of America, N.A.	1,201,144.26
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	2,002.91
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	256,793.41
Alcoholic Bev Control License	Wells Fargo Bank	682,141.56
Alcoholic Beverage Control License	M&T Bank	366,601.63
Assessments Bulk	JPMorgan Chase Bank, N.A.	1,673,655.40
Assessments Receivable	JPMorgan Chase Bank, N.A.	3,100,726.57
Assessments Receivable (EFT)	Wells Fargo Bank	397,377.34
Boxing And Wrestling Tax	Bank of America, N.A.	64,522.09
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	634,308.07
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	12,696,920.30
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	424,171.13
Check 21 PIT	JPMorgan Chase Bank, N.A.	2,435,437.01
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	229,105.35
Check Sales Tax	JPMorgan Chase Bank, N.A.	876,219.34
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	1,000.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	36,607,320.39
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	227,593.51
Cigarette Tax Tobacco Products	Bank of America, N.A.	103,566.80
Congestion Surcharge	Wells Fargo Bank	3,479,662.42
Corporation Tax	Wells Fargo Bank	902.50
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	336,623.08
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	45,117.66
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	17,908,040.15
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	61,004,210.13
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	12,223.46
Highway Use - Permits & Reg.	Bank of America, N.A.	10,884.00
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,493,608.74
Hudson River-Black River	Bank of America, N.A.	585,456.23
Hudson River-Black River	Community Bank	14,716.34
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	21,233.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	119,237.11
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	17,004.00
IFTA-Fuel Use	Bank of America, N.A.	21,032.43
Income Tax - LLC	JPMorgan Chase Bank, N.A.	125,527.51
Justice Court	Key Bank	308,967.98
Mac #847 NYS T&F Pari Mutuel	Key Bank	416,419.16
Mac #848 NYS T&F Off Track	Key Bank	283,037.92
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	875.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	29,610.00
Medical Marijuana Tax Collections	Bank of America, N.A.	45,812.18
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	5,370.94
Ogdensburg Bridge & Port	Community Bank	42,738.01
Opioid Excise Tax	Wells Fargo Bank	54,277.63
Personal Income Tax	Wells Fargo Bank	272,330.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	47,091,763.06
Petroleum Products Tax	Bank of America, N.A.	3,115,085.52
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,670.00
Port Of Oswego	Key Bank	251,293.82
Promptax - MCTMT	Wells Fargo Bank	30,743,794.40

Promptax - Petroleum Business Tax	Wells Fargo Bank	181.92
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	393.87
Promptax - Withholding	Wells Fargo Bank	40,294,183.31
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	40,684.28
Real Estate Transfer Tax	Key Bank	2,401.93
Revenue Holding	First Niagara Bank	2,192,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	2,352,088.90
SUNY Concentration	First Niagara Bank	141,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,937.12
Troy Debt Service Reserve Fund	Bank of America, N.A.	407,359.23
Uncashed Winning Tickets	Bank of America, N.A.	25,873.39
Unclaimed Funds	JPMorgan Chase Bank, N.A.	299,738.34
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	220,856.73
Withholding	Wells Fargo Bank	612.89
Withholding Tax	JPMorgan Chase Bank, N.A.	17,065,804.31
Cash Advance Accounts		
Advance For Travel Account	Key Bank	800.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	773.64
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	1,183,712.77
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	17.11
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	603,391.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	1.76
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	10,796,914.55
National Global Settlement	JPMorgan Chase Bank, N.A.	3,339,919.27
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	40,000.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,193.88
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	2,174.00
Petty Cash Account Dist Off	Bank of America, N.A.	20,691.72
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	1,557.36
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	1,906.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,748,110.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	6,964,880.00
Finger Print Account	JPMorgan Chase Bank, N.A.	2,425.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,631,716.61
Lawyers Fund For Client Protection - Bail	Key Bank	702.07
Petty Cash	Key Bank	2,005.69
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	13,284.54
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	908,315.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	130,869.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	69,133.50
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	54,655.50
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	51,424.50
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	8,446.05
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	4,500.59
Glen Cove City Court Revenue	Wells Fargo Bank	26,231.66
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	32,206.50
Long Beach Court Bail	Wells Fargo Bank	30,634.89
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	45,999.85
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	188,952.61
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	104,657.13
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	488,521.75
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	15,096.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	147,040.25
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	No report received
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	No report received
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	No report received
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	223,738.50
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	1,106.25
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	271,891.00
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	122,021.25
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	266,274.50
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	49,964.25
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	122,122.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	250.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	801.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	37,390.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	460.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	55,763.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	62,461.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	0.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	2,969.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	220.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	11,346.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	7,845.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	2,456,710.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	1,628,290.75
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,069,627.87
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	2,095,568.35
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,511,632.50
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	53,051.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	46,696.35
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	76,185.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	174,019.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	55,847.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	44,386.60
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	12,033.00
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	103,000.48
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	12,236.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	97,568.25
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	5,996.10
Cohoes City Court Fees/Fines Account	Key Bank	5,035.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	11,066.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	17,127.75
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	29,139.67
Hudson City Revenue	Wells Fargo Bank	12,508.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	0.00
Kingston City Court Revenue	Wells Fargo Bank	14,475.08
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	0.00

Rensselaer City Court - Revenue	Wells Fargo Bank	1,380.00
Rensselaer City Court Bail Acct	Key Bank	1,610.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	20,772.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	5,351.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	17,446.25
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	20,241.44
Troy Police Court Bail Account	Bank of America, N.A.	18,184.09
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	54,557.00
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	46,820.00
Watervliet City Court - Revenue	Wells Fargo Bank	23,099.31
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	54,713.45
Amsterdam City Court - Revenue	Key Bank	8,409.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,414.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	1,265.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	790.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	2,140.75
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	8,731.80
Glens Falls City Court Bail Acct	Glens Falls National	19,960.85
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	22,426.40
Gloversville City Court Revenue	NBT Bank	3,147.45
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	30.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	6,176.55
Johnstown City Court Fines/Fees - Revenue	Key Bank	4,903.20
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	1,500.18
Mechanicville City Ct Revenue Acct	TD Bank	10,889.90
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	298.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	1,767.00
Ogdensburg City Court Revenue	Community Bank	3,093.02
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	19,555.79
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	37,802.04
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	15,563.75
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	23,882.05
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	15,059.46
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	23,635.96
Schenectady City Court Revenue	Bank of America, N.A.	17,166.00
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	2,258.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	933.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	1,258.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	262.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	22,050.38
Fulton City Court Revenue	Key Bank	1,376.02
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	137.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	4,944.00

Lewis County		
Lewis County Clerk	Community Bank	4,864.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,155.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	750.00
Little Falls City Court Revenue	M&T Bank	4,770.50
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	15,106.85
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	20,508.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	21,694.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	13,232.70
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	18,591.66
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	3,283.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	2,352.26
Rome City Court - Revenue	Bank of America, N.A.	40,767.50
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	2,124.05
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	136,293.01
Syracuse City Court - Fees - Revenue	Alliance Bank	72,844.86
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	58,742.00
Utica City Court Revenue Account	Key Bank	63,410.91
Watertown City Court		
Watertown City Court Bail	Key Bank	70,913.19
Watertown City Court Fees & Fines - Revenue	Key Bank	38,395.05
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	59,660.00
Binghamton City Court Revenue	M&T Bank	71,728.10
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	2,019.25
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	956.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	293.00
Cortland City Court		
Court City Court Bail	NBT Bank	13,689.22
Court City Court- Revenue	NBT Bank	6,534.50
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	520.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	2,587.25
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	31,535.23
Elmira City Court Bail	Chemung Canal Trust	16,572.85
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	9,078.00
Ithaca City Court Revenue	Tompkins County Trust	6,431.10
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	891.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	12,201.00
Norwich City Court Revenue Acct	NBT Bank	1,622.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	5,265.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	20,295.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	3,539.50
Oneonta City Court Bail Account	Community Bank	13,454.50
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	395.50
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	373.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	496.00
Tompkins County Surrogates		

SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	310.50
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Hornell City Court Bail Account	Community Bank	No report received
Hornell City Court Revenue Account	Community Bank	No report received
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	14,486.55
Auburn City Court Fees & Fines - Revenue	Key Bank	6,227.40
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	17,173.45
Canandaigua City Court Revenue	Canandaigua National Bank	5,942.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	1,900.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	9,661.12
Corning City Court - Revenue	Wells Fargo Bank	5,338.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	24,154.79
Geneva City Court Revenue Account	Wells Fargo Bank	4,450.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	3,750.08
Hornell City Court Revenue	Steuben Trust Co.	2,970.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	78.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	20,751.75
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	1,971.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	311,032.35
Rochester City Revenue	M&T Bank	22,937.75
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	481.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	791.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,261.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	6.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		

ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Revenue	M&T Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	32,867.84
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	1,125.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	55,981.75
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	100,021.22
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	28,587.01
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	111,519.76
Mt Vernon City Court State Revenue	Wells Fargo Bank	73,169.84
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	240,507.45
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	75,553.73
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	23,379.26
Newburgh City Court Revenue	Wells Fargo Bank	12,235.59
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	64,341.25
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	2,975.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	37,431.29
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	2,814.29
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	5,227.70
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	122,367.16
Poughkeepsie City Court -Revenue	Wells Fargo Bank	12,113.28
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	16,049.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	89,979.25
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	2,150.23
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	26,580.32
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	65,034.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	80,162.10
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	29,391.03
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	195,563.52
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	19,398.09
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	20,027.31
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	48,187.68
Animal Population Control Account	Key Bank	31,085.12
Apple Marketing Order Fund	Key Bank	0.00

Consumer Food Industry Account	Key Bank	7,214.00
Dairy Industry Services Account	Key Bank	24,830.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	3,661.68
NYS Farmers Market Program	Key Bank	432,716.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	129.62
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,953.02
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.29
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	39,396.91
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	22,086.93
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	16,852.87
State Fair		
NYS Fair Operating Account	Solvay Bank	10,085.37
NYS Fair Special Account	Solvay Bank	231.20
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,903.00
Examination Application Fees Account	Key Bank	6,232.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	24,245,744.42
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	783.90
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	0.00
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	493,956.69
Conservation Petty Cash Account	M&T Bank	13,750.00
DEC/Exchange Account	M&T Bank	13,683.53
ENCON License Issuing Office	M&T Bank	269.50
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.02
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,919.70
Hunting Trapping & Fishing Account	M&T Bank	9,598.28
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,219.39
Lockbox Account	Wells Fargo Bank	590,776.49
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.08
NY Conservationist	Bank of America, N.A.	25,619.97
Program Fee	JPMorgan Chase Bank, N.A.	18,183.08
Revenue Account	Bank of America, N.A.	206,474.20
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,559.76
State of New York	Key Bank	545,908.33
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	0.00
Region 1		
Marine Permit Account	Bank of Smithtown	26,720.00
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region SW	TD Bank	15,079.93
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	338.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	2,895.18
Tree Nursery	Bank of America, N.A.	5,661.00
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	167.00
Lands And Forests District 10	M&T Bank	416.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	7,097.58

General Cash Fund	Five Star Bank	0.00
Inmate Occupational Therapy Fund	Five Star Bank	57,833.06
Inmate Savings Account	Five Star Bank	254,973.89
Spendable Fund	Five Star Bank	392,585.27
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,104.56
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	16,082.54
Inmate Spendable Account	Key Bank	175,079.24
Inmate Spendable Savings CD	Key Bank	252,643.09
Misc Revenue	Key Bank	52,303.30
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,037.41
Employee Benefit Fund	Key Bank	12,240.01
General Fund	Key Bank	1,744.81
Inmate Funds	Key Bank	200,584.96
Inmate Funds Money Market Account	Key Bank	784,390.39
Inmate Occupational Therapy Acct	Key Bank	56,857.98
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,925.00
Inmate Occupational Therapy Fund	Key Bank	17,688.91
Inmate Spendable Funds	Key Bank	61,012.10
Inmate Spendable Savings Account	Key Bank	60,671.69
Miscellaneous Receipts	Key Bank	13,949.80
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	161,092.93
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	10,049.05
Occupational Therapy	Key Bank	26,338.37
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	1,186.92
Employee Benefits	M&T Bank	9,204.81
Inmate Spending Account	M&T Bank	459,134.98
Inmates Account	M&T Bank	462,968.81
Inmates Benefit	M&T Bank	31,458.07
Misc Receipts	M&T Bank	9,091.66
Occupational Therapy Account	M&T Bank	16,784.35
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,348.46
Employee Benefit	Key Bank	1,799.28
Inmate Occupational Therapy Fund	Key Bank	41,233.33
Inmate Savings Account	Key Bank	88,186.88
Inmates Fund Account	Key Bank	111,317.06
Misc. Receipts	Key Bank	15,793.01
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,872.28
General Fund	Key Bank	13,062.55
Inmates Money Market	Key Bank	287,816.70
Inmates Now Checking	Key Bank	726,112.27
Occupational Therapy Fund	Key Bank	130,467.25
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	5,196.97
Employee Benefit Fund	Bank of America, N.A.	10,902.48
Inmate Funds	Bank of America, N.A.	74,326.74
Inmate Funds Savings	Bank of America, N.A.	154,174.18
Misc Receipts	Bank of America, N.A.	5,805.60
Occupational Therapy	Bank of America, N.A.	23,567.06
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	2,371.97
Employee Benefit Fund	Sterling Bank	15,308.02
ID Now 3 Month CD	M&T Bank	50,102.55

Inmate Deposit Now Account	Sterling Bank	461,700.95
Inmate Occupational Therapy Account	Sterling Bank	40,334.22
Misc. Receipts	Sterling Bank	2,051.37
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	1,746.63
Employee Benefit Fund	Chemung Canal Trust	27,397.47
Inmate CD Account	M&T Bank	213,819.78
Inmates Fund	Chemung Canal Trust	460,414.61
Miscellaneous Receipts	Chemung Canal Trust	647.07
Occupational Therapy Fund	Chemung Canal Trust	31,129.67
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,004.87
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,802.82
Inmate Funds	JPMorgan Chase Bank, N.A.	131,643.71
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,102.43
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,460.31
Occupational Therapy	JPMorgan Chase Bank, N.A.	61,752.52
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	No report received
Employee Benefits Fund	National Bank of Coxsackie	No report received
Inmates Fund	National Bank of Coxsackie	No report received
Inmates Fund Savings Acct	National Bank of Coxsackie	No report received
Misc. Revenue	National Bank of Coxsackie	No report received
Money Market Acct	National Bank of Coxsackie	No report received
Occupational Therapy Acct	National Bank of Coxsackie	No report received
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,425.65
WCF General Fund	Jeff Bank	17,056.68
WCF Inmate Fund	Jeff Bank	176,869.23
WCF Occupational Therapy Fund	Jeff Bank	32,034.50
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	4,022.18
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,362.64
Inmate Funds Account	JPMorgan Chase Bank, N.A.	164,405.45
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	505.04
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,571.36
Savings Account	JPMorgan Chase Bank, N.A.	15,644.34
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	7,517.67
Agency Advance	Community Bank	786.23
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,793.05
General Fund	Community Bank	0.00
Inmate Funds	Community Bank	22,365.80
Inmate Occupational Therapy Fund	Community Bank	7,494.16
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,172.08
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	16,688.61
Inmate Fund	JPMorgan Chase Bank, N.A.	460,928.39
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,453.20
Misc. Receipts	JPMorgan Chase Bank, N.A.	13,857.86
Occupational Therapy	JPMorgan Chase Bank, N.A.	91,406.18
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,404.23
Inmate Funds	JPMorgan Chase Bank, N.A.	68,600.91
Misc. Revenue	JPMorgan Chase Bank, N.A.	827.43
Money Market	JPMorgan Chase Bank, N.A.	30,984.01
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	17,210.50
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,456.96
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	5,963.27
Employee Benefit Fund Account	Key Bank	5,156.53
Inmate Funds Account	Key Bank	14,936.77

Inmate Key Advantage Account	Key Bank	50,357.08
Inmate Occupational Therapy Account	Key Bank	7,495.51
Miscellaneous Receipts Account	Key Bank	2,319.44
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	889.20
General Fund	Jeff Bank	2,474.77
Inmate Funds	Jeff Bank	223,403.17
Inmate Occupational Therapy	Jeff Bank	35,665.21
Inmate Savings	Jeff Bank	51,343.96
Inmate Savings CD	Hometown Bank	78,038.26
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,540.00
Employee Recreation Fund	M&T Bank	1,057.39
Inmate Deposit Account	M&T Bank	137,919.64
Inmate Occupational Therapy	M&T Bank	35.98
Misc Fees	M&T Bank	2,031.27
Work Release Advance Account	M&T Bank	6,601.00
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,010.98
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,229.85
Inmate Cash Account	JPMorgan Chase Bank, N.A.	8,724.11
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	2,671.66
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	3,000.00
EBF	Community Bank	6,145.73
Inmate Fund	Community Bank	86,141.80
Inmate Savings	Community Bank	45,238.74
Misc. Receipts	Community Bank	25.51
Occupational Therapy	Community Bank	13,971.05
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	966.26
EBF Checking	Five Star Bank	37,431.94
EBF Savings	Five Star Bank	31,762.33
Inmate Savings	Five Star Bank	257,931.15
Inmate Spendable	Five Star Bank	231,004.25
Misc Receipts	Five Star Bank	669.68
Occupational Therapy	Five Star Bank	30,656.20
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,967.77
Employee Benefit Fund Checking	Bank of America, N.A.	29,947.05
Inmate Funds Checking	Key Bank	212,432.56
Inmate Funds Savings	Key Bank	314,472.67
Miscellaneous Revenue	Key Bank	563.84
Occupational Therapy	Key Bank	23,000.02
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,809.34
Employee Benefit Account	Alden State Bank	17,787.07
Inmate Savings	Alden State Bank	197,111.41
Inmates Funds	Alden State Bank	187,754.28
Misc. Receipts	Alden State Bank	9,597.90
Occupational Therapy	Alden State Bank	39,240.82
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	10,476.27
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	No report received
Employee Benefit Fund	Community Bank	No report received
Inmate Funds	Community Bank	No report received
Inmate Funds Savings	Community Bank	No report received
Miscellaneous Revenue	Community Bank	No report received
Occupational Therapy	Community Bank	No report received
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	664.83
Employee Commission Account	Five Star Bank	13,475.76
Inmate Funds Account	Five Star Bank	118,042.32
Inmate Funds Account - Savings	Five Star Bank	108,049.07
Miscellaneous Receipts Account	Five Star Bank	1,046.49
Occupational Therapy Account	Five Star Bank	13,565.98
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,842.27
Employee Activities	Community Bank	45,647.83
Inmate Fund Checking	Community Bank	216,135.84

Inmate Savings	Community Bank	130,549.85
Miscellaneous Revenue	Community Bank	3,149.87
Occupational Therapy	Community Bank	12,619.42
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,325.12
Employee Benefit Fund	Bank of America, N.A.	33,827.28
Inmate Funds	Key Bank	299,757.14
Inmate Savings	Key Bank	198,746.44
Misc. Revenue	Key Bank	970.85
Occupational Therapy	Key Bank	39,764.21
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,683.40
Employee Benefit Fund Account	Bank of America, N.A.	9,596.48
Inmate Fund Account	Key Bank	190,456.01
Misc receipts Acct	Key Bank	0.00
NYS DOCS Marcy CORR Facility	Key Bank	206,808.71
Occupational Therapy fund Acct	Key Bank	43,779.75
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	459.17
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	3,157.72
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,814.71
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	74,556.02
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	5,142.74
Employee Benefit Account	Key Bank	15,440.91
Inmate Funds	Key Bank	88,436.00
Inmate Occupational Therapy	Key Bank	12,944.84
Inmate Savings	Key Bank	217,712.84
Misc. Receipts	Key Bank	2,805.92
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	No report received
Employees Vending Benefit	NBT Bank	No report received
Inmates Funds	NBT Bank	No report received
Misc Revenues General Fund	NBT Bank	No report received
Occupational Therapy	NBT Bank	No report received
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,439.81
Cert Of Deposit	First National Bank of Groton	87,323.85
Employee Benefit Fund	First National Bank of Groton	4,528.08
Inmate Occupational Therapy Account	First National Bank of Groton	35,065.57
Inmate Spendable	First National Bank of Groton	209,620.25
Misc Receipts	First National Bank of Groton	1,226.37
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,072.00
Employee Benefit Fund	Key Bank	6,416.87
Inmate Spendable Funds	Key Bank	69,573.95
Key Public Money Market Checking	Key Bank	308,999.24
Miscellaneous Receipts	Key Bank	1,595.04
Occupational Therapy	Key Bank	17,654.31
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,492.34
Inmate Accounts	Key Bank	81,991.24
Inmate Savings Account	Key Bank	105,642.15
Miscellaneous Receipts Account	Key Bank	820.92
Miscellaneous Receipts Account	Key Bank	5,741.29
Occupational Therapy	Key Bank	11,587.10
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	39,418.62
Inmate Occupation Therapy Acct	Community Bank	32,719.24
Inmate Savings	Community Bank	151,732.61
Inmate Spendable Account	Community Bank	120,079.18
Miscellaneous Receipts Account	Community Bank	4,487.83
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	10,405.06
Inmate Funds	Community Bank	86,713.53
Inmate Funds - Sav	Community Bank	94,659.65

Miscellaneous Revenue	Community Bank	3,234.60
Occupational Therapy	Community Bank	362.52
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	No report received
Agency Advance	M&T Bank	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Employee Benefit Fund	M&T Bank	No report received
Inmate Fund	Bank of America, N.A.	No report received
Inmate Fund	M&T Bank	No report received
Inmate Funds Savings	Bank of America, N.A.	No report received
Inmate Funds Savings	M&T Bank	No report received
Misc Receipts	M&T Bank	No report received
Misc. Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
Occupational Therapy	M&T Bank	No report received
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	610.30
Employee Benefit Fund	Chemung Canal Trust	12,520.58
Inmate Funds	Chemung Canal Trust	81,739.25
Inmate Funds Account	Chemung Canal Trust	42,255.31
Misc. Receipts Account	Chemung Canal Trust	75.56
Occupational Therapy Account	Chemung Canal Trust	25,498.17
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,057.00
Employee Benefit Fund	Bank of America, N.A.	8,930.19
Inmate Funds	Bank of America, N.A.	86,411.62
Inmate Savings	Bank of America, N.A.	108,162.53
Miscellaneous Receipts	Bank of America, N.A.	14,066.47
Occupational Therapy	Bank of America, N.A.	18,828.31
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,458.26
EBF Account	Key Bank	6,301.57
General Account	Key Bank	0.00
Inmate Account	Key Bank	144,628.16
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,050.03
Inmate Savings Account	Key Bank	14,368.90
Occupational Therapy Account	Key Bank	21,887.05
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,296.90
Employee Benefit Fund	Five Star Bank	10,952.58
Inmate Occupational Therapy	Five Star Bank	34,463.02
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,857.56
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	323,468.76
Misc. Receipts Account	Five Star Bank	7,131.24
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,996.05
Inmate Accounts	National Bank of Coxsackie	155,885.61
Inmate Savings	National Bank of Coxsackie	248,743.99
Misc. Receipts	National Bank of Coxsackie	881.54
Occupational Therapy	National Bank of Coxsackie	61,948.95
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,380.24
Inmates Funds Account	Key Bank	81,939.40
Misc. Receipts Account	Key Bank	4,128.37
Occupational Therapy Acct	Key Bank	28,939.82
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,522.50
Inmate Checking	Key Bank	119,329.35
Inmate Savings	Key Bank	100,497.65
Miscellaneous	Key Bank	6,550.77
Occupational Therapy	Key Bank	26,037.53
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,158.00
Inmate Occupational Therapy	Community Bank	19,896.98
Inmate Savings	Community Bank	174,987.70
Inmate Spendable Fund	Community Bank	161,073.14
Misc Receipts	Community Bank	11,359.69
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	861.45
Employee Benefit Fund	Community Bank	11,615.42

Inmate Funds	Community Bank	956.48
Inmate Occupational Therapy	Community Bank	11,572.87
Misc Receipts	Community Bank	395.20
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	18,204.58
Inmate Fund	Key Bank	133,977.03
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	4,693.09
Miscellaneous Account	Key Bank	96.73
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	9,351.59
Inmate Funds	Key Bank	65,798.41
Inmate Interest Bearing Account	Key Bank	15,000.37
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	29,772.54
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	43,293.40
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	5,763.00
Div of Ind Revenue Acct	Key Bank	103,224.48
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
NYS HESC-Federal Student Loan Suspense	Key Bank	56,484.84
Operating	Key Bank	6,924,795.01
Retail Lockbox	US Bank	158,983.46
TAP	Key Bank	2,009.34
Wholesale Lockbox	US Bank	1,655,936.44
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	2,951.92
Petty Cash	M&T Bank	3,536.64
Student Spending Account	Bank of America, N.A.	8,402.16
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	7,829.28
Petty Cash	NBT Bank	2,055.00
Student Activity Fund	NBT Bank	16,026.58
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,680,166.62
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	236,642.43
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	4,794.01
Consolidated Advance Acct	M&T Bank	10,222.29
CSA Rebate Account	Bank of America, N.A.	0.00
DOH EPIC Lockbox Acct	Wells Fargo Bank	633,760.56
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	10,853.90
Early Intervention - State Funds	Key Bank	16,472.94
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,548.72
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	1,473,014.47
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	19,919.41
General Account	M&T Bank	45,159.16
ICR Audit Fees Account	Bank of America, N.A.	17,996.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	7,121.49
Medicaid	Key Bank	29,199,242.41
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	283,316.00
Nurses Aide Fees (Prometric)	Bank of America, N.A.	37,759.04
Nursing Home Fees Account	Bank of America, N.A.	50,270.89
NYS DOH CLEP Revenue	Key Bank	43,981.83
OBRA Drug Rebate Program Acct	Bank of America, N.A.	2,505,115.88
SPARCS	Key Bank	29,716.87
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	4,314,050.94

12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	331,676.47
Petty Cash Account	JPMorgan Chase Bank, N.A.	11,630.02
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	6,065.47
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	13,863.17
Exchange Account	NBT Bank	6,885.32
Maintenance Fund	NBT Bank	441,472.17
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	268,021.67
Resident Custodial Account	NBT Bank	3,918.16
Resident Custodial Account	NBT Bank	3,010.61
Resident Custodial Account	NBT Bank	1,767.92
Resident Custodial Account	NBT Bank	7,337.07
Resident Custodial Account	NBT Bank	2,470.73
Resident Custodial Account	NBT Bank	45,603.64
Resident Custodial Account	NBT Bank	119.81
Resident Custodial Account	NBT Bank	11,298.83
Resident Custodial Account	NBT Bank	10,181.49
Resident Custodial Account	NBT Bank	3,697.64
Resident Custodial Account	NBT Bank	5,072.25
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	39,646.85
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	788,237.05
St Albans Vet Home Maintenance Acct	NBT Bank	620,108.02
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,170.02
Exchange Account	Bank of America, N.A.	5,402.43
Maintenance Account	NBT Bank	327,683.52
Resident Funds	Bank of America, N.A.	57,139.82
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	6,784.25
Maintenance Acct	NBT Bank	494,250.50
Residence Account	Bank of America, N.A.	704,545.17
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	467.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	26,525.00
Exchange Account	Bank of America, N.A.	46,836.71
Fee And Permit Account	Key Bank	513,241.95
Min Wage & Claim Funding Acct	Key Bank	177,394.86
Minimum Wage & Wage Claim Acct	Key Bank	1,169,604.09
Misc Receipts	Bank of America, N.A.	310,289.01
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	3,607,951.00
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	216,316,635.25
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,181.74
Petty Cash Account	Key Bank	3,735.00
Special Fee Account	Key Bank	18,684.02
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	76,656.20
Driver Improvement Program (DIP)	Key Bank	17,064.31
Main Office Advance For Travel	Key Bank	52,901.30
PARTNERS DOT -HOOCs	Key Bank	3,809,231.73
Revenue Unit	Key Bank	74,873.22
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	80,150.37
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	0.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	531,270.12
Main	M&T Bank	120,342.63
Petty Cash Account	Key Bank	17,725.02
Summons	M&T Bank	0.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	500.00
JCOPE Revenue Account	Bank of America, N.A.	44,725.07

20000 - DEPARTMENT OF TAXATION & FINANCE

IFTA Funding
 Misc Tax Account - Exchange
 Off Track Betting Tax (MAC 848)
 Pari Mutuel Betting Tax (MAC 847)
 Petty Cash
 Tax Preparer Registration Fee (EFT)
 Waste Tire Fee (EFT)
 Waste Tire Tax

JPMorgan Chase Bank, N.A. 66,452.07
 Bank of America, N.A. 71,633.93
 Key Bank 283,037.92
 Key Bank 416,419.16
 Bank of America, N.A. 15,000.00
 Wells Fargo Bank 13,900.00
 Wells Fargo Bank 3,188,076.36
 JPMorgan Chase Bank, N.A. 64,992.35

20020 - DEPARTMENT OF TAXATION & FINANCE

Commercial Gaming Revenue Account
 Commercial Gaming Revenue Account

Key Bank No report received
 Key Bank No report received

20050 - NEW YORK STATE GAMING COMMISSION

Charitable Gaming Account
 Commercial Gaming Revenue Account
 Custody Account
 Fingerprint Concentration Account
 License Revenue Account
 Lottery Concentration Account
 Lottery Prize Payment Account
 Lottery Subscriptions Account
 Petty Cash Account
 Racing Refund Account
 Video Gaming Revenue Account

Key Bank 80,286.50
 Key Bank 0.00
 US Bank 12,685.67
 Key Bank 17,368.06
 Bank of America, N.A. 10,645.06
 Key Bank 0.00
 Key Bank 15.00
 Key Bank 825,251.90
 Key Bank 921.17
 Key Bank 1,157,772.70
 Key Bank 249,867.83

21012 - WELFARE INSPECTOR GENERAL

Confidential Fund
 Confidential Fund
 Petty Cash

Bank of America, N.A. 15,000.00
 JPMorgan Chase Bank, N.A. No report received
 JPMorgan Chase Bank, N.A. No report received

21110 - OFFICE OF REGULATORY REFORM

Petty Cash

Key Bank No report received

21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

NYSERDA Greenbank MAC 26
 NYSERDA Main Checking MAC 30

JPMorgan Chase Bank, N.A. (19,331,443.49)
 JPMorgan Chase Bank, N.A. 0.00

21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT

Checking- General Fund Acct.
 Checking- Petty Cash Fund
 Hudson River General Acct
 Money Market
 Petty Cash Fund

Community Bank 109,165.98
 Community Bank 5,000.00
 Bank of America, N.A. 124,200.19
 Bank of America, N.A. 0.30
 Bank of America, N.A. 6,500.00

21700 - OFFICE OF THE STATE INSPECTOR GENERAL

Office Of The State Inspector General Pass Thru Account
 OSIG Petty Cash Account

Key Bank 56,204.23
 Key Bank 1,545.13

Albany

Office of the Inspector General Confidential

Bank of America, N.A. 27,700.00

21820 - STATE COMMISSION ON JUDICIAL CONDUCT

Petty Cash Account
 Petty Cash Account
 Petty Cash Fund

JPMorgan Chase Bank, N.A. 608.85
 Key Bank 902.00
 JPMorgan Chase Bank, N.A. 1,371.94

21940 - NYS FINANCIAL CONTROL BOARD

Agency Advance Acct

JPMorgan Chase Bank, N.A. No report received

23000 - DEPARTMENT OF MOTOR VEHICLES

Albany Central Main Acct

Albany Central Main Acct

Wells Fargo Bank No report received

Albany Central Main Exchange

Exchange

Wells Fargo Bank No report received

Albany Central Office

Title Escrow Exchange (Albany Central Office)

Wells Fargo Bank No report received

Albany TVB Sub (Albany Central Office)

Adjudication Account

Administrative Adj

Wells Fargo Bank No report received
 M&T Bank No report received

Albany-Region 3

Confidential Inv Subpoena - Albany

Key Bank No report received

Allegany-Belmont

County Clerk Fee Allegany

Steuben Trust Co. No report received

Adirondack Mountains

County Fee Account

JPMorgan Chase Bank, N.A. No report received

Buffalo-Region 5

MV- Buffalo Investigator & Subpoena

M&T Bank No report received

Capital Saratoga Revenue

County Fee Account

JPMorgan Chase Bank, N.A. No report received

Catskill Mountains

County Fee Account

JPMorgan Chase Bank, N.A. No report received

Central Leatherstocking

County Fee Account

JPMorgan Chase Bank, N.A. No report received

Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	No report received
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	No report received
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
IRP (Albany Central Office)		
International Registration	M&T Bank	No report received
International Registration	Wells Fargo Bank	No report received
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	No report received
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	No report received
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	182,320.09
Oneida County Fee Account	NBT Bank	14,184.08
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	No report received
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Key Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	No report received
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Acct		
TVB Acct	Wells Fargo Bank	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received

Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	No report received
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	598.15
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	7,639.39
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	1,011.39
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	2,517.98
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	5,122.26
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	440.55
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,690.42
Highland Res Ctr Residents Acct	Bank of America, N.A.	4,388.67
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,954.00
Industry Res Account	JPMorgan Chase Bank, N.A.	7,824.49
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	362.00
MacCormick Cash Advance	Tompkins County Trust	1,911.68
MacCormick Residents' Account	Tompkins County Trust	5,597.84
Medicaid Reimbursement Exchange	Bank of America, N.A.	146,003.65
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,464.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	16,621.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	600.00
Red Hook Res Ctr Resident Cash	Key Bank	163.84
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	53,825.00
State Central Register	Bank of America, N.A.	99,967.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	884.07
Youth Leadership Academy	NBT Bank	335.11
Youth Leadership Cash Advance	NBT Bank	705.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.95
Title IV D Of Social Security	Key Bank	980,572.03
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	(1,573,801.75)
Loan Services Center Account	Key Bank	32,128.97
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	144,177.08
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	529,909.54
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	3,234,097.35
Controlled Disbursement	JPMorgan Chase Bank, N.A.	-
Fees Depository	JPMorgan Chase Bank, N.A.	13,291,693.84
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	123,800.61
LISVH Fees Depository	Sterling Bank	537,270.96
LISVH Residence Fund	Sterling Bank	372,823.89
Payroll Advance	JPMorgan Chase Bank, N.A.	8,562.63
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	-
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	-
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	728,693.94
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	395,889.66
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	5,143,038.29
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		

Center Revenue	JPMorgan Chase Bank, N.A.	363,861.21
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	263,961.74
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	80,825.45
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	213,051.35
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	2,786,193.98
Parking	Key Bank	32,905.63
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	596.75
Concentration Acct	M&T Bank	68,474.42
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	195,209.32
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	55,955.86
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	69,027.13
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	68,348.58
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	97,790.33
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	111,797.67
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	1,306,818.92
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	216,284.32
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,336,521.98
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	191,283.70
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	43,044.65
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	133,146.63
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	307,726.14
Distribution Center Account	Key Bank	82,675.64
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	2,933,833.41
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	263,335.26
Income Fund	Key Bank	2,975,898.28
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	24,995.06
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	107,903.14
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	1,066,922.72
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	3,592,505.68
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		

Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	180.78
Regular Account	Key Bank	29,443.93
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	0.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	1,040,832.60
Revenue EFT Account	JPMorgan Chase Bank, N.A.	10,884.01
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	509,660.43
Medical Transportation	JPMorgan Chase Bank, N.A.	1,393.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,700,000.00
Revenue	Key Bank	70,772.45
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,967.00
Fire Tax Account (Main)	Key Bank	(24,756,725.11)
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	47,575.83
General Fund	Key Bank	69,827.52
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	2,858,306.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	205,538.72
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	154,793.43
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	45,490.91
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	87,643.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	69,414.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	177,327.27
Revenue (LI)	People's United Bank	30,987.48
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	43,911.50
Revenue (GE)	Bank of Castile	56,183.14
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	2,412.17
Revenue (NIA)	Evans National Bank	31,834.85
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	3,959.17
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	22,110.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	2,189,943.87
Petty Cash (ALB)	Key Bank	29,771.10
Revenue (NI, GE, AL, CE, TA)	M&T Bank	53,242.22
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	470,668.79
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	64,921.46
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	15,280.13
Revenue (various)	Wells Fargo Bank	70,308.56
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	828,773.97
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	1,103,354.56
Statewide Housing Security Deposits	Key Bank	129,624.64
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	40,495.87
Revenue (FL-Multi)	Community Bank	488.41
Revenue (FL-Multi-Facilities)	Tompkins County Trust	218,061.62
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	127,483.99
Revenue (AL)	Five Star Bank	83,935.27
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	21,065.27
Revenue (CE, SA, TI)	NBT Bank	43,651.01
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	959.08
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		

Revenue (TI)	Citizens Bank	23,764.56
Revenue (TI-Multi)	Community Bank	11,343.61
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	16,781.58
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	9,217.43
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	8,291.02
Facility Holding Account	JPMorgan Chase Bank, N.A.	10,570.10
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,851.15
Patients Cash Account	JPMorgan Chase Bank, N.A.	431,774.52
Security Deposit	JPMorgan Chase Bank, N.A.	2,680.54
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	15,334.56
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	214,527.35
Medicaid Outpatient Travel	Banco Popular	603.13
Patient Cash Acct (MM)	Banco Popular	240,099.00
Patient Checking Account	Citibank	43,977.84
Patient Savings Account	Banco Popular	334,830.34
Security Deposit	Banco Popular	2,489.16
Urban Oasis/EBT	Banco Popular	52,232.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	26,352.48
Facility Holding	Key Bank	13,246.38
Patient Cash Checking	Key Bank	264,676.79
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	20,424.45
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	4,930.87
Patient Cash Checking	Hudson Valley National Bank	875,530.73
Patients Money Market	Hudson Valley National Bank	72,865.01
Social Service Tokens	Hudson Valley National Bank	33,956.37
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	22,373.64
Facility Holding	Key Bank	52,820.87
Patients Cash Account	Key Bank	212,583.23
Patients Fund Savings	Key Bank	210,954.84
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	18,333.91
Facility Holding Account	Community Bank	9,137.81
Patients Cash Account	Community Bank	21,113.30
Patients Cash Savings	Community Bank	297,745.19
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	68,233.11
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	18,918.38
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	580,336.74
Patient Cash Account	HSBC	145,054.73
Rent Holding Account	HSBC	1,247.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
INVESTMENT CD A	Sterling Bank	No report received
INVESTMENT CD B	Sterling Bank	No report received
INVESTMENT CD C	Sterling Bank	No report received
INVESTMENT CD D	Sterling Bank	No report received
INVESTMENT CD G	Sterling Bank	No report received
INVESTMENT CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	35,375.38
Petty Cash	JPMorgan Chase Bank, N.A.	1,476.59
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	16,907.98
Clients Count	Key Bank	174,111.68
Holding Account	Key Bank	14,770.49
50200 - PILGRIM PSYCHIATRIC CENTER		

Facility Advance Account	JPMorgan Chase Bank, N.A.	76,380.39
Facility Holding Acct	JPMorgan Chase Bank, N.A.	189,768.04
Patient Cash	JPMorgan Chase Bank, N.A.	1,073,335.01
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	9,979.96
Facility Holding	Key Bank	3,775.43
Patient Cash Checking	Key Bank	34,250.90
Patient Cash Savings	Key Bank	28,929.60
Security Deposit	Key Bank	6,772.80
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	17,366.13
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,206.38
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,196.34
Patients Checking Acct	JPMorgan Chase Bank, N.A.	441,948.05
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	5,314.36
Holding Account	Hudson Valley National Bank	32,775.94
Patient Cash Account	Hudson Valley National Bank	120,369.50
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,633.00
General Fund	Key Bank	5,935.87
Patient Checking	Key Bank	94,340.27
Patient Savings	Key Bank	251,496.53
Security Deposit	Key Bank	3,820.63
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	1,695.69
Patients Account	Key Bank	220,366.14
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,930.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	16,370.69
Facility Holding Checking	JPMorgan Chase Bank, N.A.	14,147.47
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	566,642.68
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,979.85
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,520.00
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	140.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	51,424.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	4,815.20
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	3,573.89
Queens Adv Acct	HSBC	11,205.00
Queens Childrens Account	HSBC	5,908.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	8,523.89
General Fund Account	Chemung Canal Trust	4,785.25
IMMA	Chemung Canal Trust	46,321.34
Patients Fund Account	Chemung Canal Trust	462,563.45
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,302.64
Facility Holding Account	Key Bank	170,625.29
Parking Garage Account	Key Bank	160,013.22
Patients Cash Checking Account	Key Bank	385,530.75
Patients Cash Savings Account	Key Bank	397,192.79
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	6,637,165.50
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received

Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	23,326.66
Clients Account	Key Bank	876,851.94
Consumer Cash - Savings	Adirondack Bank	849,920.12
Consumer Cash - Savings	Key Bank	3,416,508.65
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	62,211.31
General Fund	M&T Bank	4,254.37
Patients Cash Checking	M&T Bank	2,746,172.01
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	630,650.16
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	409,255.10
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,140.45
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	218,236.67
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	6,291.75
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	28,099.71
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,017.18
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	493,255.67
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,033.07
Patient Fund Account	JPMorgan Chase Bank, N.A.	292,560.26
Petty Cash Fund	JPMorgan Chase Bank, N.A.	15,321.99
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Genesee Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received

Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,242.81
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	45,199.67
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,326.78
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,911.51
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	26,849.41

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 06/30/2020**

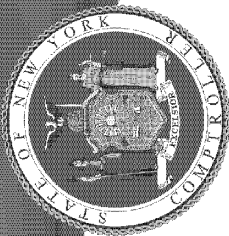
ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 06/30/2020
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	509,370.87
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	763.55
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	14,515.27
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	301,899.81
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	64.41
1203 DA DFRF MAC #1203 Oneonta	Key Bank	915,853.42
1205 DA DFRF MAC #1205 Delhi	Key Bank	486.43
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	591.87
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	276.12
1208 DA DFRF MAC #1208 Alfred	Key Bank	37,074.27
1209 DA DFRF Mac #1209 Fredonia	Key Bank	635.93
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	224.47
1212 DA DFRF Mac #1212 Oswego	Key Bank	709.37
1214 DA DFRF Mac #1214 Cortland	Key Bank	299.18
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	579.30
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	252.46
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	791.27
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	855.16
1219 DA DFRF MAC #1219 Maritime	Key Bank	344.24
1221 DA DFRF MAC #1221 Brockport	Key Bank	77.03
1222 DA DFRF MAC #1222 Geneseo	Key Bank	703.49
1223 DA DFRF MAC #1223 Purchase	Key Bank	269.79
1224 DA DFRF MAC #1224 New Paltz	Key Bank	320.26
1225 DA DFRF MAC #1225 Canton	Key Bank	16.58
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	51.02
1227 DA DFRF MAC #1227 Potsdam	Key Bank	159.85
1228 DA DFRF MAC #1228 Morrisville	Key Bank	264.19
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	437.72
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	846.06
1239 DA DFRF MAC #1239 Albany	Key Bank	50.81
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	14,193,184.30
2203 DA OMR MAC #2203 Oneonta	Key Bank	2,755,406.07
2205 DA OMR MAC #2205 Delhi	Key Bank	558,929.37
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	6,667,326.59
2207 DA OMR MAC #2207 Buffalo College	Key Bank	690,945.05
2208 DA OMR MAC #2208 Alfred	Key Bank	1,667,516.26
2209 DA OMR MAC #2209 Fredonia	Key Bank	1,227,630.81
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	353,247.36
2212 DA OMR MAC #2212 Oswego	Key Bank	5,509,768.88
2214 DA OMR MAC #2214 Cortland	Key Bank	4,787,173.87
2215 DA OMR MAC #2215 Stony Brook	Key Bank	4,483,914.49
2216 DA OMR MAC #2216 Old Westbury	Key Bank	972,037.94
2217 DA OMR MAC #2217 Farmingdale	Key Bank	185,407.63
2218 DA OMR MAC #2218 Downstate Med	Key Bank	401,714.72
2219 DA OMR MAC #2219 Maritime	Key Bank	1,866,990.37
2221 DA OMR MAC #2221 Brockport	Key Bank	1,140,839.34
2222 DA OMR MAC #2222 Geneseo	Key Bank	8,564,067.51
2223 DA OMR MAC #2223 Purchase	Key Bank	7,264,877.98
2224 DA OMR MAC #2224 New Paltz	Key Bank	2,804,337.02
2225 DA OMR MAC #2225 Canton	Key Bank	252,762.33
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	1,011,422.10
2227 DA OMR MAC #2227 Potsdam	Key Bank	1,037,624.31
2228 DA OMR MAC #2228 Morrisville	Key Bank	741,009.47
2229 DA OMR MAC #2229 SUNYIT	Key Bank	211,870.45
2230 DA OMR MAC #2230 Cobleskill	Key Bank	1,161,404.21
2239 DA OMR MAC #2239 Albany	Key Bank	4,496,497.74
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	10,224,176.60
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,263,770.19
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		

0315	The Egg	Key Bank	54,364.40
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	17,581,317.95
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	1,383,870.70
0371	Series H Commercial Paper	Bank of America, N.A.	1,413,136.77
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	338,832.70
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	28,731.51
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	57,719.60
0520	Development Account	Key Bank	1,387,901.53
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	1,319,669.68
0523	Recapture Account	Key Bank	119,521.77
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(913,895.02)
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	126,112.34
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	27,553.21
0626	Criminal Investigation Division	Key Bank	344,431.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	6,901,326.46
0827	Stock Transfer Incentive Fund	Key Bank	1,116,285.57
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,007.68
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	5,735,517.65
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,156,336.47
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	74,031.52
0285	Public Purpose Account	Key Bank	2,512,927.33
0286	Disbursement Account	Key Bank	3,352,414.65
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,302.18
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	198,761.96
0294	Housing Plan Fund	Key Bank	10,879,816.13
0301	HFA - Subsidy Repayment Account	Key Bank	207,224.05
0305	HPD Disbursement Fund	Key Bank	1,885,387.19
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,999.27
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	985,448.60
0460	Escrow Account	M&T Bank	6,551,953.85
0461	Housing Modernization Account	M&T Bank	1,046,270.14
0462	General Custodial Account	M&T Bank	48,555,021.82
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	9,166,991.58
0466	Homes for Working Families Account	M&T Bank	5,086,329.69
0467	Section 8 Administrative Account	M&T Bank	24,387,178.10
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	15,972,777.38
0470	HCV Main Account	Bank of America, N.A.	30,297,802.15
0471	Empire State Relief Fund	M&T Bank	520,012.26
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,154,118.34

0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	1,549,276.14
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	1,651,081.22
0891	Small Cities Community Development Block Grant Program	M&T Bank	2,511,661.55
0892	Disaster Recovery Initiative Account	M&T Bank	40,772.36
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	1,734,249.08
0899	HTFC Storm Recovery Lockbox	US Bank	44,078.91
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	123,588.78
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	721,679.17
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	499,935.41
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	3,326,268.72
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,175,296.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	104,558,069.95
0054	State Insurance Fund	Bank of America, N.A.	12,822,714.03
0055	State Insurance Fund	Bank of America, N.A.	12,380,036.93
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	24,641.38
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,065,993.87
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,768.77
0870	Deductions Account	Key Bank	12,259.53
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JUNE 2020

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
June 30, 2020

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2019	3 MOS. ENDED JUNE 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																		
Personal Income Tax	\$ 2,184.3	\$ 4,317.0	\$ -	\$ -	\$ 2,184.2	\$ 4,316.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,366.5	\$ 8,633.9	\$ 5,209.9	\$ 16,909.9	\$ (8,276.0)	-48.9%
Consumption/Use Taxes	621.1	1,493.9	148.2	410.7	572.0	1,335.9	47.4	109.0	47.4	109.0	1,386.7	3,349.5	1,753.3	4,490.8	1,753.3	4,490.8	(1,141.3)	-25.4%
Business Taxes	925.5	1,080.1	218.8	325.5	-	-	48.6	107.4	48.6	107.4	1,193.9	1,513.0	1,496.6	2,219.6	1,496.6	2,219.6	(706.6)	-31.8%
Other Taxes	148.2	273.9	-	-	38.0	143.6	11.9	11.9	38.0	143.6	198.1	429.4	182.7	471.1	182.7	471.1	(41.7)	-8.8%
Miscellaneous Receipts	3,752.2	5,043.5	1,347.4	3,817.0	42.7	103.8	415.6	1,670.5	415.6	1,670.5	5,557.9	10,634.6	1,971.5	6,502.5	1,971.5	6,502.5	4,132.3	63.3%
Federal Receipts	-	-	7,352.3	22,234.0	-	-	167.9	356.3	167.9	356.3	7,520.2	22,590.3	5,903.8	17,005.0	5,903.8	17,005.0	5,903.3	32.9%
Total Receipts	7,631.3	12,208.4	9,067.7	26,787.2	2,836.9	5,900.2	691.4	2,255.1	691.4	2,255.1	20,227.3	47,150.9	16,419.8	47,598.9	16,419.8	47,598.9	(448.0)	-0.9%
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	3,112.1	7,896.4	847.9	1,333.5	-	-	4.9	16.9	4.9	16.9	3,964.9	9,246.8	2,811.5	8,649.4	2,811.5	8,649.4	597.4	6.9%
Environment and Recreation	-	0.1	-	0.5	-	-	14.0	21.6	14.0	21.6	543.7	609.9	734.4	954.4	734.4	954.4	(344.5)	-36.1%
General Government	493.7	501.2	8.5	25.9	-	-	41.5	82.8	41.5	82.8	6,082.5	16,592.4	4,857.9	17,421.6	4,857.9	17,421.6	(829.2)	-4.8%
Public Health:																		
Medicaid	2,466.9	3,979.9	3,615.6	12,612.5	-	-	-	118.1	-	118.1	980.4	2,221.4	1,162.1	2,568.9	1,162.1	2,568.9	(347.5)	-13.5%
Other Public Health	239.8	351.0	709.7	1,752.3	-	-	30.9	3.8	30.9	3.8	163.3	322.2	70.6	405.7	70.6	405.7	(63.5)	-20.8%
Public Safety	0.3	4.6	159.2	313.8	-	-	-	107.5	-	107.5	386.7	818.2	537.8	983.7	537.8	983.7	(175.5)	-17.7%
Public Welfare	61.4	296.3	253.6	414.4	-	-	24.8	75.7	24.8	75.7	35.9	99.1	204.6	403.5	204.6	403.5	(304.4)	-75.4%
Support and Regulate Business	4.6	15.9	6.5	7.5	-	-	50.0	120.6	50.0	120.6	72.6	253.5	354.6	1,136.7	354.6	1,136.7	(833.2)	-77.7%
Transportation	-	0.1	22.6	132.8	-	-	-	547.0	-	547.0	12,246.0	30,185.7	10,736.2	32,595.7	10,736.2	32,595.7	(2,410.0)	-7.4%
Total Local Assistance Grants	6,378.8	13,045.5	5,623.6	16,593.2	-	-	243.6	547.0	243.6	547.0	11,116.4	3,821.8	1,127.7	3,965.1	1,127.7	3,965.1	(163.3)	-4.1%
Departmental Operations:																		
Personal Service	564.6	2,149.6	551.8	1,672.2	-	-	-	-	-	-	504.4	1,505.5	515.9	1,566.3	515.9	1,566.3	(60.8)	-3.9%
Non-Personal Service	165.0	673.4	327.3	819.1	12.1	13.0	-	-	-	-	2,621.5	3,551.7	454.6	3,728.8	454.6	3,728.8	(177.1)	-4.7%
General State Charges	2,511.9	3,302.6	109.6	249.1	-	-	-	-	-	-	28.9	88.9	230.3	423.8	230.3	423.8	(334.9)	-79.0%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	28.9	88.9	881.8	1,597.6	881.8	1,597.6	17,196.0	40,751.2	13,601.2	43,788.4	13,601.2	43,788.4	(3,047.2)	-7.0%
Capital Projects	-	-	-	-	-	-	925.4	2,144.6	925.4	2,144.6	3,026.3	6,399.7	2,816.6	3,800.5	2,816.6	3,800.5	(2,599.2)	-68.4%
Total Disbursements	9,620.3	19,171.1	6,612.3	19,333.6	41.0	101.9	925.4	2,144.6	925.4	2,144.6	20,623.6	44,284.8	13,728.6	43,728.6	13,728.6	43,728.6	\$ 6,895.0	50.2%
Excess (Deficiency) of Receipts over Disbursements	(1,989.0)	(6,962.7)	2,455.4	7,453.6	2,795.9	5,798.3	(234.0)	110.5	(234.0)	110.5	\$ 20,623.6	\$ 20,623.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 6,895.0	50.2%
OTHER FINANCING SOURCES (USES):																		
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	2,859.7	5,799.0	926.6	1,105.4	39.0	449.5	360.6	(246.5)	360.6	(246.5)	4,185.9	7,107.4	4,639.5	13,724.4	4,639.5	13,724.4	(6,617.0)	-48.2%
Transfers to Other Funds	(1,317.3)	(916.9)	(49.5)	(398.4)	(2,861.8)	(5,816.0)	(12.3)	(37.0)	(12.3)	(37.0)	(4,240.9)	(7,188.3)	(4,854.6)	(13,771.3)	(4,854.6)	(13,771.3)	(6,603.0)	-47.9%
Total Other Financing Sources (Uses)	1,542.4	4,882.1	877.1	707.0	(2,822.8)	(5,366.5)	348.3	(283.5)	348.3	(283.5)	\$ 20,623.6	\$ 20,623.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 6,895.0	50.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(446.6)	(2,080.6)	3,332.5	8,160.6	(26.9)	431.8	114.3	(173.0)	114.3	(173.0)	2,973.3	6,338.8	2,803.5	3,753.6	2,803.5	3,753.6	2,585.2	68.9%
Beginning Fund Balances (Deficits)	7,310.2	8,944.2	11,148.2	6,312.1	522.1	63.4	(1,322.2)	(1,034.9)	(1,322.2)	(1,034.9)	17,650.3	14,284.8	10,925.1	9,975.0	10,925.1	9,975.0	4,309.8	43.2%
Ending Fund Balances (Deficits)	\$ 6,863.6	\$ 6,863.6	\$ 14,472.7	\$ 14,472.7	\$ 495.2	\$ 495.2	\$ (1,207.9)	\$ (1,207.9)	\$ (1,207.9)	\$ (1,207.9)	\$ 20,623.6	\$ 20,623.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 13,728.6	\$ 6,895.0	50.2%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL			STATE SPECIAL REVENUE (**)			DEBT SERVICE			TOTAL STATE OPERATING FUNDS			
	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	MONTH OF JUNE 2019	3 MOS. ENDED JUNE 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	\$ 2,184.3	\$ 4,317.0	\$ -	\$ -	\$ 2,184.2	\$ 4,316.9			\$ 4,388.5	\$ 8,633.9	\$ 16,909.9	\$ (8,276.0)	-48.9%
Consumption/Use Taxes	621.1	1,493.9	148.2	410.7	572.0	1,335.9			1,341.3	3,240.5	4,325.1	(1,084.6)	-25.1%
Business Taxes	925.5	1,080.1	219.8	325.5	-	-			1,145.3	1,405.6	2,044.0	(638.4)	-31.2%
Other Taxes	148.2	273.9	-	-	38.0	143.6			186.2	417.5	459.2	(41.7)	-9.1%
Miscellaneous Receipts	3,752.2	5,043.5	1,338.1	3,738.7	42.7	103.8			5,133.0	8,886.0	5,774.0	3,112.0	53.9%
Federal Receipts	-	-	4.1	4.1	-	-			4.1	4.1	(0.9)	5.0	555.6%
Total Receipts	7,631.3	12,208.4	1,710.2	4,479.0	2,836.9	5,900.2			12,178.4	22,587.6	29,511.3	(6,923.7)	-23.5%
DISBURSEMENTS:													
Local Assistance Grants:													
Education	3,112.1	7,896.4	297.0	332.1	-	-			3,409.1	8,228.5	7,436.5	792.0	10.7%
Environment and Recreation	-	0.1	-	0.3	-	-			-	0.4	0.9	(0.5)	-55.6%
General Government	493.7	501.2	6.3	19.5	-	-			500.0	520.7	638.2	(117.5)	-18.4%
Public Health:													
Medicaid	2,466.9	3,979.9	30.5	1,033.0	-	-			2,497.4	5,012.9	7,525.2	(2,512.3)	-33.4%
Other Public Health	239.8	351.0	67.4	122.5	-	-			307.2	473.5	804.0	(330.5)	-41.1%
Public Safety	0.3	4.6	31.9	4.5	-	-			4.5	36.5	87.1	(60.6)	-58.1%
Public Welfare	61.4	296.3	-	0.3	-	-			61.4	181.0	355.4	(56.8)	-16.5%
Support and Regulate Business	4.6	15.9	4.4	4.8	-	-			9.0	20.7	28.5	(7.8)	-27.4%
Transportation	-	0.1	18.5	121.8	-	-			18.5	121.9	774.3	(652.4)	-94.3%
Total Local Assistance Grants	6,378.8	13,046.5	428.3	1,665.2	-	-			6,807.1	14,711.7	17,650.1	(2,833.4)	-16.6%
Departmental Operations:													
Personal Service	564.6	2,149.6	390.9	1,385.6	-	-			955.5	3,535.2	3,814.9	(279.7)	-7.3%
Non-Personal Service	165.0	673.4	158.7	565.6	12.1	13.0			335.8	1,252.0	1,323.5	(71.5)	-5.4%
General State Charges	2,511.9	3,302.6	70.7	162.8	-	-			2,592.6	3,465.4	3,649.3	(183.9)	-5.0%
Debt Service, Including Payments on	-	-	-	-	-	-			28.9	88.9	423.8	(334.9)	-79.0%
Financing Agreements	-	-	-	-	-	-			-	-	0.1	(0.1)	-100.0%
Capital Projects	-	-	-	-	-	-			-	-	0.1	(0.1)	-14.2%
Total Disbursements	9,620.3	19,171.1	1,048.6	3,780.2	41.0	101.9			10,709.9	23,053.2	28,861.7	(3,808.5)	-14.2%
Excess (Deficiency) of Receipts over Disbursements	(1,989.0)	(6,962.7)	661.6	698.8	2,795.9	5,798.3			1,468.5	(465.6)	2,649.6	(3,115.2)	-117.6%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	2,859.7	5,799.0	897.4	1,161.6	39.0			3,796.1	7,410.1	12,422.2	(5,012.1)	-40.3%
Transfers to Other Funds	(2)	(1,317.3)	(916.9)	(23.0)	(14.7)	(5,816.0)			(4,202.1)	(6,747.6)	(13,383.4)	(6,635.8)	-49.6%
Total Other Financing Sources (Uses)		1,542.4	4,882.1	874.4	1,146.9	(5,386.5)			(406.0)	662.5	(961.2)	1,623.7	168.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(446.6)	(2,080.6)	1,536.0	1,845.7	(26.9)			1,062.5	196.9	1,688.4	(1,491.5)	-88.3%
Beginning Fund Balances (Deficits)		7,310.2	8,944.2	5,710.4	5,400.7	522.1			13,542.7	14,408.3	12,361.3	2,047.0	16.6%
Ending Fund Balances (Deficits)		\$ 6,863.6	\$ 6,863.6	\$ 7,246.4	\$ 7,246.4	\$ 495.2			\$ 14,605.2	\$ 14,605.2	\$ 14,049.7	\$ 555.5	4.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES
JUNE 2020**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$227.7 million
Urban Development Corporation (Youth Facilities)	15.6
Housing Finance Agency (HFA)	243.8
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	361.9
Dormitory Authority and State University Income Fund	131.0
Federal Capital Projects	578.7
State bond and note proceeds	168.6

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	(\$284.1) million
General Debt Service Fund	34.0
Banking Services Account	7.7
Building Administration Account	4.2
Business Services Center	26.9
Centralized Tech Services	5.0
Court Facilities Incentive Aid Fund	62.6
Dedicated Highway & Bridge Trust Fund	16.5
Dedicated Mass Transportation (Non MTA)	1.3
Environmental Protection Fund	14.0
Health Insurance Revolving Fund	12.0
Mass Transportation Operating Assistance Fund	21.7
Mass Transportation Financial Assistance	146.6
New York Central Business District Trust Fund	37.5
New York City County Clerks' Operations Offset	2.8
Railroad Account	2.2
State Fair Receipts	3.0
State University Income Fund	758.8
Transit Authority Account	12.2

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$1.9m), and the State University Income Fund (\$30.0m).

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of June 30, 2020 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$381.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, to Medicaid Management Information System Escrow Fund (\$2.1m), and All Other Capital Projects (\$7.1).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Department of Health Services Fund	\$1.8 million
SUNY Income Fund	4.9

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$4,310.1 million
Local Government Assistance Tax Fund	688.0
Sales Tax Revenue Bond Tax Fund	339.2
Clean Water/Clean Air Fund	129.7
Mental Health Services Fund	339.8

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$28.1m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$4.9m) and the General Debt Service Fund - Lease Purchase (\$32.2m).

EXHIBIT B

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2019	3 MOS. ENDED JUNE 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Miscellaneous Receipts	\$ 6.4	\$ 15.4	\$ 34.9	\$ 82.4	\$ 41.3	\$ 97.8	\$ 47.4	\$ 124.0	\$	\$	\$ (26.2)	-21.1%
Federal Receipts	10,834.5	19,411.8	-	-	10,834.5	19,411.8	0.9	3.0	134.9	19,408.8	646,960.0%	
Unemployment Taxes	2,631.7	6,717.3	-	-	2,631.7	6,717.3	183.2	588.6	183.2	6,255.7	1,355.2%	
Total Receipts	13,472.6	26,144.5	34.9	82.4	13,507.5	26,226.9				25,638.3	4,355.8%	
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	1.1	3.4	11.0	36.6	12.1	40.0	9.9	34.5	9.9	34.5	5.5	15.9%
Non-Personal Service	5.4	13.9	83.3	100.6	88.7	114.5	10.4	99.8	10.4	99.8	14.7	14.7%
General State Charges	0.2	0.5	8.6	18.7	8.8	19.2	6.7	15.8	6.7	15.8	3.4	21.5%
Unemployment Benefits	13,469.5	26,124.1	-	-	13,469.5	26,124.1	135.6	465.3	135.6	465.3	25,658.8	5,514.5%
Total Disbursements	13,476.2	26,141.9	102.9	155.9	13,579.1	26,297.8			162.6	615.4	25,682.4	4,173.3%
Excess (Deficiency) of Receipts Over Disbursements	(3.6)	2.6	(68.0)	(73.5)	(71.6)	(70.9)			20.6	(26.8)	(44.1)	-164.6%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	3.0	3.0	52.0	55.8	55.0	58.8	4.7	36.5	4.7	36.5	22.3	61.1%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	3.0	52.0	55.8	55.0	58.8			4.7	36.5	22.3	61.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.6)	5.6	(16.0)	(17.7)	(16.6)	(12.1)			25.3	9.7	(21.8)	-224.7%
Beginning Fund Balances (Deficits)	35.9	29.7	(299.2)	(297.5)	(263.3)	(267.8)			(291.7)	(276.1)	8.3	3.0%
Ending Fund Balances (Deficits)	\$ 35.3	\$ 35.3	\$ (315.2)	\$ (315.2)	\$ (279.9)	\$ (279.9)			\$ (266.4)	\$ (266.4)	\$ (13.5)	-5.1%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2019	3 MOS. ENDED JUNE 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Miscellaneous Receipts	\$ 5.4	\$ 19.2	\$ 0.1	\$ 0.4	\$ 5.5	\$ 19.6	\$ 5.2	\$ 18.6	\$ 5.2	\$ 18.6	\$ 1.0	5.4%
Total Receipts	5.4	19.2	0.1	0.4	5.5	19.6	5.2	18.6	5.2	18.6	1.0	5.4%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	5.6	19.4	-	-	5.6	19.4	5.4	18.4	5.4	18.4	1.0	5.4%
Non-Personal Service	0.6	1.9	-	-	0.6	1.9	1.1	3.3	1.1	3.3	(1.4)	-42.4%
General State Charges	5.2	12.1	-	0.1	5.2	12.2	3.3	10.0	3.3	10.0	2.2	22.0%
Total Disbursements	11.4	33.4	-	0.1	11.4	33.5	9.8	31.7	9.8	31.7	1.8	5.7%
Excess (Deficiency) of Receipts Over Disbursements	(6.0)	(14.2)	0.1	0.3	(5.9)	(13.9)	(4.6)	(13.1)	(4.6)	(13.1)	(0.8)	-6.1%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6.0)	(14.2)	0.1	0.3	(5.9)	(13.9)	(4.6)	(13.1)	(4.6)	(13.1)	(0.8)	-6.1%
Beginning Fund Balances (Deficits)	(9.3)	(1.1)	14.5	14.3	5.2	13.2	1.7	10.2	1.7	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	(15.3)	(15.3)	14.6	14.6	(0.7)	(0.7)	(2.9)	(2.9)	(2.9)	(2.9)	2.2	-75.9%

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)**

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 6,894.0	\$ -	\$ 8,639.9	\$ 1,739.9	\$ -
Consumption/Use	3,878.0	-	3,349.5	(528.5)	-
Business	1,982.0	-	1,513.0	(469.0)	-
Other	429.0	-	429.4	0.4	-
Miscellaneous Receipts	9,499.0	-	10,634.8	1,135.8	-
Federal Receipts	21,018.0	-	22,590.3	1,572.3	-
Total Receipts	43,700.0	-	47,150.9	3,450.9	-
DISBURSEMENTS:					
Local Assistance Grants	35,869.0	-	30,185.7	(5,683.3)	-
Departmental Operations	5,467.0	-	5,327.3	(139.7)	-
General State Charges	3,634.0	-	3,551.7	(82.3)	-
Debt Service	85.0	-	88.9	3.9	-
Capital Projects	2,045.0	-	1,597.6	(447.4)	-
Total Disbursements	47,100.0	-	40,751.2	(6,348.8)	-
Excess (Deficiency) of Receipts over Disbursements	(3,400.0)	-	6,399.7	9,799.7	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	7,401.0	-	7,107.4	(293.6)	-
Transfers to Other Funds	(7,470.0)	-	(7,168.3)	(301.7)	-
Total Other Financing Sources (Uses)	(69.0)	-	(60.9)	8.1	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,469.0)	-	6,338.8	9,807.8	-
Fund Balances (Deficits) at April 1	14,284.0	-	14,284.8	0.8	-
Fund Balances (Deficits) at June 30, 2020	\$ 10,815.0	\$ -	\$ 20,623.6	\$ 9,808.6	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)

	STATE OPERATING FUNDS (**)				Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	
RECEIPTS:					
Taxes:					
Personal Income	\$ 6,894.0	\$ -	\$ 8,633.9	\$ 1,739.9	\$ -
Consumption/Use	3,778.0	-	3,240.5	(537.5)	-
Business	1,889.0	-	1,405.6	(483.4)	-
Other	417.0	-	417.5	0.5	-
Miscellaneous Receipts	8,204.0	-	8,886.0	682.0	-
Federal Receipts	-	-	4.1	4.1	-
Total Receipts	21,182.0	-	22,587.6	1,405.6	-
DISBURSEMENTS:					
Local Assistance Grants	18,741.0	-	14,711.7	(4,029.3)	-
Departmental Operations	4,933.0	-	4,787.2	(145.8)	-
General State Charges	3,544.0	-	3,465.4	(78.6)	-
Debt Service	85.0	-	88.9	3.9	-
Capital Projects	-	-	-	-	-
Total Disbursements	27,303.0	-	23,053.2	(4,249.8)	-
Excess (Deficiency) of Receipts over Disbursements	(6,121.0)	-	(465.6)	5,655.4	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	6,727.0	-	7,410.1 (**)	683.1	-
Transfers to Other Funds	(7,046.0)	-	(6,747.6) (**)	(298.4)	-
Total Other Financing Sources (Uses)	(319.0)	-	662.5	981.5	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6,440.0)	-	196.9	6,636.9	-
Fund Balances (Deficits) at April 1	14,408.0	-	14,408.3	0.3	-
Fund Balances (Deficits) at June 30, 2020	\$ 7,968.0	\$ -	\$ 14,605.2	\$ 6,637.2	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(***) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)**

EXHIBIT D

	GENERAL FUND				Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual			
RECEIPTS:						
Taxes:						
Personal Income	\$ 3,447.0	\$ -	\$ 4,317.0	\$ 870.0	\$ -	-
Consumption/Use	1,744.0	-	1,493.9	(250.1)	-	-
Business	1,534.0	-	1,080.1	(453.9)	-	-
Other	295.0	-	273.9	(21.1)	-	-
Miscellaneous Receipts	4,803.0	-	5,043.5	240.5	-	-
Federal Receipts	-	-	-	-	-	-
Transfers From:						
PIT / ECET in excess of Revenue Bond Debt Service	3,447.0	-	4,310.1	863.1	-	-
Sales Tax in excess of LGAC / STRBF Debt Service	1,257.0	-	1,007.2	(249.8)	-	-
Real Estate Taxes in excess of CW/CA Debt Service	110.0	-	129.7	19.7	-	-
All Other	306.0	-	352.0	46.0	-	-
Total Receipts and Other Financing Sources	16,943.0	-	18,007.4	1,064.4	-	-
DISBURSEMENTS:						
Local Assistance Grants	16,007.0	-	13,045.5	(2,961.5)	-	-
Departmental Operations	2,991.0	-	2,823.0	(168.0)	-	-
General State Charges	3,298.0	-	3,302.6	4.6	-	-
Transfers To:						
Debt Service	30.0	-	34.0	4.0	-	-
Capital Projects	651.0	-	(253.6)	(904.6)	-	-
State Share Medicaid	-	-	31.9	31.9	-	-
SUNY Operations	817.0	-	758.8	(58.2)	-	-
Other Purposes	375.0	-	345.8	(29.2)	-	-
Total Disbursements and Other Financing Uses	24,169.0	-	20,088.0	(4,081.0)	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(7,226.0)	-	(2,080.6)	5,145.4	-	-
Fund Balances (Deficits) at April 1	8,944.0	-	8,944.2	0.2	-	-
Fund Balances (Deficits) at June 30, 2020	\$ 1,718.0	\$ -	\$ 6,863.6	\$ 5,145.6	\$ -	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)**

EXHIBIT D

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	448.0	-	410.7	-	410.7	(37.3)
Business	355.0	-	325.5	-	325.5	(29.5)
Miscellaneous Receipts	3,368.0	-	3,817.0	-	3,817.0	449.0
Federal Receipts	20,521.0	-	22,234.0	-	22,234.0	1,713.0
Transfers from Other Funds (**)	1,269.0	-	1,161.6	(56.2)	1,105.4	(163.6)
Total Receipts and Other Financing Sources	25,961.0	-	27,948.8	(56.2)	27,892.6	1,931.6
DISBURSEMENTS:						
Local Assistance Grants	18,526.0	-	16,593.2	-	16,593.2	(1,932.8)
Departmental Operations	2,469.0	-	2,491.3	-	2,491.3	22.3
General State Charges	336.0	-	249.1	-	249.1	(86.9)
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (**)	427.0	-	454.6	(56.2)	398.4	(28.6)
Total Disbursements and Other Financing Uses	21,758.0	-	19,788.2	(56.2)	19,732.0	(2,026.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,203.0	-	8,160.6	-	8,160.6	3,957.6
Fund Balances (Deficits) at April 1	6,312.0	-	6,312.1	-	6,312.1	0.1
Fund Balances (Deficits) at June 30, 2020	\$ 10,515.0	\$ -	\$ 14,472.7	\$ -	\$ 14,472.7	\$ 3,957.7

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	448.0	-	410.7	(37.3)	-	-	-	-
Business	355.0	-	325.5	(29.5)	-	-	-	-
Miscellaneous Receipts	3,306.0	-	3,738.7	432.7	78.3	16.3	-	-
Federal Receipts	-	-	4.1	4.1	20,521.0	1,708.9	-	-
Transfers from Other Funds	1,289.0	-	1,161.6	(107.4)	-	-	-	-
Total Receipts and Other Financing Sources	5,378.0	-	5,640.6	262.6	20,583.0	1,725.2	-	-
DISBURSEMENTS:								
Local Assistance Grants	2,734.0	-	1,666.2	(1,067.8)	15,792.0	(865.0)	-	-
Departmental Operations	1,935.0	-	1,951.2	16.2	534.0	540.1	6.1	-
General State Charges	246.0	-	162.8	(83.2)	90.0	86.3	(3.7)	-
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	37.0	-	14.7	(22.3)	380.0	439.9	49.9	-
Total Disbursements and Other Financing Uses	4,952.0	-	3,794.9	(1,157.1)	16,806.0	15,993.3	(812.7)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	426.0	-	1,845.7	1,419.7	3,777.0	6,314.9	2,537.9	-
Fund Balances (Deficits) at April 1	5,401.0	-	5,400.7	(0.3)	911.0	911.4	0.4	-
Fund Balances (Deficits) at June 30, 2020	\$ 5,827.0	\$ -	\$ 7,246.4	\$ 1,419.4	\$ 4,688.0	\$ 7,226.3	\$ 2,538.3	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)

EXHIBIT D

DEBT SERVICE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 3,447.0	\$ -	\$ 4,316.9	\$ 869.9	\$ -
Consumption/Use	1,586.0	-	1,335.9	(250.1)	-
Other	122.0	-	143.6	21.6	-
Miscellaneous Receipts	95.0	-	103.8	8.8	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	338.0	-	449.5	111.5	-
Total Receipts and Other Financing Sources	5,588.0	-	6,349.7	761.7	-
DISBURSEMENTS:					
Departmental Operations	7.0	-	13.0	6.0	-
Debt Service	85.0	-	88.9	3.9	-
Transfers to Other Funds	5,136.0	-	5,816.0	680.0	-
Total Disbursements and Other Financing Uses	5,228.0	-	5,917.9	689.9	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	360.0	-	431.8	71.8	-
Fund Balances (Deficits) at April 1	63.0	-	63.4	0.4	-
Fund Balances (Deficits) at June 30, 2020	\$ 423.0	\$ -	\$ 495.2	\$ 72.2	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 100.0	\$ -	\$ 109.0	\$ -	\$ 109.0	\$ 9.0
Business	93.0	-	107.4	-	107.4	14.4
Other	12.0	-	11.9	-	11.9	(0.1)
Miscellaneous Receipts	1,233.0	-	1,670.5	-	1,670.5	437.5
Federal Receipts	497.0	-	356.3	-	356.3	(140.7)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	674.0	-	(246.5)	-	(246.5)	(920.5)
Total Receipts and Other Financing Sources	2,609.0	-	2,008.6	-	2,008.6	(600.4)
DISBURSEMENTS:						
Local Assistance Grants	1,336.0	-	547.0	-	547.0	(789.0)
Capital Projects	2,045.0	-	1,597.6	-	1,597.6	(447.4)
Transfers to Other Funds	34.0	-	37.0	-	37.0	3.0
Total Disbursements and Other Financing Uses	3,415.0	-	2,181.6	-	2,181.6	(1,233.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(806.0)	-	(173.0)	-	(173.0)	633.0
Fund Balances (Deficits) at April 1	(1,035.0)	-	(1,034.9)	-	(1,034.9)	0.1
Fund Balances (Deficits) at June 30, 2020	\$ (1,841.0)	\$ -	\$ (1,207.9)	\$ -	\$ (1,207.9)	\$ 633.1

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR THREE MONTHS ENDED JUNE 30, 2020
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 100.0	\$ -	\$ 109.0	\$ 9.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business	93.0	-	107.4	14.4	-	-	-	-	-	-
Other	12.0	-	11.9	(0.1)	-	-	-	-	-	-
Miscellaneous Receipts	1,233.0	-	1,670.3	437.3	-	-	-	0.2	0.2	-
Federal Receipts	-	-	-	-	-	497.0	-	356.3	(140.7)	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	673.0	-	(246.5)	(919.5)	-	1.0	-	-	(1.0)	-
Total Receipts and Other Financing Sources	2,111.0	-	1,652.1	(488.9)	-	498.0	-	356.5	(141.5)	-
DISBURSEMENTS:										
Local Assistance Grants	1,177.0	-	444.8	(732.2)	-	159.0	-	102.2	(56.8)	-
Capital Projects	1,783.0	-	1,327.4	(455.6)	-	262.0	-	270.2	8.2	-
Transfers to Other Funds	35.0	-	37.0	2.0	-	(1.0)	-	-	1.0	-
Total Disbursements and Other Financing Uses	2,995.0	-	1,809.2	(1,185.8)	-	420.0	-	372.4	(47.6)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(884.0)	-	(157.1)	726.9	-	78.0	-	(15.9)	(93.9)	-
Fund Balances (Deficits) at April 1	(471.0)	-	(472.2)	(1.2)	-	(564.0)	-	(562.7)	1.3	-
Fund Balances (Deficits) at June 30, 2020	\$ (1,355.0)	\$ -	\$ (629.3)	\$ 725.7	\$ -	\$ (486.0)	\$ -	\$ (576.6)	\$ (92.6)	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	
	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	MONTH OF JUNE 2019	3 MOS. ENDED JUNE 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease	
PERSONAL INCOME TAX																	
Withholding	\$ 3,086.3	\$ 9,211.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,066.3	\$ 9,211.9	\$ 2,922.3	\$ 9,379.5	\$ 2,922.3	\$ 9,379.5	\$ (167.6)	-1.8%	
Estimated Payments	1,493.0	1,775.5	-	-	-	-	-	-	1,493.0	1,775.5	2,396.4	9,352.2	2,396.4	9,352.2	(7,576.7)	-81.0%	
Returns	260.9	724.7	-	-	-	-	-	-	260.9	724.7	54.1	2,416.1	54.1	2,416.1	(1,681.4)	-70.0%	
State/City Offsets	(58.4)	(168.0)	-	-	-	-	-	-	(58.4)	(168.0)	(25.9)	(353.9)	(25.9)	(353.9)	(185.9)	-52.5%	
Other (Assessments/LLC)	63.0	230.5	-	-	-	-	-	-	63.0	230.5	96.2	371.7	96.2	371.7	(141.2)	-38.0%	
Gross Receipts	4,854.8	11,774.6	-	-	-	-	-	-	4,854.8	11,774.6	5,443.1	21,165.6	5,443.1	21,165.6	(9,391.0)	-44.4%	
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers to Revenue Bond Tax Fund	(2,184.2)	(4,316.9)	-	-	2,184.2	4,316.9	-	-	-	-	-	-	-	-	-	0.0%	
Less: Refunds Issued	(486.3)	(3,140.7)	-	-	2,184.2	4,316.9	-	-	(486.3)	(3,140.7)	(233.2)	(4,255.7)	(233.2)	(4,255.7)	(1,115.0)	-26.2%	
Total	2,184.3	4,317.0	-	-	-	-	-	-	4,368.5	8,633.9	5,209.9	16,906.9	5,209.9	16,906.9	(8,276.0)	-48.9%	
CONSUMPTION/USE TAXES																	
Sales and Use	572.4	1,337.2	65.6	197.1	572.0	1,335.9	-	-	1,210.0	2,870.2	1,589.5	3,969.9	1,589.5	3,969.9	(1,099.7)	-27.7%	
Auto Rental	-	-	3.4	1.8	-	-	-	-	13.5	12.6	19.5	23.3	19.5	23.3	(10.7)	-45.9%	
Cigarette/Tobacco Products	25.9	78.6	60.2	180.3	-	-	-	-	86.1	258.9	63.8	258.2	63.8	258.2	0.7	0.3%	
Medical Marijuana	-	-	0.7	1.8	-	-	-	-	0.7	1.8	0.5	1.4	0.5	1.4	0.4	28.6%	
Motor Fuel	-	-	6.6	17.8	-	-	-	-	31.5	83.2	46.1	136.3	46.1	136.3	(53.1)	-39.0%	
Alcoholic Beverage	22.8	70.9	-	-	-	-	-	-	22.8	70.9	26.0	66.9	26.0	66.9	4.0	6.0%	
Highway Use	-	-	-	0.1	-	-	-	-	12.4	32.8	9.9	34.8	9.9	34.8	(1.9)	-5.5%	
Vapor Excise	-	-	11.7	11.8	-	-	-	-	11.7	11.8	-	-	-	-	11.8	100.0%	
Opioid Excise	-	-	-	-	-	-	-	-	-	7.2	-	-	-	-	-	100.0%	
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Total	621.1	1,493.9	148.2	410.7	572.0	1,335.9	-	-	1,388.7	3,348.5	1,775.3	4,490.8	1,775.3	4,490.8	(1,141.3)	-25.4%	
BUSINESS TAXES																	
Corporation Franchise	447.4	513.3	110.1	163.9	-	-	-	-	557.5	677.2	901.2	1,208.9	901.2	1,208.9	(531.7)	-44.0%	
Corporation and Utilities	73.8	151.8	20.5	15.8	-	-	-	-	94.9	96.5	109.5	153.7	109.5	153.7	(54.2)	-35.3%	
Insurance	325.4	395.3	38.6	45.4	-	-	-	-	364.0	440.7	403.2	550.0	403.2	550.0	(109.3)	-19.9%	
Bank	78.9	87.0	12.9	14.8	-	-	-	-	91.8	101.8	(21.1)	3.1	91.8	98.7	3,183.9%		
Petroleum Business	-	-	37.7	85.6	-	-	-	-	85.7	193.8	103.8	303.9	103.8	303.9	(110.1)	-36.2%	
Total	925.5	1,080.1	219.8	325.5	-	-	-	-	1,193.9	1,513.0	1,496.6	2,219.6	1,496.6	2,219.6	(706.6)	-31.8%	
OTHER TAXES																	
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Estate and Gift	147.3	272.0	-	-	-	-	-	-	147.3	272.0	62.2	199.2	62.2	199.2	72.8	36.5%	
Pari-Mutuel	0.8	1.7	-	-	-	-	-	-	0.8	1.7	1.6	3.6	1.6	3.6	(1.9)	-52.8%	
Real Estate Transfer	-	-	-	-	-	-	37.9	143.5	-	155.4	98.6	267.5	98.6	267.5	(112.1)	-41.9%	
Racing and Exhibitions	-	-	-	-	-	-	-	-	-	0.1	0.3	0.6	0.3	0.6	(0.5)	-83.3%	
Metropolitan Commuter Trans. Mobility	0.1	0.1	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Employer Compensation Expense Tax	-	-	-	-	-	-	-	-	0.2	0.2	-	-	-	-	-	0.0%	
Total	148.2	273.9	-	-	38.0	143.6	-	-	198.1	428.4	162.7	471.1	162.7	471.1	(41.7)	-8.9%	
Total Tax Receipts	\$ 3,879.1	\$ 7,164.9	\$ 368.0	\$ 736.2	\$ 2,794.2	\$ 5,796.4	\$ -	\$ 5,796.4	\$ 7,149.2	\$ 13,925.8	\$ 8,644.5	\$ 24,091.4	\$ 8,644.5	\$ 24,091.4	\$ (10,165.6)	-42.2%	

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	3 Months Ended June 30												% Increase/ Decrease		
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH			
Beginning Fund Balance	\$ 14,284.8	\$ 20,544.4	\$ 17,650.3											\$ 4,306.8	43.2%
RECEIPTS:															
Taxes:															
Personal Income Tax:															
Withholdings	3,187.3	2,928.3	3,096.3											9,379.5	-1.8%
Estimated Payments	211.6	70.9	1,493.0											9,352.2	-81.0%
Returns	339.1	124.7	260.9											2,416.1	-70.0%
State/City Offsets	(89.8)	(38.8)	(69.4)											(353.9)	-52.5%
Other (Assessments/LLC)	107.4	60.1	63.0											371.7	-38.0%
Gross Receipts	3,775.6	3,144.2	4,854.8											21,105.0	-44.4%
Transfers to School Tax Relief Fund	-	-	-											-	00%
Transfers to Revenue Bond Tax Fund	(1,789.4)	(845.0)	(486.3)											(4,265.7)	00%
Refunds Issued	2,066.2	2,195.2	4,368.5											(6,276.9)	-46.8%
Total Personal Income Tax	869.4	790.8	1,210.0											3,868.9	-27.7%
Consumption/Use Taxes:														23.3	0.3%
Sales and Use	0.5	(1.4)	13.5											0.7	0.4
Auto Rental	98.8	74.0	86.1											1.4	28.6%
Cigarette/Tobacco Products	0.5	0.6	0.7											(53.1)	-38.0%
Medical Marijuana	30.3	21.4	31.5											66.9	6.0%
Motor Fuel	26.7	21.4	22.8											34.8	-5.5%
Alcoholic Beverage	11.6	8.9	12.4											11.8	100.0%
Highway Use	-	0.1	11.7											7.2	100.0%
Vapor Excise	7.2	-	-											-	00%
Opicoid Excise	-	-	-											-	-23.4%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-											(1,141.3)	-44.0%
Total Consumption/Use Taxes	1,045.0	915.8	1,388.7											1,208.9	-35.3%
Business Taxes:														153.7	-19.9%
Corporation Franchise	254.4	(134.7)	557.5											550.0	98.7
Corporation and Utilities	15.6	(11.0)	94.9											3.1	3183.9%
Insurance	70.2	6.5	364.0											101.8	-36.2%
Bank	7.4	2.6	81.8											193.8	-31.8%
Petroleum Business	68.3	38.9	85.7											303.9	-
Total Business Taxes	415.9	(66.8)	1,183.9											2,219.6	-
Other Taxes:														-	0.0%
Real Property Gains	-	-	-											-	72.8
Estate and Gift	72.7	52.0	147.3											3.6	(1.9)
Pari-Mutuel	0.7	0.2	0.8											267.3	(112.1)
Real Estate Transfer	57.2	48.4	49.8											0.1	(9.3)
Racing and Exhibitions	0.1	-	-											0.0	0.0%
Metropolitan Comm. Trans. Mobility	-	-	-											0.2	0.0%
Employer Compensation Expense Tax	0.2	(0.2)	0.2											0.2	-8.9%
Total Other Taxes	130.9	100.4	198.1											471.1	-
Total Taxes	3,658.0	3,115.6	7,149.2											24,091.4	-42.2%
Miscellaneous Receipts:															
Abandoned Property	1.6	0.7	0.8											4.1	(1.0)
Abandoned Property	0.7	0.3	20.6											31.7	(10.1)
Bottle Bill	-	-	-											-	-24.4%
Assessments:														298.1	(57.6)
Business	54.6	66.0	88.0											1,689.0	(114.6)
Medical Care	571.2	468.8	506.1											5.9	(5.3)
Public Utilities	0.1	-	0.4											0.3	(0.2)
Other	-	0.1	-											0.0	-66.7%
Fees, Licenses and Permits:														17.1	(9.1)
Alcohol Beverage Control Licensing	2.2	2.9	2.9											2.3	(2.2)
Audit Fees	-	-	0.1											0.1	-95.7%
Business/Professional:														252.6	4.3
Civil	4.3	3.3	5.5											69.5	(56.4)
Criminal	0.6	0.4	0.2											1.2	(1.0)
Motor Vehicle	(29.8)	-	187.3											362.7	(205.2)
Recreational/Consumer	43.0	-	36.6											79.6	(80.3)
Fines, Penalties and Forfeitures	100.9	233.7	18.3											989.3	(636.4)
Gaming:														69.9	(69.9)
Casino	-	-	-											68.2	(209.8)
Lottery	157.0	142.1	173.8											238.3	(227.7)
Video Lottery	-	0.6	-											0.6	-99.7%
Interest Earnings	32.9	18.2	9.2											127.7	(67.4)
Receipts from Public Authorities:														350.0	1,608.6%
Bond Proceeds	1,122.1	1,018.1	3,842.4											-	5,633.6
Cost Recovery Assessments	-	-	-											-	0.0%
Issuance Fees	0.5	1.4	25.5											18.1	9.3
Non Bond Related	9.0	0.3	0.5											13.7	-28.5%

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(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 \$	2019 \$	3 Months Ended June 30 Increase/ Decrease \$	% Increase/ Decrease
Beginning Fund Balance	\$ 14,408.3	\$ 16,171.6	\$ 13,342.7										\$ 14,408.3	\$ 12,361.3	\$ 2,047.0	16.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3										9,211.9	9,379.5	(167.6)	-1.8%
Estimated Payments	211.6	70.9	1,493.0										1,775.5	9,352.2	(7,576.7)	-81.0%
Returns	338.1	124.7	290.9										724.7	2,418.1	(1,693.4)	-70.0%
Refundary Offsets	(38.9)	(16.9)	(16.9)										(16.9)	(16.9)	0.0%	0.0%
Other (Adv./Repayments/LC)	102.6	60.1	63.0										230.5	321.2	(91.7)	-28.0%
Gross Receipts	3,775.6	3,144.2	4,354.8										11,774.6	21,165.6	(9,391.0)	-44.4%
Transfers to School Tax Relief Fund	-	-	-										-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-										-	-	-	0.0%
Refunds Issued	(1,709.4)	(645.0)	(496.3)										(3,140.7)	(4,255.7)	(1,115.0)	-35.2%
Total Personal Income Tax	2,066.2	2,199.2	4,388.5										8,633.9	15,909.9	(7,276.0)	-48.9%
Consumption Use Taxes:																
Sales Tax	889.4	750.8	1,210.0										2,870.2	3,989.9	(1,089.7)	-27.7%
Auto Rental	(0.1)	(1.5)	3.4										1.8	-	1.8	100.0%
Cigarette/Tobacco Products	98.8	74.0	86.1										258.2	258.2	0.7	0.3%
Medical Marijuana	0.6	0.6	0.7										1.4	1.4	0.4	28.6%
Motor Fuel	6.6	4.7	6.6										17.8	28.5	(10.7)	-37.5%
Alcoholic Beverage	26.7	21.4	22.8										70.9	68.9	4.0	6.0%
Vignette/Tax	-	0.1	0.1										0.2	0.2	0.0	0.0%
Video Lottery	-	0.1	11.7										11.8	-	11.8	100.0%
Opium Excise	7.2	-	-										7.2	-	7.2	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-										-	-	-	0.0%
Total Consumption/Use Taxes	1,009.0	890.2	1,341.3										3,240.5	4,325.1	(1,084.6)	-25.1%
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5										677.2	1,208.9	(531.7)	-44.0%
Corporation and Utilities	7.5	16.9	16.9										40.3	145.6	(105.3)	-23.5%
Insurance	70.2	6.5	384.0										560.0	560.0	-	0.0%
Bank	7.4	2.6	91.8										101.8	3.1	98.7	3,183.9%
Petroleum Business	30.3	17.6	37.7										85.6	133.4	(47.8)	-35.8%
Total Business Taxes	377.8	117.5	1,145.3										1,405.6	2,044.0	(638.4)	-31.2%
Other Taxes:																
Real Property Gains	-	-	-										-	-	-	0.0%
Real Estate Gift	72.7	52.0	147.3										272.0	199.2	72.8	36.0%
Real Estate Transfer	0.7	0.2	0.8										1.7	3.6	(1.9)	-52.8%
Racing and Exhibitions	57.2	48.4	37.9										143.5	255.6	(112.1)	-43.9%
Metropolitan Commuter Trans. Mobility	0.1	-	-										0.1	0.6	(0.5)	-83.3%
Employer Compensation Expense Tax	-	-	0.2										-	-	-	0.0%
Total Other Taxes	130.9	100.4	186.2										417.5	459.2	(41.7)	-9.1%
Total Taxes	3,963.9	3,072.3	7,041.3										13,697.5	23,738.2	(10,040.7)	-42.3%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	1.6	0.7	0.8										3.1	4.1	(1.0)	-24.4%
Assets	0.7	0.3	20.6										21.6	8.7	12.9	148.3%
Business Receipts:																
Medical Care	42.7	21.8	82.4										146.9	194.2	(47.3)	-24.4%
Public Utilities	571.2	468.8	506.1										1,544.1	1,658.6	(114.5)	-6.9%
Other	-	-	-										0.5	5.8	(5.3)	-81.4%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9										8.0	17.1	(9.1)	-53.2%
Business/Professional	67.2	68.8	114.4										251.4	242.5	8.9	3.7%
Civil	4.3	3.3	5.5										13.1	69.5	(56.4)	-81.2%
Criminal	0.6	0.4	0.2										1.2	2.2	(1.0)	-45.5%
Motor Vehicle	(82.0)	(33.2)	144.2										29.0	161.0	(132.0)	-82.0%
Recreational/Consumer	43.0	-	36.1										79.1	189.6	(80.5)	-53.4%
Fines, Penalties and Forfeitures	98.6	231.6	16.1										346.3	980.7	(634.4)	-64.7%
Casino	-	-	-										-	69.9	(69.9)	-100.0%
Lottery	157.0	142.1	173.8										472.9	682.7	(209.8)	-30.7%
Video Lottery	-	0.6	-										0.6	228.3	(227.7)	-86.7%
Interest Earnings	28.2	13.8	7.7										50.7	118.0	(67.3)	-57.0%
Receipts from Public Authorities:																

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020 2019	3 Months Ended June 30 \$ Increase/ (Decrease)	% Increase/ Decrease
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-	-	-	-	-	-	4,500.0	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	0.5	1.4	25.5	-	-	-	-	-	-	-	-	-	27.4	18.1	51.4%
Interest Received	9.3	0.3	0.3	-	-	-	-	-	-	-	-	-	10.9	10.9	117.8%
Receipts from Municipalities	-	2.5	3.8	-	-	-	-	-	-	-	-	-	15.6	35.6	-56.2%
Rentals	(5.1)	(42.8)	(0.3)	-	-	-	-	-	-	-	-	-	(48.2)	(76.2)	-163.3%
Revenues of State Departments:															
Administrative Recoveries	25.0	8.8	25.4	-	-	-	-	-	-	-	-	-	59.2	29.4	101.4%
Commissions	0.6	(0.3)	0.2	-	-	-	-	-	-	-	-	-	0.5	1.8	-72.2%
Grants - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	0.5	1.8	-72.2%
Grants - Other	0.6	1.5	22.1	-	-	-	-	-	-	-	-	-	24.2	4.6	426.0%
Interest Cost Recoveries	5.8	5.4	7.4	-	-	-	-	-	-	-	-	-	18.3	20.4	-10.3%
Patient/Client Care Reimbursement	528.5	372.8	350.6	-	-	-	-	-	-	-	-	-	632.4	617.5	97.6%
Rebates	0.1	6.6	5.7	-	-	-	-	-	-	-	-	-	12.4	13.4	-7.5%
Restitution and Settlements	3.9	0.4	0.6	-	-	-	-	-	-	-	-	-	4.9	9.8	-50.0%
Student Loans	6.1	8.4	3.2	-	-	-	-	-	-	-	-	-	10.7	18.1	-48.0%
State Other	(20.1)	0.6	16.9	-	-	-	-	-	-	-	-	-	150.0	(148.9)	103.5%
Sales	0.0	0.6	2.6	-	-	-	-	-	-	-	-	-	3.7	0.0	25.3%
Tuition	(67.9)	33.6	55.9	-	-	-	-	-	-	-	-	-	135.0	(112.0)	-83.0%
Total Miscellaneous Receipts	1,431.2	2,321.8	5,133.0	-	-	-	-	-	-	-	-	-	8,886.0	5,774.0	53.9%
Federal Receipts	-	-	4.1	-	-	-	-	-	-	-	-	-	4.1	5.0	555.6%
Total Receipts	5,015.1	5,394.1	12,178.4	-	-	-	-	-	-	-	-	-	22,587.6	(6,923.7)	-23.5%
DISBURSEMENTS:															
Local Assistance Grants:															
Education	754.3	4,065.1	3,409.1	-	-	-	-	-	-	-	-	-	7,436.5	792.0	10.7%
Environment and Recreation	0.1	0.3	-	-	-	-	-	-	-	-	-	-	0.9	(0.5)	-55.6%
General Government	16.1	4.6	500.0	-	-	-	-	-	-	-	-	-	636.2	(117.5)	-18.4%
Public Health:															
HIV/AIDS	757.7	1,757.8	2,467.4	-	-	-	-	-	-	-	-	-	5,012.9	7,535.2	33.4%
Other Public Health	93.6	72.8	307.2	-	-	-	-	-	-	-	-	-	804.0	(330.9)	-41.1%
Public Safety	20.9	11.1	4.5	-	-	-	-	-	-	-	-	-	36.5	87.1	-58.1%
Public Welfare	77.0	158.2	61.4	-	-	-	-	-	-	-	-	-	296.6	355.4	-16.5%
Support and Regulate Business	4.6	7.1	9.0	-	-	-	-	-	-	-	-	-	20.7	(7.8)	-27.4%
Transportation	61.7	41.7	18.5	-	-	-	-	-	-	-	-	-	774.3	(652.4)	-84.3%
Total Local Assistance Grants	1,783.9	6,116.7	6,807.1	-	-	-	-	-	-	-	-	-	14,711.7	(2,838.4)	-16.8%
Departmental Grants:															
Personal Service	1,494.8	1,084.9	855.5	-	-	-	-	-	-	-	-	-	3,814.9	(279.7)	-7.3%
Non-Personal Service	543.3	372.9	335.8	-	-	-	-	-	-	-	-	-	1,323.5	(71.5)	-5.4%
General State Charges	512.5	370.3	2,582.6	-	-	-	-	-	-	-	-	-	3,649.3	(183.9)	-5.0%
Debt Service, Including Payments on															
Financing Agreements	36.5	23.5	28.9	-	-	-	-	-	-	-	-	-	423.8	(334.9)	-78.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Disbursements	4,373.0	7,970.3	10,709.9	-	-	-	-	-	-	-	-	-	26,861.7	(3,868.9)	-14.2%
Excess (Deficiency) of Receipts over Disbursements	642.1	(2,576.2)	1,468.5	-	-	-	-	-	-	-	-	-	(465.6)	(3,115.2)	-117.6%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	-	-	-	-	-	-	-	-	-	7,410.1	12,422.2	-40.3%
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,302.1)	-	-	-	-	-	-	-	-	-	(6,747.6)	(13,383.4)	-49.6%
Total Other Financing Sources (Uses)	1,121.2	(92.7)	(406.0)	-	-	-	-	-	-	-	-	-	662.5	(961.2)	-168.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,763.3	(2,626.9)	1,062.5	-	-	-	-	-	-	-	-	-	196.9	(1,491.9)	-85.3%
Ending Fund Balance	\$ 16,171.6	\$ 13,542.7	\$ 14,605.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,605.2	\$ 555.5	4.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020			2021										3 Months Ended June 30		% Increase/ Decrease
	APRIL	MAY		JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	
Beginning Fund Balance	\$ 8,944.2	\$ 10,082.5	\$ 7,910.2										\$ 8,944.2	\$ 7,205.7	\$ 1,738.5	24.1%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,086.3											9,379.5	(167.6)	-1.8%
Estimated Payments	211.6	70.9	1,493.0											9,352.2	(7,576.7)	-81.0%
Returns	339.1	124.7	280.9											2,416.1	(1,891.4)	-70.0%
State/City Offsets	(69.8)	(38.9)	(68.4)											(353.9)	(185.9)	-48.5%
Other (Assessments/LC)	3,775.6	3,144.2	4,854.8											21,165.6	(9,391.0)	-44.4%
Gross Receipts	-	-	-											-	-	0.0%
Transfers to School Tax Relief Fund	(1,033.1)	(1,089.6)	(2,184.2)											(8,454.9)	(4,138.0)	-48.9%
Transfers to Revenue Bond Tax Fund	(845.0)	(1,709.4)	(466.3)											(4,115.0)	(1,115.0)	-26.2%
Refunds Issued	1,033.1	1,099.6	2,184.3											8,455.0	(4,138.0)	-48.9%
Total Personal Income Tax																
Consumption/Use Taxes:																
Sales and Use	394.9	369.9	572.4											1,834.2	(497.0)	-27.1%
Alcohol Beverage	-	-	-											-	-	0.0%
Cigarette/Tobacco Products	30.0	22.7	25.9											76.3	0.3	0.4%
Motor Fuel	-	-	-											-	-	0.0%
Alcoholic Beverage	26.7	21.4	22.8											66.9	4.0	6.0%
Highway Use	-	-	-											-	-	0.0%
Vapor Excise	-	-	-											-	-	0.0%
Oploid Excise	7.2	-	-											7.2	7.2	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-											-	-	0.0%
Total Consumption/Use Taxes	438.8	414.0	621.1											1,493.9	(485.5)	-24.5%
Business Taxes:																
Corporation Franchise	197.4	(131.5)	447.4											924.9	(411.6)	-44.5%
Insurance	13.3	(2.6)	73.8											102.2	(17.7)	-17.3%
Bank	63.0	6.9	325.4											478.5	(83.2)	-17.4%
Petroleum Business	6.1	2.0	78.9											(0.8)	87.8	10,975.0%
Total Business Taxes	278.8	(125.2)	925.5											1,504.8	(424.7)	-28.2%
Other Taxes:																
Real Property Gains	-	-	-											-	-	0.0%
Estate and Gift	72.7	52.0	147.3											199.2	72.8	36.5%
Par-Mutuel	0.7	0.2	0.8											3.6	(1.9)	-52.8%
Real Estate Transfer	-	-	-											-	-	0.0%
Racing and Exhibitions	0.1	-	-											0.6	(0.5)	-83.3%
Metropolitan Commuter Trans. Mobility	-	-	-											-	-	0.0%
Employer Compensation Expense Tax	(0.1)	(0.1)	0.1											0.1	-	0.0%
Total Other Taxes	73.6	52.1	148.2											203.5	70.4	34.6%
Total Taxes	1,845.3	1,440.5	3,879.1											12,142.7	(4,977.8)	-41.0%
Miscellaneous Receipts:																
Abandoned Property	0.4	-	-											1.0	(0.6)	-60.0%
Unclaimed Property	0.7	0.3	20.6											8.7	12.9	148.3%
Assessments:																
Business	-	-	-											-	-	0.0%
Medical Care	1.9	2.1	1.7											8.5	(2.8)	-32.9%
Public Utilities	-	-	-											-	-	0.0%
Other	-	0.1	-											0.2	(0.1)	-50.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9											17.1	(9.1)	-53.2%
Business/Professional	19.0	(0.6)	27.9											59.0	(12.7)	-21.5%
Civil	1.1	0.9	2.9											56.2	(61.3)	-91.3%
Criminal	0.1	0.1	0.1											0.4	(0.1)	-25.0%
Motor Vehicle	(100.4)	(49.0)	127.2											79.7	(101.9)	-127.9%
Recreational/Consumer	-	-	-											0.1	(4.5)	-97.8%
Fines, Penalties and Forfeitures	88.9	226.0	14.7											799.2	(469.6)	-58.8%
Interest Earnings	15.6	5.9	1.6											58.4	(35.3)	-60.4%
Rents from Public Authorities:														-	-	100.0%
Bond Proceeds	-	1,000.0	3,500.0											4,500.0	-	100.0%
Cost Recovery Assessments	-	-	-											-	-	0.0%
Insurance Fees	-	-	20.2											10.9	9.3	85.3%
Non Bond Related	-	-	-											0.1	(0.1)	-100.0%
Receipts from Municipalities	-	-	-											16.7	(16.7)	-100.0%
Rentals	0.2	0.1	0.1											0.6	(0.2)	-33.3%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.3	16.7											21.6	(4.2)	-19.4%
Commissions	0.4	(0.4)	0.1											0.3	(0.2)	-66.7%
Gifts, Grants and Donations	-	-	-											-	-	0.0%
Indirect Cost Recoveries	5.5	5.4	7.4											19.5	(1.2)	-6.2%
Patient/Client Care Reimbursement	(3.7)	53.7	2.2											1.1	51.1	4,645.5%

EXHIBIT F

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STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	3 Months Ended June 30			% Increase/ Decrease
														2020	2019	\$ Increase/ (Decrease)	
Beginning Fund Balance	\$ 6,312.1	\$ 11,224.0	\$ 11,140.2	-	-	-	-	-	-	-	-	-	-	\$ 6,312.1	\$ 3,842.4	\$ 2,469.7	64.3%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	-	-	-	-	-	-	-	-	-	-	-	303.3	(108.2)	-35.0%
Auto Rental	(0.1)	(1.9)	(0.1)	-	-	-	-	-	-	-	-	-	-	197.1	9.9	1.8	100.0%
Casino	69.3	69.4	69.2	-	-	-	-	-	-	-	-	-	-	180.3	179.9	0.4	0.2%
Medical Products	0.6	0.8	0.7	-	-	-	-	-	-	-	-	-	-	1.8	1.4	0.4	28.6%
Medical Marijuana	6.5	4.7	6.6	-	-	-	-	-	-	-	-	-	-	17.8	28.5	(10.7)	-37.5%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Other	-	0.1	11.7	-	-	-	-	-	-	-	-	-	-	11.9	11.8	0.1	0.8%
Metropolitan Consumption/Use Taxes																	
Metropolitan Consumption/Use Taxes	156.0	166.3	148.7	-	-	-	-	-	-	-	-	-	-	410.7	313.3	(102.6)	-26.0%
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	-	-	-	-	-	-	-	-	-	-	163.9	284.0	(120.1)	-42.3%
Corporation and Utilities	2.2	(0.9)	38.6	-	-	-	-	-	-	-	-	-	-	16.8	14.1	2.7	19.2%
Insurance	7.2	0.9	38.6	-	-	-	-	-	-	-	-	-	-	45.5	71.5	(26.0)	-36.5%
Bank	1.3	0.6	12.9	-	-	-	-	-	-	-	-	-	-	14.8	3.9	10.9	279.5%
Petroleum Business	30.3	17.6	37.7	-	-	-	-	-	-	-	-	-	-	85.6	133.4	(47.8)	-35.8%
Total Business Taxes	98.0	77.7	219.8	-	-	-	-	-	-	-	-	-	-	325.5	598.2	(273.7)	-45.8%
Total Taxes	254.0	114.2	388.0	-	-	-	-	-	-	-	-	-	-	736.2	1,092.5	(316.3)	-30.1%
Miscellaneous Receipts:																	
Abandoned Property	1.2	0.7	0.8	-	-	-	-	-	-	-	-	-	-	2.7	3.1	(0.4)	-12.9%
Abandoned Property	46.7	62.4	82.6	-	-	-	-	-	-	-	-	-	-	191.7	236.0	(44.3)	-19.5%
Business	569.3	484.7	504.4	-	-	-	-	-	-	-	-	-	-	1,538.4	1,850.1	(311.7)	-16.8%
Medical Care	0.1	-	-	-	-	-	-	-	-	-	-	-	-	0.5	5.8	(5.3)	-91.4%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Penalties and Permits:																	
Amusement Taxes	-	-	0.1	-	-	-	-	-	-	-	-	-	-	0.1	2.3	(2.2)	-95.7%
Business/Professional	48.2	70.4	86.5	-	-	-	-	-	-	-	-	-	-	205.1	183.5	21.6	11.8%
Civil	3.2	2.4	2.6	-	-	-	-	-	-	-	-	-	-	8.2	13.3	(5.1)	-38.3%
Criminal	0.5	0.3	0.1	-	-	-	-	-	-	-	-	-	-	0.9	1.8	(0.9)	-50.0%
Motor Vehicle	18.4	15.9	17.0	-	-	-	-	-	-	-	-	-	-	67.0	101.3	(34.3)	-33.9%
Retail/Consumer	48.0	36.0	36.0	-	-	-	-	-	-	-	-	-	-	79.2	165.0	(86.0)	-52.1%
Fines, Penalties and Forfeitures	10.0	5.8	1.8	-	-	-	-	-	-	-	-	-	-	17.4	183.5	(166.1)	-90.5%
Gaming:																	
Casino	-	-	-	-	-	-	-	-	-	-	-	-	-	-	68.9	(68.9)	-100.0%
Lottery	157.0	142.1	173.8	-	-	-	-	-	-	-	-	-	-	477.9	222.7	255.2	114.6%
Vending	16.5	11.7	7.5	-	-	-	-	-	-	-	-	-	-	35.7	25.3	10.4	40.7%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	65.7	(30.0)	-45.7%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	0.5	1.4	5.3	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	-	-	-	-	-	-	-	-	-	-	8.2	8.6	(0.4)	-4.2%
Receipts from Municipalities	9.3	2.2	3.7	-	-	-	-	-	-	-	-	-	-	15.2	18.4	(3.2)	-17.4%
Rentals	(6.3)	(42.9)	(0.4)	-	-	-	-	-	-	-	-	-	-	(48.6)	75.6	(124.2)	-164.3%
Revenues of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	-	-	-	-	-	-	-	-	-	-	41.8	7.8	34.0	435.9%
Commissions	0.2	0.1	-	-	-	-	-	-	-	-	-	-	-	0.4	1.5	(1.1)	-73.3%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.6	1.5	22.1	-	-	-	-	-	-	-	-	-	-	24.2	4.6	18.6	426.1%
Indirect Cost Recoveries	489.0	305.7	305.6	-	-	-	-	-	-	-	-	-	-	1,094.7	0.9	(109.9)	-100.0%
Reimbursement of State Department	0.8	0.6	0.6	-	-	-	-	-	-	-	-	-	-	1.9	5.5	(3.6)	-45.5%
Reimbursement of State Department	3.7	0.4	0.6	-	-	-	-	-	-	-	-	-	-	35.5	37.8	(2.3)	-6.1%
Restitution and Settlements	6.1	1.4	3.2	-	-	-	-	-	-	-	-	-	-	9.6	9.6	-	0.0%
Student Loans	(24.6)	4.4	13.1	-	-	-	-	-	-	-	-	-	-	10.7	19.1	(8.4)	-44.0%
All Other	(0.5)	3.6	5.8	-	-	-	-	-	-	-	-	-	-	(7.1)	135.7	(142.8)	-105.2%
Sales	69.3	69.4	69.2	-	-	-	-	-	-	-	-	-	-	180.3	179.9	0.4	0.2%
Unlabeled	(1,361.9)	1,107.7	1,347.3	-	-	-	-	-	-	-	-	-	-	23.3	135.7	(112.4)	-83.6%
Total Miscellaneous Receipts	1,361.9	1,107.7	1,347.3	-	-	-	-	-	-	-	-	-	-	3,817.0	4,355.8	(538.8)	-12.4%
Federal Receipts	10,777.4	4,104.3	7,352.3	-	-	-	-	-	-	-	-	-	-	22,234.0	16,891.6	5,542.4	33.2%
Total Receipts	12,303.3	5,326.2	9,007.2	-	-	-	-	-	-	-	-	-	-	26,772.2	22,906.9	4,487.3	20.1%

EXHIBIT G

	2020												Intra-Fund Transfers/ Eliminations (*)	3 Months Ended June 30		% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH		2020	2019	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	383.0	102.6	847.9	-	-	-	-	-	-	-	-	-	-	1,333.5	1,540.2	-13.4%
Environment and Recreation	-	0.5	-	-	-	-	-	-	-	-	-	-	-	0.5	0.8	-37.5%
General Government	11.3	6.1	8.5	-	-	-	-	-	-	-	-	-	-	25.9	60.1	-56.9%
Health:																
Mental Health	5,180.9	3,616.0	3,615.6	-	-	-	-	-	-	-	-	-	-	12,612.5	11,142.5	13.2%
Other Public Health	509.6	533.0	709.7	-	-	-	-	-	-	-	-	-	-	1,752.3	1,989.4	-12.8%
Public Safety	92.4	62.2	159.2	-	-	-	-	-	-	-	-	-	-	313.8	380.0	-17.1%
Public Welfare	134.9	25.9	253.6	-	-	-	-	-	-	-	-	-	-	414.4	543.5	-23.8%
Support and Regulate Business	0.7	0.5	8.6	-	-	-	-	-	-	-	-	-	-	13.2	70.8	-81.9%
Transfers to Other Funds	67.5	4.7	2.0	-	-	-	-	-	-	-	-	-	-	13.2	70.8	-81.9%
Total Local Assistance Grants	6,377.9	4,551.7	5,623.6	-	-	-	-	-	-	-	-	-	-	16,553.2	16,277.8	1.9%
Departmental Operations:																
Personal Service	675.9	444.6	551.8	-	-	-	-	-	-	-	-	-	-	1,672.2	1,545.5	8.2%
Non-Personal Service	270.9	220.9	327.3	-	-	-	-	-	-	-	-	-	-	818.1	935.6	-12.3%
Capital Charges	75.0	64.3	106.6	-	-	-	-	-	-	-	-	-	-	248.1	248.1	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	-100.0%
Total Disbursements	7,399.6	5,321.7	6,612.3	-	-	-	-	-	-	-	-	-	-	19,333.6	19,043.4	1.5%
Excess (Deficiency) of Receipts over Disbursements	4,993.7	4.5	2,455.4	-	-	-	-	-	-	-	-	-	-	3,295.5	4,197.1	126.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	867.4	-	-	-	-	-	-	-	-	-	-	1,105.4	1,207.2	-8.4%
Transfers to Other Funds	(304.5)	(129.5)	(20.3)	-	-	-	-	-	-	-	-	-	-	(389.4)	(319.5)	24.7%
Total Other Financing Sources (Uses)	(81.8)	(88.0)	877.1	-	-	-	-	-	-	-	-	-	-	707.0	887.7	-20.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,911.9	(83.5)	3,332.5	-	-	-	-	-	-	-	-	-	-	8,190.6	4,144.2	96.9%
Ending Fund Balance	\$ 11,224.0	\$ 11,140.2	\$ 14,472.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,472.7	\$ 7,886.6	81.2%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH
Beginning Fund Balance	\$ 5,400.7	\$ 5,696.2	\$ 5,710.4									
RECEIPTS:												
Taxes:												
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-
Consumption/Use Taxes:												
Alcohol Use	80.3	51.2	65.6									
Auto Rental	(0.1)	(1.5)	3.4									
Cigarette/Tobacco Products	68.8	51.3	60.2									
Medical Marijuana	0.5	0.6	0.7									
Motor Fuel	6.5	4.7	6.6									
Alcoholic Beverage	-	-	-									
Highway Use	-	0.1	-									
Vapor Ecotax	-	0.1	11.7									
Metropolitan Commuter Trans. Taxicab Trip	-	-	-									
Business Taxes:												
Business Tax	156.0	106.5	148.2									
Corporation Franchise	57.0	(3.2)	110.1									
Corporation and Utilities	2.2	(6.9)	20.5									
Insurance	7.2	(0.4)	38.6									
Bank	1.3	0.6	12.9									
Petroleum Business	30.3	17.6	37.7									
Total Business Taxes	96.0	7.7	219.8									
Total Taxes	254.0	114.2	368.0									
Miscellaneous Receipts:												
Abandoned Property	1.2	0.7	0.8									
Assessments:												
Business	42.7	21.8	82.4									
Medical Care	569.3	464.7	504.4									
Public Utilities	0.1	-	0.4									
Other	-	-	-									
Fees, Licenses and Permits:												
Auto Fee	-	-	0.1									
Business/Professional	48.2	70.4	86.5									
Civil	3.2	2.4	2.6									
Criminal	0.5	0.3	0.1									
Motor Vehicle	18.4	15.8	17.0									
Recreational/Consumer	43.0	-	36.0									
Fines, Penalties and Forfeitures	9.7	5.6	1.4									
Gaming:												
Casino	-	-	-									
Video Lottery	157.0	142.1	173.8									
Interest Earnings	13.5	0.6	6.1									
Receipts from Public Authorities:												
Bond Proceeds	-	-	-									
Cost Recovery Assessments	-	-	-									
Insurance Fees	0.5	1.4	5.3									
Non Bond Related	8.9	0.3	-									
Receipts from Municipalities	9.3	2.2	3.7									
Rentals	(9.3)	(42.9)	(0.4)									
Revenues of State Departments:												
Administrative Recoveries	24.6	8.5	8.7									
Commissions	0.2	-	0.1									
Commissions - Asset Conversion	-	-	-									
Gifts, Grants and Donations	0.6	1.5	22.1									
Indirect Cost Recoveries	-	-	-									
Patient/Client Care Reimbursement	483.0	305.7	305.8									
Rebates	0.1	4.9	6.6									
Restitution and Settlements	3.7	0.4	0.6									
Student Loans	8.1	4.4	13.2									
Other	(24.9)	1.4	0.8									
Sales	(67.5)	33.6	56.9									
Tuition	1,346.6	1,054.0	1,338.1									
Total Miscellaneous Receipts	1,346.6	1,054.0	1,338.1									
Federal Receipts	-	-	4.1									
Total Receipts	1,600.6	1,168.2	1,710.2									
3 Months Ended June 30	2020	2019	% Increase/Decrease									
	\$ 5,400.7	\$ 5,090.8	\$ 309.9									
			6.1%									
			0.0%									
			(106.2)									
			1.8									
			0.4									
			0.4									
			(10.7)									
			-									
			(0.1)									
			11.8									
			(102.9)									
			(120.1)									
			(30.6)									
			(26.1)									
			10.9									
			(47.8)									
			(213.7)									
			(316.3)									
			(0.4)									
			(47.3)									
			(111.7)									
			(5.3)									
			(0.1)									
			(2.2)									
			21.6									
			(5.1)									
			(0.9)									
			(30.1)									
			(86.0)									
			(164.8)									
			(69.9)									
			(209.8)									
			(227.7)									
			(31.7)									
			-									
			-									
			7.2									
			9.6									
			(18.4)									
			75.6									
			7.8									
			1.5									
			-									
			0.9									
			515.4									
			12.9									
			9.6									
			18.1									
			(7.7)									
			135.2									
			(1.3)									
			(112.0)									
			(735.3)									
			4.7									
			(0.9)									
			5,529.6									
			(1,050.6)									
			-									
			4,478.0									

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021		2020	2019	3 Months Ended June 30	% Increase/ Decrease
	APRIL									JANUARY				(Decrease)	
DISBURSEMENTS:															
Local Assistance Grants:															
Education	0.1	35.0	297.0									332.1	350.0	(17.9)	-5.1%
Environment and Recreation	-	0.3	-									0.3	0.6	(0.3)	-50.0%
General Government	9.3	3.9	6.3									19.5	45.9	(26.4)	-57.5%
Public Health:															
Medicaid	528.3	474.2	30.5									1,033.0	1,246.1	(213.1)	-17.1%
Mental Health	28.6	25.5	67.4									1,122.5	1,055.5	(67.0)	-6.3%
Public Safety	18.0	9.7	4.2									31.9	50.6	(18.7)	-37.0%
Public Welfare	0.1	0.2	4.4									0.3	2.2	(1.9)	-86.4%
Support and Regulate Business	-	0.4	4.4									4.8	9.1	(4.3)	-47.3%
Transportation	61.6	41.7	18.5									121.8	738.3	(614.5)	-83.5%
Total Local Assistance Grants	647.0	580.9	428.3									1,866.2	2,638.3	(872.1)	-36.8%
Departmental Operations:															
Personal Service	601.1	383.6	380.9									1,385.6	1,375.3	10.3	0.7%
Non-Personal Service	230.1	176.8	138.7									565.6	690.8	(125.2)	-18.1%
General State Charges	52.3	38.6	70.7									162.8	206.9	(44.1)	-21.3%
Capital Projects	-	-	-									-	0.1	(0.1)	-100.0%
Total Disbursements	1,530.5	1,201.1	1,048.6									3,780.2	4,911.4	(1,131.2)	-23.0%
Excess (Deficiency) of Receipts over Disbursements	70.1	(32.9)	661.6									698.8	618.2	80.6	13.0%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	222.7	41.5	887.4									1,161.6	1,460.1	(298.5)	-20.4%
Transfers to Other Funds	2.7	5.6	(23.0)									(14.7)	(73.9)	(59.2)	-80.1%
Total Other Financing Sources (Uses)	225.4	47.1	874.4									1,146.9	1,386.2	(239.3)	-17.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.5	14.2	1,536.0									1,845.7	2,004.4	(158.7)	-7.9%
Ending Fund Balance	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,246.4	\$ 7,095.2	\$ 151.2	2.1%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	3 Months Ended June 30												% Increase/ Decrease	
	2020						2021							
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8											
RECEIPTS:														
Miscellaneous Receipts:														
Abandoned Property:														
Assessments:														
Business:	-	-	-											0.0%
Medical Care	4.0	40.6	0.2											2.3%
Public Utilities	-	-	-											0.0%
Other	-	-	-											0.0%
Fees, Licenses and Permits:														
Business/Professional	-	-	-											0.0%
Civil	-	-	-											0.0%
Criminal	-	-	-											0.0%
Motor Vehicle	-	-	-											0.0%
Recreational/Consumer	-	-	-											0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2											0.0%
Interest Earnings	3.0	3.9	1.4											0.0%
Receipts from Public Authorities:														
Bond Proceeds	-	-	-											25.8%
Cost Recovery Assessments	-	-	-											0.0%
Insurance Fees	-	-	-											0.0%
Non Bond Related	-	-	-											0.0%
Receipts from Municipalities	-	-	-											0.0%
Rentals	-	-	-											0.0%
Revenues of State Departments:														
Administrative Recoveries	-	-	-											0.0%
Commissions	-	-	-											0.0%
Gifts, Grants and Donations	-	-	-											0.0%
Indirect Cost Recoveries	-	-	-											0.0%
Patient/Client Care Reimbursement	-	-	-											0.0%
Rebates	7.7	8.7	7.5											-4.0%
Restitution and Settlements	-	-	-											0.0%
Student Loans	-	-	-											20.0%
All Other	0.3	0.3	-											0.0%
Sales	-	-	-											0.0%
Tuition	-	-	-											0.0%
Total Miscellaneous Receipts	15.3	53.7	9.3	-	-	-	-	-	-	-	-	77.8	0.5	0.6%
Federal Receipts	10,777.4	4,104.3	7,348.2									16,692.5	5,537.4	33.2%
Total Receipts	10,792.7	4,158.0	7,357.5	-	-	-	-	-	-	-	-	16,770.3	5,537.9	33.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021		3 Months Ended June 30		% Increase/ Decrease	
	APRIL										JANUARY	FEBRUARY	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9	67.6	550.9										1,001.4	1,190.2	(188.8)	-15.9%
Environment and Recreation	-	0.2	-										0.2	0.2	-	0.0%
General Government	2.0	2.2	2.2										6.4	14.2	(7.8)	-54.9%
Public Health:																
Medicaid	4,652.6	3,341.8	3,585.1										11,579.5	9,896.4	1,683.1	17.0%
Other Public Health	480.0	507.5	642.3										1,629.8	1,671.9	(42.1)	-2.5%
Public Safety	74.4	52.5	155.0										281.9	309.4	(27.5)	-8.9%
Public Welfare	134.8	25.7	253.6										414.1	541.3	(127.2)	-23.9%
Support and Regulate Business	0.3	0.3	2.1										2.7	1.7	1.0	58.8%
Transportation	3.9	3.1	3.1										1.7	3.2	(1.5)	-22.2%
Transitional Assistance Grants	5,720.9	4,006.8	5,185.3										14,927.0	13,639.5	1,287.5	9.4%
Departmental Operations:																
Personal Services	74.7	51.0	160.9										286.6	170.2	116.4	68.4%
Non-Personal Service	40.8	44.1	168.6										253.5	242.8	10.7	4.4%
General State Charges	22.7	24.7	38.9										86.3	79.5	6.8	8.6%
Capital Projects	-	-	-										-	-	-	0.0%
Total Disbursements	5,889.1	4,120.6	5,963.7										15,553.4	14,132.0	1,421.4	10.1%
Excess (Deficiency) of Receipts over Disbursements	4,923.6	37.4	1,793.8										6,754.8	2,638.3	4,116.5	156.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	(307.2)	(135.4)	2.7										(439.9)	(488.5)	(58.6)	-11.8%
Transfers to Other Funds	(307.2)	(135.4)	2.7										(439.9)	(488.5)	(58.6)	-11.8%
Total Other Financing Sources (Uses)																
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	(98.0)	1,796.5										6,314.9	2,139.8	4,175.1	195.1%
Ending Fund Balance	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3										\$ 7,226.3	\$ 891.4	\$ 6,334.9	710.7%

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

EXHIBIT H

	2020		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		JANUARY		FEBRUARY		MARCH		3 Months Ended June 30			% Increase/ (Decrease)			
	APRIL	\$	63.4	\$	392.9	\$	522.1																		2019	\$	64.8		\$	(1.4)	
Beginning Fund Balance																															
RECEIPTS:																															
Taxes:																															
Personal Income Tax			1,033.1		1,099.6		2,184.2																								
Consumption/Use Taxes:																															
Sales and Use			394.2		369.7		572.0																								
Total Consumption/Use Taxes			394.2		369.7		572.0																								
Other Taxes:																															
Real Estate Transfer			57.2		48.4		37.9																								
Employer Compensation Expense Tax			0.1		(0.1)		0.1																								
Total Other Taxes			57.3		48.3		38.0																								
Total Taxes			1,484.6		1,517.6		2,794.2																								
Miscellaneous Receipts:																															
Assessments:																															
Medical Care			-		-		-																								
Fees, Licenses and Permits:																															
Alcohol Beverage Control Licensing			-		-		-																								
Business/Professional			-		-		-																								
Civil			-		-		-																								
Criminal			-		-		-																								
Motor Vehicle			-		-		-																								
Recreational/Consumer			-		-		-																								
Interest Earnings			0.1		0.1		-																								
Receipts from Municipalities			-		0.3		0.1																								
Rentals			-		-		-																								
Revenues of State Departments:																															
Patient/Client Care Reimbursement			47.2		13.4		42.6																								
All Other			-		-		-																								
Sales			-		-		-																								
Total Miscellaneous Receipts			47.3		13.8		42.7																								
Federal Receipts			-		-		-																								
Total Receipts			1,531.9		1,531.4		2,836.9																								
DISBURSEMENTS:																															
Departmental Operations:																															
Non-Personal Service			-		0.9		12.1																								
Debt Service, Including Payments on			36.5		23.5		28.9																								
Financing Agreements																															
Total Disbursements			36.5		24.4		41.0																								
Excess (Deficiency) of Receipts over Disbursements			1,495.4		1,507.0		2,795.9																								
OTHER FINANCING SOURCES (USES):																															
Transfers from Other Funds			280.2		130.3		39.0																								
Transfers to Other Funds			(1,446.1)		(1,508.1)		(2,861.6)																								
Total Other Financing Sources (Uses)			(1,165.9)		(1,377.8)		(2,822.8)																								
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses			329.5		129.2		(26.9)																								
Ending Fund Balance			\$ 392.9		\$ 522.1		\$ 495.2		\$ -		\$ -		\$ -		\$ -		\$ -		\$ -		\$ -		\$ -		\$ -		\$ 361.5		\$ 133.7		\$ 37.0%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	3 Months Ended June 30												% Increase/ Decrease			
	2020						2021									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (1,034.9)	\$ (1,155.0)	\$ (1,322.2)										\$ (1,034.9)	\$ (1,137.9)	\$ 103.0	9.1%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	0.6	0.1	10.1										10.8	23.3	(12.5)	-53.6%
Motor Fuel	23.8	16.7	24.9										65.4	107.8	(42.4)	-38.3%
Highway Use	11.6	8.8	12.4										32.8	34.6	(1.8)	-5.2%
Total Consumption/Use Taxes	36.0	25.6	47.4										109.0	165.7	(56.7)	-34.2%
Business Taxes:																
Corporation Franchise	-	-	-										-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6										(0.8)	5.1	(6.9)	-116.7%
Petroleum Business	38.0	22.2	48.0										109.2	170.5	(62.3)	-38.5%
Total Business Taxes	38.1	20.7	48.6										107.4	175.6	(68.2)	-38.8%
Other Taxes:																
Real Estate Transfer	-	-	11.9										11.9	11.9	-	0.0%
Total Other Taxes	-	-	11.9										11.9	11.9	-	0.0%
Total Taxes	74.1	46.3	107.9										228.3	353.2	(124.9)	-35.4%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-										-	23.0	(23.0)	-100.0%
Assessments	7.9	3.6	5.4										16.9	28.1	(11.2)	-38.9%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6										5.5	10.1	(4.6)	-45.5%
Civil	-	-	-										-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1										128.5	201.7	(73.2)	-38.3%
Recreational/Consumer	-	-	0.5										0.3	0.5	0.2	68.7%
Fines, Penalties and Forfeitures	2.0	1.9	2.0										5.9	6.6	(0.7)	-10.6%
Interest Earnings	0.7	0.5	0.1										1.3	3.1	(1.8)	-58.1%
Receipts from Public Authorities:																
Adopted Bonds	1,122.1	19.1	342.4										1,483.6	350.0	1,133.6	323.9%
Insurance Fees	-	-	-										-	-	-	0.0%
Non Bond Related	0.1	-	0.5										0.6	4.0	(3.4)	-85.0%
Receipts from Municipalities	-	-	0.1										0.1	0.6	(0.5)	-83.3%
Rentals	0.5	1.1	2.1										3.7	2.2	1.5	68.2%
Revenues of State Departments:																
Administrative Recoveries	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8										6.5	10.9	(4.4)	-40.4%
Indirect Cost Recoveries	-	-	-										-	(0.9)	0.9	100.0%
Rebates	-	-	-										-	-	-	-
Restitution and Settlements	3.1	0.2	-										3.3	0.2	(0.2)	-100.0%
All Other	0.3	1.8	12.0										14.1	5.1	8.2	158.6%
Sales	-	-	-										-	4.2	(4.2)	-100.0%
Total Miscellaneous Receipts	1,190.7	64.2	415.6										1,670.5	650.7	1,019.8	156.7%
Federal Receipts	85.7	102.7	167.9										356.3	313.4	42.9	13.7%
Total Receipts	1,350.5	213.2	691.4										2,255.1	1,317.3	937.8	71.2%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021	JANUARY	FEBRUARY	MARCH	Infra-Fund Transfer Eliminations (*)	2020	2019	3 Months Ended June 30 \$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	12.0	-	4.9	-	-	-	-	-	-	-	-	-	-	-	16.9	22.7	(5.8)	-25.6%
Environment and Recreation	4.4	3.2	14.0	-	-	-	-	-	-	-	-	-	-	-	21.6	60.7	(39.1)	-64.4%
General Government	30.4	10.9	41.5	-	-	-	-	-	-	-	-	-	-	-	82.8	302.0	(219.2)	-72.6%
Public Health:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Medicaid	29.0	58.2	30.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	3.8	-	-	-	-	-	-	-	-	-	-	-	119.1	93.0	26.1	27.0%
Public Safety	-	-	3.8	-	-	-	-	-	-	-	-	-	-	-	13.9	16.4	(2.5)	-15.2%
Public Welfare	-	33.8	73.7	-	-	-	-	-	-	-	-	-	-	-	107.5	97.0	10.5	10.8%
Support and Regulate Business	43.2	7.7	24.8	-	-	-	-	-	-	-	-	-	-	-	75.7	373.3	(297.6)	-79.7%
Transportation	24.4	46.2	50.0	-	-	-	-	-	-	-	-	-	-	-	120.6	348.2	(227.6)	-65.4%
Total Local Assistance Grants	143.4	166.0	243.6	-	-	-	-	-	-	-	-	-	-	-	547.0	1,306.1	(759.1)	-58.1%
Departmental Operations:																		
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	508.8	406.0	681.8	-	-	-	-	-	-	-	-	-	-	-	1,597.6	1,488.6	99.0	6.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	653.2	565.0	925.4	-	-	-	-	-	-	-	-	-	-	-	2,144.6	2,804.7	(660.1)	-23.5%
Excess (Deficiency) of Receipts over Disbursements	897.3	(352.8)	(234.0)	-	-	-	-	-	-	-	-	-	-	-	110.5	(1,487.4)	1,597.9	107.4%
OTHER FINANCING SOURCES (USES):																		
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(806.1)	198.0	360.6	-	-	-	-	-	-	-	-	-	-	-	(246.5)	1,555.1	(1,801.6)	-115.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	-	-	-	-	-	-	-	-	-	-	-	(37.0)	(142.3)	(105.3)	-74.0%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	-	-	-	-	-	-	-	-	-	-	-	(283.5)	1,412.8	(1,696.3)	-120.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	-	-	-	-	-	-	-	-	-	-	-	(173.0)	(74.6)	(98.4)	-431.9%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,207.9)	\$ (1,212.5)	\$ 4.6	0.4%

(*) Infra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	3 Months Ended June 30											% Increase/ Decrease																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											</

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30		
													2020	2019	% Increase/ Decrease
DISBURSEMENTS:															
Local Assistance Grants:															
Education	12.0	-	4.9										16.9	22.7	(5.8)
Environment and Recreation	4.4	3.2	14.0										21.6	60.7	(39.1)
General Government	30.4	10.9	41.5										82.8	302.0	(219.2)
Public Health:															
Medicaid	-	-	-										-	-	-
Other Public Health	29.0	58.2	30.9										118.1	93.0	25.1
Public Safety	-	-	0.7										0.7	(0.9)	1.6
Public Welfare	-	33.8	73.7										107.5	97.0	10.5
Support and Regulate Business	43.2	7.7	24.8										75.7	373.3	(297.6)
Transportation	2.4	4.7	14.4										21.5	223.0	(201.5)
Total Local Assistance Grants	121.4	118.5	204.9										444.8	1,170.8	(726.0)
Departmental Operations:															
Personal Service	-	-	-										-	-	-
Non-Personal Service	-	-	-										-	-	-
General State Charges	452.1	333.5	541.8										1,327.4	1,249.2	78.2
Capital Projects															
Total Disbursements	573.5	452.0	746.7										1,772.2	2,420.0	(647.8)
Excess (Deficiency) of Receipts over Disbursements	691.2	(341.5)	(223.3)										126.4	(1,416.3)	1,542.7
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)	-	-	-										-	-	-
Transfers from Other Funds	(805.1)	198.0	360.6										(246.5)	1,555.1	(1,801.6)
Transfers to Other Funds	(12.3)	(12.4)	(12.3)										(37.0)	(142.3)	(105.3)
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3										(283.5)	1,412.8	(1,696.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)	(155.9)	125.0										(157.1)	(3.5)	(153.6)
Ending Fund Balance	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (636.7)	\$ (636.7)	\$ 7.4
															1.2%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	3 Months Ended June 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)										
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:													
Business	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	0.1	-	-	-	-	-	-	0.2	-	-	0.0%
Revenues of State Departments:													
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	0.1	-	0.1	-	-	-	-	-	-	-	-	-	0.0%
Federal Receipts	85.7	102.7	167.9	-	-	-	-	-	-	-	-	-	13.7%
Total Receipts	85.8	102.7	168.0	-	-	-	-	-	-	-	-	-	13.7%
DISBURSEMENTS:													
Local Assistance Grants:													
Education	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:													
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	3.1	-	-	-	-	-	-	10.1	-	-	(7.0)
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	-	-	-	-	-	-	125.2	-	-	(26.1)
Total Local Assistance Grants	22.0	41.5	38.7	-	-	-	-	-	-	135.3	-	-	-24.5%
Departmental Operations:													
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	-	-	-	-	-	-	249.4	-	-	8.3%
Total Disbursements	79.7	114.0	178.7	-	-	-	-	-	-	384.7	-	-	-3.2%
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	-	-	-	-	-	-	(15.9)	-	-	77.6%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	-	-	-	-	-	-	(15.9)	-	-	77.6%
Ending Fund Balance	\$ (566.6)	\$ (567.9)	\$ (578.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-4.5%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

	3 Months Ended June 30												% Increase/ Decrease			
	2020	2021					2022									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 29.7	\$ 45.9	\$ 35.9										\$ 29.7	\$ 26.6	\$ 3.1	11.7%
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4										15.4	16.0	(0.6)	-3.8%
Federal Receipts	2,584.0	5,993.3	10,834.5										19,411.8	3.0	19,408.8	646,960.0%
Unemployment Taxes	1,823.9	2,261.7	2,631.7										6,717.3	461.6	6,255.7	1,355.2%
Total Receipts	4,412.1	8,259.8	13,472.6										26,144.5	480.6	25,663.9	5,340.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1										3.4	1.2	2.2	183.3%
Non-Personal Service	3.6	4.9	5.4										13.9	13.2	0.7	5.3%
General State Charges	0.2	0.1	0.2										0.5	0.2	0.3	150.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5										26,124.1	465.3	25,658.8	5,514.5%
Total Disbursements	4,395.9	8,269.8	13,476.2										26,141.9	479.9	25,662.0	5,347.4%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)										2.6	0.7	1.9	271.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0										3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0										3.0	-	3.0	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)										5.6	0.7	4.9	700.0%
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 35.3	\$ 27.3	\$ 8.0	29.3%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

	2020				2021								3 Months Ended June 30,			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase / (Decrease)	% Increase / (Decrease)
Beginning Fund Balance	\$ (297.5)	\$ (281.0)	\$ (299.2)										\$ (297.5)	\$ (302.7)	\$ 5.2	1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9										82.4	108.0	(25.6)	-23.7%
Total Receipts	25.2	22.3	34.9	-	-	-	-	-	-	-	-	-	82.4	108.0	(25.6)	-23.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0										36.6	33.3	3.3	9.9%
Non-Personal Service	(9.3)	28.6	83.3										100.6	86.6	14.0	16.2%
General State Charges	4.8	5.3	8.6										18.7	15.6	3.1	19.9%
Total Disbursements	10.0	45.0	102.9	-	-	-	-	-	-	-	-	-	155.9	135.5	20.4	15.1%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	-	-	-	-	-	-	-	-	-	(73.5)	(27.5)	(46.0)	-167.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0										55.8	36.5	19.3	52.9%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	-	-	-	-	-	-	-	-	-	55.8	36.5	19.3	52.9%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	-	-	-	-	-	-	-	-	-	(17.7)	9.0	(26.7)	-296.7%
Ending Fund Balance	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (315.2)	\$ (293.7)	\$ (21.5)	-7.3%

EXHIBIT L

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EXHIBIT M

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JUNE 2020
(amounts in millions)

	BALANCE JUNE 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2020
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.008	\$ 6,378.747	\$ 6,378.739	\$ -
10050-10099-State Operations Account	7,280.058	7,631.293	3,241.539	(4,836.392)	6,833.420
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	30.166	-	0.020	-	30.146
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	7,310.224	7,631.301	9,620.306	1,542.347	6,863.566
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.825	0.001	-	-	0.826
20100-20299-Combined Expendable Trust	70.434	0.503	0.144	-	70.793
20300-20349-New York Interest on Lawyer Account	110.335	2.306	0.208	-	112.433
20350-20399-NYS Archives Partnership Trust	(0.067)	-	0.036	-	(0.103)
20400-20449-Child Performer's Protection	0.593	0.002	0.026	-	0.569
20450-20499-Tuition Reimbursement	7.904	0.072	0.293	-	7.683
20500-20549-New York State Local Government Records Management Improvement	3.916	0.509	0.264	-	4.161
20550-20599-School Tax Relief	0.004	-	(0.002)	-	0.006
20600-20649-Charter Schools Stimulus	6.097	0.001	-	-	6.098
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	80.083	517.109	105.499	(1,181)	490.512
20850-20899-Dedicated Mass Transportation Trust	45.286	42.732	18.500	15.665	85.183
20900-20949-State Lottery	377.579	173.879	300.399	-	251.059
20950-20999-Combined Student Loan	21.679	3.162	0.322	-	24.519
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.467)	-	0.075	-	(3.542)
21050-21149-Encon Special Revenue	(1.340)	3.674	7.690	-	(5.356)
21150-21199-Conservation	83.372	3.744	3.346	-	83.770
21200-21249-Environmental Protection and Oil Spill Compensation	24.413	4.413	2.006	(2,546)	24.274
21250-21299-Training and Education Program on OSHA	13.701	0.003	1.788	-	11.916
21300-21349-Lawyers Fund for Client Protection	9.812	0.835	0.150	-	10.497
21350-21399-Equipment Loan for the Disabled	0.545	-	-	-	0.545
21400-21449-Mass Transportation Operating Assistance	622.862	262.649	0.397	16.877	901.791
21450-21499-Clean Air	(36.005)	2.364	2.613	-	(36.254)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.282	0.093	0.032	-	12.343
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	0.986	0.001	-	-	0.987
22500-22549-Court Facilities Incentive Aid	1,372.016	258.626	143.523	23.488	1,510.607
	65.404	0.011	6.863	-	58.552

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JUNE 2020
(amounts in millions)

	BALANCE JUNE 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2020
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.052	0.001	-	-	0.053
22650-22699-State University Income	1,308.666	401.605	428.823	685.142	1,966.590
22700-22749-Chemical Dependence Service	10.144	0.026	0.423	-	9.747
22750-22799-Lake George Park Trust	(0.029)	-	0.115	-	(0.144)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	34.888	6.527	0.030	-	41.385
22850-22899-New York Great Lakes Protection	0.534	-	0.014	-	0.520
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.639	0.002	-	-	10.641
23000-23049-NYS/DOT Highway Safety Program	(14.951)	0.019	0.267	-	(15.199)
23050-23099-Vocational Rehabilitation	0.059	-	-	-	0.059
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(30.145)	-	5.831	-	(35.976)
23200-23249-Judiciary Data Processing Offset	54.347	4.266	6.919	-	51.694
23250-23449-IFR/CUTRA	203.577	0.786	6.558	-	197.805
23500-23549-USOC Lake Placid Training	0.313	0.006	-	-	0.319
23550-23599-Indigent Legal Services	455.436	17.484	4.203	-	468.717
23600-23649-Unemployment Interest and Penalty	31.934	0.327	0.148	(0.075)	32.038
23650-23699-MTA Financial Assistance Fund	140.025	0.022	-	159.050	299.097
23700-23749-New York State Commercial Gaming Fund	7.371	-	0.407	-	6.964
23750-23799-Medical Marihuana Trust Fund	12.217	0.693	0.860	-	12.250
23800-23899-Dedicated Miscellaneous State Special Revenue	3.170	0.276	0.038	-	3.408
24850-24899-Health Care Transformation	316.246	0.054	-	-	316.300
24900-24949-Charitable Gifts Trust Fund	95.845	0.017	-	-	95.862
24950-24999-Interactive Fantasy Sports	19.803	0.055	0.029	-	19.829
40350-40399-State University Dormitory Income	166.031	1.370	-	(21.994)	145.407
TOTAL SPECIAL REVENUE FUNDS-STATE	5,710.434	1,710.225	1,048.637	874.426	7,246.448
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(26.861)	231.009	248.600	-	(44.452)
25100-25199-Federal Health and Human Services	449.442	6,426.825	4,475.902	2.708	2,403.073
25200-25249-Federal Education	(17.422)	461.839	467.291	-	(22.874)
25300-25399-Federal Miscellaneous Operating Grants	4,879.034	194.526	321.946	-	4,751.614
25900-25949-Unemployment Insurance Administration	152.562	32.267	40.205	-	144.624
25950-25999-Unemployment Insurance Occupational Training	(0.423)	0.089	0.168	-	(0.502)
26000-26049-Federal Employment and Training Grants	(6.529)	10.941	9.628	-	(5.216)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	5,429.803	7,357.496	5,563.740	2.708	7,226.267
TOTAL SPECIAL REVENUE FUNDS	11,140.237	9,067.721	6,612.377	877.134	14,472.715
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	164.024	27.944	2.021	(50.569)	139.378
40150-40199-General Debt Service	237.497	2,470.301	38.993	(2,339.945)	328.860
40250-40299-State Housing Debt Service	-	0.152	-	(0.152)	-
40300-40349-Department of Health Income	22.599	14.665	-	(10.289)	26.975
40400-40449-Clean Water/Clean Air	-	37.865	-	(37.865)	-
40450-40499-Local Government Assistance Tax	97.925	286.019	-	(383.944)	-
TOTAL DEBT SERVICE FUNDS	522.045	2,836.946	41.014	(2,822.764)	495.213

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JUNE 2020
(amounts in millions)

	BALANCE JUNE 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2020
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	40,164	352,571	312,407	-
30050-30099-Dedicated Highway and Bridge Trust	(109,380)	178,874	167,449	5,777	(92,178)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	129,363	0,024	4,434	7,436	132,389
30300-30349-New York State Canal System Development	14,088	0,002	-	-	14,090
30350-30399-Parks Infrastructure	(75,118)	-	14,349	-	(89,467)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	59,432	13,714	5,101	14,000	82,045
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,219	-	-	-	17,219
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(567,870)	168,039	178,820	-	(578,651)
31450-31499-Forest Preserve Expansion	1,081	-	-	-	1,081
31500-31549-Hazardous Waste Remedial	(87,782)	11,035	8,374	(0,446)	(85,567)
31650-31699-Suburban Transportation	0,539	-	-	-	0,539
31700-31749-Division for Youth Facilities Improvement	(22,924)	8,182	0,884	-	(15,626)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(250,082)	79,882	73,631	-	(243,831)
31900-31949-Natural Resource Damage	17,073	0,003	0,059	-	17,017
31950-31999-DOT Engineering Services	(11,969)	-	-	-	(11,969)
32200-32249-Miscellaneous Capital Projects	106,338	6,173	6,774	3,849	109,586
32250-32299-CUNY Capital Projects	0,023	0,001	-	-	0,024
32300-32349-Mental Hygiene Facilities Capital Improvement	(341,208)	16,215	36,872	-	(361,865)
32350-32399-Correction Facilities Capital Improvement	(362,272)	169,110	34,532	-	(227,694)
32400-32999-State University Capital Projects	163,000	0,033	4,149	5,255	164,139
33000-33049-NYS Storm Recovery Fund	(51,056)	0,001	2,461	-	(53,516)
33050-33099-Dedicated Infrastructure Investment Fund	42,662	-	35,026	-	7,636
TOTAL CAPITAL PROJECTS FUNDS	(1,322,179)	691,452	925,486	348,278	(1,207,935)
TOTAL GOVERNMENTAL FUNDS	\$ 17,650,327	\$ 20,227,420	\$ 17,199,183	\$ (55,005)	\$ 20,623,559

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF JUNE 2020
 (amounts in millions)

FUND TYPE	BALANCE JUNE 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2020
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.101	\$ -	\$ -	\$ -	\$ 0.101
50050-50099-State Exposition Special	0.403	0.327	0.274	-	3.456
50100-50299-Correctional Services Commissary	4.078	4.105	4.509	3.000	3.674
50300-50399-Agencies Enterprise	6.322	1.905	1.763	-	6.464
50400-50449-Sheltered Workshop	2.244	0.007	0.008	-	2.243
50450-50499-Patient Workshop	1.884	-	-	-	1.884
50500-50599-Mental Hygiene Community Stores	5.005	0.061	0.105	-	4.961
50650-50699-Unemployment Insurance	15.860	13,466.103	13,469.462	-	12.501
TOTAL ENTERPRISE FUNDS	35.897	13,472.508	13,476.121	3.000	35.284
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(111.655)	28.523	36.226	31.615	(87.743)
55050-55099-Agency Internal Service	(97.348)	5.286	55.824	8.398	(139.488)
55100-55149-Mental Hygiene Revolving	0.025	0.029	0.065	-	(0.011)
55150-55199-Youth Vocational Education	0.076	-	-	-	0.076
55200-55249-Joint Labor and Management Administration	0.426	-	0.085	(0.003)	0.338
55250-55299-Audit and Control Revolving	(43.714)	-	3.218	(0.005)	(46.937)
55300-55349-Health Insurance Revolving	(17.020)	0.025	1.441	12.000	(6.436)
55350-55399-Correctional Industries Revolving	(30.031)	1.112	6.114	-	(35.033)
TOTAL INTERNAL SERVICE FUNDS	(299.241)	34.975	102.973	52.005	(315.234)
TOTAL PROPRIETARY FUNDS	\$ (263.344)	\$ 13,507.483	\$ 13,579.094	\$ 55.005	\$ (279.950)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF JUNE 2020
(amounts in millions)

FUND TYPE	BALANCE JUNE 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2020
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (9,260)	\$ 5,459	\$ 11,544	\$ -	\$ (15,345)
TOTAL PENSION TRUST FUNDS	(9,260)	5,459	11,544	-	(15,345)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	3,061	0,001	0,021	-	3,041
66050-66099-Milk Producers' Security	11,414	0,124	0,023	-	11,515
TOTAL PRIVATE PURPOSE TRUST FUNDS	14,475	0,125	0,044	-	14,556
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	17,357	0,194	0,026	-	17,525
60150-60199-Child Performer's Holding	0,540	-	0,001	-	0,539
60200-60249-Employees Health Insurance	733,447	1,082,844	860,928	-	955,363
60250-60299-Social Security Contribution	15,046	98,350	98,293	-	15,103
60300-60399-Employee Payroll Withholding	38,494	356,735	380,564	-	14,665
60400-60449-Employees Dental Insurance	23,186	5,050	7,322	-	20,914
60450-60499-Management Confidential Group Insurance	0,755	0,712	0,888	-	0,579
60500-60549-Lottery Prize	584,139	52,261	80,188	-	556,212
60550-60599-Health Insurance Reserve Receipts	0,146	-	-	-	0,146
60600-60799-Miscellaneous New York State Agency	889,414	769,415	760,878	-	897,951
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	30,015	6,023	8,974	-	27,064
60850-60899-CUNY Senior College Operating	1,677	243,462	188,238	-	56,901
60900-60949-Medicaid Management Information System (MMIS) Escrow	1,908,705	7,310,577	8,359,543	-	859,739
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	112,798	(0,940)	-	-	111,858
61100-61999-State University Federal Direct Lending Program	(8,228)	21,063	13,539	-	(0,704)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	4,347,491	9,945,746	10,759,382	-	3,533,855
TOTAL FIDUCIARY FUNDS	\$ 4,352,706	\$ 9,951,330	\$ 10,770,970	\$ -	\$ 3,533,066

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF JUNE 2020
(amounts in millions)

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED			DEBT MATURED			DEBT OUTSTANDING JUNE 30, 2020	INTEREST DISBURSED	
		MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2020	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2020	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2020			
GENERAL OBLIGATION BONDED DEBT:										
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 720,219	\$ -	\$ 10,725,244	\$ 1,691	\$ -	\$ 101,841
Clean Water/Clean Air:										
Air Quality	1,795,354	-	-	-	-	-	1,795,354	963	-	963
Safe Drinking Water	-	-	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,184,660	-	288,410,831	143,586	-	1,531,475
Solid Waste	16,287,590	-	-	-	1,659,267	-	14,628,323	24	-	65,086
Environmental Restoration	40,070,447	-	-	-	160,000	-	39,910,447	183,545	-	195,920
Energy Conservation Through Improved Transportation:										
Rapid Transit and Rail Freight	1,198,754	-	-	-	116,298	-	1,082,456	-	-	25,458
Environmental Quality (1972):										
Air	3,184	-	-	-	-	-	3,184	-	-	-
Land and Wetlands	4,939,861	-	-	-	25,000	-	4,914,861	1,704	-	3,579
Water	6,370,803	-	-	-	715,000	-	5,655,803	-	-	60,750
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	486,025	-	4,823,520	1,223	-	24,945
Solid Waste Management	91,992,747	-	-	-	7,260,923	-	84,731,824	121,108	-	969,128
Housing:										
Low Income	5,840,000	-	-	-	-	-	5,840,000	-	-	-
Middle Income	4,035,000	-	-	-	-	-	4,035,000	-	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	946,959	-	14,551,370	32,811	-	164,013
Rail Preservation Development	-	-	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:										
Highway Facilities	600,658,226	-	-	-	-	-	600,658,226	1,276,567	-	1,276,567
Canals and Waterways	9,419,680	-	-	-	-	-	9,419,680	25,992	-	25,992
Aviation	41,089,448	-	-	-	-	-	41,089,448	-	-	-
Rail and Port	92,824,245	-	-	-	-	-	92,824,245	-	-	-
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	-	12,168,734	-	-	-
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	-	-	705,163,311	2,887,954	-	2,887,954
Rebuild New York-Transportation Infrastructure Renewal:										
Highways, Parkways, and Bridges	553,992	-	-	-	-	-	553,992	-	-	-
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	-	1,563,392	851	-	50,222
Smart Schools Bond Act	161,307,133	-	-	-	-	-	161,307,133	-	-	-
Transportation Capital Facilities:										
Aviation	2,090,099	-	-	-	246,478	-	1,843,621	-	-	46,824
Mass Transportation	-	-	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,689,999	\$ -	\$ -	\$ -	\$ 23,000,000	\$ -	\$ 2,107,689,999	\$ 4,678,019	\$ -	\$ 7,430,716

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE THREE MONTHS ENDED JUNE 30, 2020

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40700-40749)	REVENUE BOND TAX (40752)	SALES TAX REVENUE BOND TAX (40754)	COMBINED TOTALS 3 MONTHS ENDED JUNE 30	\$ INCREASE/ (DECREASE)
								2020	2019
Special Contractual Financing Obligations:									
Payments to Public Authorities:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (54,720,301)
Domitory Authority:									
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,430,525
DASNY Revenue Bond	-	-	-	-	-	-	-	-	(109,355,813)
Department of Health Facilities	-	-	12,802,026	-	-	-	-	12,802,026	(278,850)
Mental Health Facilities	-	-	-	-	1,723,959	-	-	1,723,959	93,115
Secured Hospital Program	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	2,418,500
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	430,631	-	430,631	(409,228)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:									
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	8,402,319
Thruway Authority:									
Dedicated Highway and Bridge	-	32,113,906	-	-	-	-	-	32,113,906	(103,096,043)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-
Urban Development Corporation:									
Clarkson University	-	-	-	-	-	-	-	-	28,675
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	(26,675)
Consolidated Service Contract Refunding	-	3,068,008	-	-	-	-	-	3,068,008	173,554
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-
Corredional Facilities	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	-
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	(5,106,575)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 43,540,717	\$ 12,802,026	\$ -	\$ 1,723,959	\$ 430,631	\$ -	\$ 58,497,333	\$ (333,188,629)

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JUNE 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF JUNE 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 25,691.0	\$ 24,914.7	\$ 19,670.2
AVERAGE YIELD (**)	0.146%	0.431%	2.482%
TOTAL INVESTMENT EARNINGS	\$ 3.125	\$ 25.615	\$ 118.724

Month-End Portfolio Balances

	JUNE 2020 PAR AMOUNT	JUNE 2019 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 12,185.4	\$ 991.3
REPURCHASE AGREEMENTS	21.5	28.7
GOVT. SPONSORED AGENCIES	867.4	-
COMMERCIAL PAPER	10,188.5	15,295.8
CERTIFICATES OF DEPOSIT/SAVINGS	2,961.7	2,952.2
0% COMPENSATING BALANCE CDs	48.0	53.0
	\$ 26,272.5	\$ 19,321.0

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2020
OPENING CASH BALANCE	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746										\$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,085	60,187,824										180,325,993
State Share of NYC Cigarette Tax	2,115,000	1,133,000	1,133,000										4,481,000
Lottery Tax	25,877	1,689,978	11,879,725										11,935,680
STIP Interest	382,848	258,771	58,513										700,132
Public Asset Transfers	-	-	-										-
Assessments	478,443,458	390,720,867	437,012,587										1,306,176,912
Fees	327,000	46,000	561,000										934,000
Rebates	12,000	4,545,140	5,975,618										10,532,758
Restitution and Settlements	-	-	-										-
Miscellaneous Receipts	-	-	297,248										297,248
Total Receipts	550,137,287	448,125,819	517,105,515										1,515,372,927
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246										1,026,532,981
Interest - Late Payments	36	22	28,224										26,282
Personal Service	1,509,162	534,882	1,280,941										3,335,065
Non-Personal Service	55,956	(291,867)	5,284,609										5,048,698
Employers' Indirect Costs	6,042,427	-	6,042,427										12,084,854
Total Disbursements	483,199,325	483,320,209	105,499,150										1,037,018,686
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-										-
Transfers to General Fund	-	-	297,248										297,248
Transfers to Revenue Bond Tax Fund	-	-	-										-
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-										989,254
Capital Projects Fund Account	888,590	487,522	883,664										2,259,776
Transfers to SUNY Income Fund	1,877,844	487,522	1,130,912										3,546,278
Total Operating Transfers	4,707,169	487,522	1,130,912										6,325,603
Total Disbursements and Transfers	470,077,169	483,807,731	106,630,062										1,040,564,962
CLOSING CASH BALANCE	\$ 95,764,658	\$ 80,082,746	\$ 480,572,199										\$ 480,572,199

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2020-21

Program/Purpose	Appropriation Amount (*)	June	3 Months Ended June 30, 2020 (**)	790,588.01
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 271,297.53	\$ -	790,588.01
CENTER FOR COMMUNITY HLTH	8,752,000.00	271,297.53	-	790,588.01
CHILD HEALTH INSURANCE PROGRAM	2,134,768,000.00	60,471,880.88	103,818,064.53	103,818,064.53
CHILD HEALTH INSURANCE	2,134,768,000.00	60,471,880.88	-	103,818,064.53
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-	-
COMMUNITY SUPPORT	120,000.00	-	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	384,850,000.00	9,733,010.42	20,909,833.13	20,909,833.13
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	9,733,010.42	-	20,909,833.13
HEALTH CARE REFORM ACT PROGRAM	1,490,325,059.03	249,027.06	333,198.79	333,198.79
AIDS DRUG ASSISTANCE	123,150,000.00	-	-	-
AMBULATORY CARE TRAINING	3,600,000.00	65,189.23	-	65,189.23
AREA HEALTH EDUCATION CENTER	3,324,000.00	-	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	(22,283.07)	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIPI)	10,335,000.00	-	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	206,120.90	-	206,120.90
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	-	-
INFERTILITY SERVICES GRANTS	5,733,000.00	-	-	-
MEDICAL INDEMNITY FUND	52,000,000.00	-	-	-
PART 405.4 HOSPITAL AUDITS NYGRR	2,200,000.00	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	-	-
PHYSICIAN LOAN REPAYMENT	27,195,000.00	-	-	-
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-	-
POISON CONTROL CENTERS	6,320,000.00	-	-	-
POOL ADMINISTRATION	5,300,000.00	-	-	-
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	-	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	-	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	-	-	-
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	-	61,888.67
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-	-
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	31,308,731.07	906,808,703.01	906,808,703.01
HOME HEALTH RATE INCREASE	300,000,000.00	-	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	31,308,731.07	156,808,703.01	156,808,703.01
MEDICAL ASSISTANCE	22,349,101,000.00	-	750,000,000.00	750,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-	-
NEW YORK STATE OF HEALTH	102,431,000.00	3,007,098.86	3,959,191.59	3,959,191.59
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	3,007,098.86	-	3,959,191.59
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	736,220.16	2,101,919.68	2,101,919.68
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	736,220.16	-	2,101,919.68
OFFICE OF LONG TERM CARE	2,477,800.00	-	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	603,383.74	603,383.74	603,383.74
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	603,383.74	-	603,383.74
TOTAL	32,833,365,859.03	106,380,643.72	1,039,324,892.48	1,039,324,892.48
Redclass of SUNY Hospital Disprop Share to Transfer	-	(883,663.84)	(2,259,776.14)	(2,259,776.14)
Redclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-	-
Redclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	2,163.68	(46,422.63)	(46,422.63)
Reconciling Adjustment (P-Card and T-Card)	-	-	-	-
TOTAL REPORTED AMOUNT	\$ 32,833,365,859.03	\$ 105,499,149.56	\$ -	\$ 1,037,018,693.71

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	2020 APRIL	2020 MAY	2020 JUNE	2020-21
OPENING CASH BALANCE	\$ 350,947,309.06	\$ 281,288,956.37	\$ 161,451,554.35	\$ 350,947,309.06
RECEIPTS:				
Patient Services	239,677,016.17	215,814,231.82	266,924,441.45	722,415,689.44
Covered Lives	53,847,873.25	82,062,297.31	88,654,827.43	224,564,997.99
Provider Assessments	8,662,225.91	4,715,218.20	6,243,798.76	19,621,242.87
1% Assessments	33,434,667.00	35,313,447.00	34,991,066.00	103,739,180.00
DASNY - MOE/Recast receivables	-	-	-	-
Interest Income	4,495.94	4,748.34	4,649.67	13,893.95
Unassigned	68,512,005.99	(71,498,304.35)	1,423,249.04	(1,563,049.32)
Total Receipts	404,138,284.26	266,411,638.32	398,242,032.35	1,068,791,954.93
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	404,138,284.26	266,411,638.32	398,242,032.35	1,068,791,954.93
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	4,646,152.00	4,471,658.00	4,216,422.00	13,334,232.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	4,646,152.00	4,471,658.00	4,216,422.00	13,334,232.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(478,442,788.95)	(390,720,698.34)	(437,012,502.09)	(1,306,175,989.38)
Indigent Care Fund - Matched	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-
Total Other Financing Uses	(478,442,788.95)	(390,720,698.34)	(437,012,502.09)	(1,306,175,989.38)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(69,658,352.69)	(119,837,402.02)	(34,554,047.74)	(224,049,802.45)
CLOSING CASH BALANCE	\$ 281,288,956.37	\$ 161,451,554.35	\$ 126,897,506.61	\$ 126,897,506.61

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	2020 APRIL	2020 MAY	2020 JUNE	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 169.03	\$ 84.64	\$ 668.63
RECEIPTS:				
Interest Income	169.03	84.64	169.31	422.98
Total Receipts	169.03	84.64	169.31	422.98
PROGRAM DISBURSEMENTS:				
Indigent Care	(62,876,555.04)	(62,876,555.04)	(62,876,555.04)	(188,629,665.12)
High Need Indigent Care	-	-	-	-
Other	234,299.29	143,021.81	129,546.45	506,867.55
Total Program Disbursements	(62,642,255.75)	(62,733,533.23)	(62,747,008.59)	(188,122,797.57)
Excess (Deficiency) of Receipts over Disbursements	(62,642,086.72)	(62,733,448.59)	(62,746,839.28)	(188,122,374.59)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	31,438,277.52	31,438,277.52	31,438,277.52	94,314,832.56
HCRA Resources Indigent Care - Unmatched	(233,561.29)	(143,021.81)	(129,546.45)	(506,129.55)
HCRA Resources Indigent Care - ATB	-	-	-	-
Federal DHHS Fund	31,438,277.52	31,438,277.52	31,438,277.52	94,314,832.56
Other	-	-	-	-
Total Other Financing Sources	62,642,993.75	62,733,533.23	62,747,008.59	188,123,535.57
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(1,406.63)	(169.03)	(84.64)	(1,660.30)
Total Other Financing Uses	(1,406.63)	(169.03)	(84.64)	(1,660.30)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(499.60)	(84.39)	84.67	(499.32)
CLOSING CASH BALANCE	\$ 169.03	\$ 84.64	\$ 169.31	\$ 169.31

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	-	-	-	-	-	-	-	-	-	\$ -
Education - EXCEL	427	2,157	1,567	-	-	-	-	-	-	-	-	-	4,151
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development	525	-	454	-	-	-	-	-	-	-	-	-	979
Community Capital Assistance Program (CCAP)/RESTORE	-	-	24	-	-	-	-	-	-	-	-	-	24
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	-	-	-	-	-	-	-	-	-	60,202
CUNY Community Colleges	4,766	1,358	5,403	-	-	-	-	-	-	-	-	-	11,527
Brooklyn Court Officer Training Academy	26	-	-	-	-	-	-	-	-	-	-	-	26
TOTAL DORMITORY AUTHORITY	29,871	14,958	32,138	-	-	-	-	-	-	-	-	-	76,967
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 29,871	\$ 14,958	\$ 32,138	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 76,967

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(1)

SFS Fund	ACCOUNT TITLE	March 31, 2020	April 30, 2020	May 31, 2020	Change	June 30, 2020
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	65,800,227.45	97,766,858.24	134,432,898.68	26,225,382.99	160,658,281.67
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D28RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	43,951,035.32	57,815,418.01	75,118,379.78	14,348,688.40	89,467,068.18
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION EROA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	116,951,080.21	121,468,037.33	123,915,673.88	(2,470,155.66)	121,445,518.22
31701	YOUTH FACILITIES IMPROVEMENT	21,234,504.85	22,038,981.62	22,923,634.16	(7,297,632.15)	15,626,002.01
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	35,519,992.10	35,519,992.10	69,342,899.85	36,743,905.19	106,086,805.04
31852	HOUSING PROG FD-AFFORD HSG CORP	54,496,219.74	54,496,219.74	54,496,219.74	(12,531,429.93)	41,964,789.81
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	126,535,379.93	126,535,379.93	126,535,379.93	(30,463,284.91)	96,072,095.02
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,969,463.99	11,969,463.99	11,969,463.99	-	11,969,463.99

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2020	April 30, 2020	May 31, 2020	Change	June 30, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	-	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	712,729.64	1,085,401.74	1,311,287.77	308,013.72	1,619,301.49
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	114,555,741.54	116,938,407.92	92,539,301.33	(10,528,474.95)	82,010,826.38
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	185,174,767.22	176,680,826.46	176,660,063.00	(387,283.08)	176,272,809.92
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	4,005,578.39	4,005,578.39	4,005,578.39	3,822,695.00	7,828,273.39
32308	DASNY - OASAS ADMIN	883,591.20	883,591.20	883,591.20	848,815.00	1,732,406.20
32309	OMH-STATE FACILITIES	54,173,438.31	60,808,660.57	65,092,456.23	23,232,031.95	88,324,488.18
32310	OPWDD-STATE FACILITIES	11,021,897.38	14,521,897.38	14,521,897.38	3,309,912.48	17,831,809.86
32311	OASAS-STATE FACILITIES	119,787.38	1,619,787.38	1,619,787.38	383,829.56	1,983,616.94
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	315,985,068.12	347,418,987.52	362,271,817.31	(134,577,698.68)	227,694,118.63
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	49,661,762.50	49,697,121.10	51,055,617.57	2,459,972.73	53,515,590.30
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,225,847,992.33	1,314,347,357.88	1,401,791,694.63	(86,592,712.34)	1,315,198,982.29
STATE SPECIAL REVENUE FUNDS						
20401	DOJ-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	23,252,615.40	40,984,545.82	60,471,483.36	101,456,029.18
20818	EPIC PREMIUM ACCOUNT	-	1,091,524.07	3,985,007.11	3,339,392.01	7,324,399.12
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVR PAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,308,151.25	3,403,020.42	3,466,686.36	75,492.01	3,542,178.37
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	1,672,099.99	-	1,672,099.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	-	545,960.20	1,213,636.22	664,731.95	1,878,368.17
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,262,701.06	4,488,873.89	4,659,547.36	(718,022.67)	3,941,524.69
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	55,241,059.13	56,952,437.62	58,703,121.73	6,065,332.44	64,768,454.17
21082	NATURAL RESOURCES ACCOUNT	14,061,571.93	14,469,176.55	14,542,855.30	(57,341.98)	14,485,513.32
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	393.00	(393.00)	-
21202	HEALTH DEPT OIL SPILL	-	-	-	26,427.14	26,427.14
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	31,064,947.07	31,804,676.34	32,324,100.91	613,654.71	32,937,755.62
21452	MOBILE SOURCE	2,235,197.82	2,740,187.81	3,680,431.13	(384,618.33)	3,315,812.80
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	10,933,559.94	7,891,794.52	11,772,516.36	4,677,563.17	16,450,079.53
21907	MENTAL-HYGIENE PROGRAM	-	-	-	-	-
21909	FINANCIAL CONTROL BOARD	-	-	-	-	-
21911	RACING REGULATION ACCOUNT	1,335,184.38	244,569.09	442,995.99	263,940.74	706,936.73
21912	SU DORM INCOME REIMBURSE	2,693,505.28	2,686,191.14	2,141,870.01	690,353.49	2,832,223.50
21937	CRIMINAL JUSTICE IMPROVEMENT	-	676,884.75	-	320,127.42	320,127.42
21945	ENV LAB REF FEE	-	-	-	-	-
21959	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	408,266.90	479,173.93	532,067.69	58,309.68	590,377.37
21961	INDIRECT LAB FEE	9,336,666.85	11,306,500.18	10,849,122.68	880,122.47	11,729,245.15
21962	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	1,731,059.90	3,350,190.59
21978	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
21989	MULTI-AGENCY TRAINING PROGRAM	-	-	-	-	-
22003	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22004	REAL PROPERTY DISPOSITION	-	-	-	-	-
22006	PARKING ACCOUNT	-	-	-	-	-
22007	COURT'S SPECIAL GRANTS	-	-	-	-	-
22008	ASBESTOS SAFETY TRAINING	-	1,320.60	-	-	-
22009	CAMP SMITH BILLETING ACCOUNT	-	-	25,060.36	7,860.92	32,921.28
22032	BATAVIA SCHOOL FOR THE BLIND	-	-	-	-	-
22034	INVESTMENT SERVICES	8,613,997.26	9,287,377.43	8,503,506.34	718,228.26	9,221,734.60
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2020	April 30, 2020	May 31, 2020	Change	June 30, 2020
22039	FINANCIAL OVERSIGHT	1,783,948.96	361,716.31	639,149.03	302,223.32	941,372.35
22046	REGULATION INDIAN GAMING	89,923,974.30	91,390,863.15	92,478,418.83	1,291,017.85	93,769,436.68
22053	ROME SCHOOL FOR THE DEAF	3,364,795.49	3,899,529.89	3,370,784.91	(40,483.41)	3,330,301.50
22054	DSP-SIZED ASSETS	1,509,970.95	1,452,794.34	1,404,442.69	(132,304.53)	1,272,138.16
22055	ADMINISTRATIVE ADJUDICATION	24,341,032.54	21,194,309.92	21,077,054.35	2,195,394.14	23,272,448.49
22056	FEDERAL SALARY SHARING	-	157,464.11	282,995.82	138,172.55	422,168.37
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	4,173,497.81	5,463,783.05	6,231,236.23	541,513.36	6,772,749.59
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22083	DHCR MORTGAGE SERVICES	15,471,808.02	15,703,370.62	15,845,956.51	136,904.04	15,982,860.55
22089	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	6,004,755.07	6,678,995.21	7,534,453.81	1,112,267.93	8,646,721.74
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONITROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	120,773.86	188,307.01	71,638.69	62,205.27	133,843.96
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	529,329.61	666,863.96	765,796.61	128,011.51	893,808.12
22654	S.U. NON-RESIDENT REV. OFFSET	20,610,214.70	20,636,649.34	20,653,488.14	3,568.95	20,657,057.09
22751	LAKE GEORGE PARK TRUST FUND	-	-	29,397.23	115,467.44	144,864.67
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	14,330,277.93	14,732,258.42	14,950,648.45	248,610.08	15,199,258.53
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	27,978,018.76	28,447,423.20	30,145,470.87	5,830,509.18	35,975,980.05
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN.	18,017,394.56	18,415,940.79	18,713,031.79	407,256.84	19,120,288.63
23806	NYS SECURE CHOICE ADMIN.	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	3,015.01	5,780.79	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	378,683,666.13	407,712,395.74	440,669,389.50	91,834,206.00	532,503,595.50
FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	-	-	-	-	-
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	59,981,547.76	95,333,404.09	32,705,767.21	20,407,589.92	53,113,377.13
25200-25249	FEDERAL EDUCATION AND HUMAN SERVICES FUND	2,763,923,094.72	2,021,923,896.72	1,888,440,953.44	(907,360,537.41)	981,080,416.03
25250-25299	FEDERAL EDUCATION GRANTS FUND	18,951,886.00	38,115,373.22	22,311,328.48	9,145,420.37	31,456,748.85
25300-25399	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
31351	FEDERAL OPERATING GRANTS FUND	451,614,036.39	473,547,458.22	472,858,847.63	(1,602,357.70)	471,256,489.93
31354	MILITARY AND NAVAL AFFAIRS	-	-	-	-	-
31350-31449	DEPARTMENT OF TRANSPORTATION	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
25900-25949	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	479,651,782.98	473,545,877.17	481,206,740.17	6,153,402.75	487,360,142.92
25950	UNEMPLOYMENT INSURANCE ADMINISTRATION	104,148,389.26	104,166,096.82	107,771,660.98	4,627,906.04	112,399,567.02
26001-26049	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	8,093,853.87	16,391,506.42	19,255,414.32	6,455,783.33	25,711,197.65
	DOL EMPLOYMENT AND TRAINING GRANTS	594,280.99	356,583.50	491,634.50	4,976.00	496,610.50
		2,408,133.05	654,463.01	6,529,468.41	(1,313,619.14)	5,215,849.27
	TOTAL FEDERAL FUNDS	3,898,120,941.68	3,232,788,592.45	3,040,325,767.80	(863,461,435.84)	2,176,844,331.96
AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	221,849.53	416,637.70	464,172.57	34,753.00	498,925.57
50327	EMPIRE PLAZA GIFT SHOP	193,629.05	186,099.69	197,920.18	18,291.74	216,211.92
	TOTAL ENTERPRISE FUND	415,477.58	602,737.39	662,092.75	53,044.74	715,137.49
INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,379,069.29	1,443,389.67	1,579,639.71	(83,489.22)	1,496,350.49
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	132,126.31	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	4,485,004.08	3,991,948.40	2,981,023.93	(47,592.50)	2,933,431.43
55008	CENTRALIZED SERVICES-PASNY	12,143,321.76	13,314,095.96	12,585,307.21	19,785.22	12,585,092.43
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	21,793,727.51	16,468,905.88	15,829,669.25	584,336.55	16,414,005.80
55011	CENTRALIZED SERVICES-INSURANCE	2,841,070.34	3,189,157.47	2,469,769.81	(9,486.54)	2,460,273.27
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	233,445.30	231,183.30	230,533.30	(8,710.00)	221,823.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-MMICS	1,548,891.08	1,604,440.46	1,660,589.96	112,149.98	1,772,739.94

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2020	April 30, 2020	May 31, 2020	Change	June 30, 2020
55017	DOWNSTATE WAREHOUSE	517,219.05	546,194.95	628,870.65	82,352.82	711,223.47
55018	BUILDING ADMINISTRATION	-	599,767.75	77,436.38	(77,436.38)	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OCS ENTERPRISE CONTRACTING ACCT	55,515,435.18	57,003,952.89	62,445,110.42	2,243,818.73	64,688,929.15
55021	NYS MEDIA CENTER	7,237,402.82	7,644,944.84	7,943,434.39	585,000.23	8,528,434.62
55022	BUSINESS SERVICES CENTER	26,915,898.76	30,326,931.82	32,865,150.00	(21,893,592.40)	10,971,557.60
55052	ARCHIVES RECORD MGMT I.S.	103,251.56	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	-	213,133.60	31,793.07	92,028.78	123,821.85
55058	CULTURAL RESOURCE SURVEY	2,379,253.82	2,596,909.42	1,195,740.22	256,037.25	1,451,777.47
55059	NEIGHBOR WORK PROJECT	11,223,387.17	11,703,717.16	11,378,649.67	(105,677.05)	11,272,972.62
55060	AUTOMATIC/PRINT CHARGBACKS	-	1,523,672.29	2,955,460.96	1,520,127.14	4,475,588.10
55061	OFF NYT ACCT	2,229,110.71	1,630,366.14	1,630,366.14	(185,107.70)	1,445,258.44
55062	DATA CENTER ACCOUNT	41,893,207.51	41,893,207.51	41,893,207.51	3,016,515.02	44,909,722.53
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	49,811.96	93,323.04	118,572.83	26,908.79	145,481.62
55069	CENTRALIZED TECHNOLOGY SERVICES	67,479,142.96	43,454,381.48	44,818,059.74	33,263,740.92	78,081,800.66
55071	LABOR CONTACT CENTER ACCT	24,533.09	216,161.90	1,317,211.08	2,169,429.79	3,486,640.87
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	-	-	1,020,658.85	1,244,122.41
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,769,166.48	9,048,400.98	9,278,769.41	269,609.02	9,548,378.43
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	28,767,480.09	30,986,669.32	34,435,234.47	2,953,765.77	37,389,000.24
55300	HEALTH INSURANCE INTERNAL SERVICE	10,488,312.42	11,648,344.26	12,724,382.68	(10,698,175.95)	2,026,206.73
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,775,947.06	4,200,904.35	4,295,954.99	113,809.35	4,409,764.34
55350	CORR INDUSTRIES INTERNAL SERVICE	22,542,328.99	25,500,113.71	30,030,857.31	5,001,648.95	35,032,506.26
	TOTAL INTERNAL SERVICE FUNDS	339,943,521.52	322,335,802.82	338,866,041.92	20,222,445.42	359,088,487.34
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,843,011,599.24	\$ 5,277,786,886.28	\$ 5,222,314,986.60	\$ (837,964,452.02)	\$ 4,384,350,534.58

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part II, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year.

Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the "reported" cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2020
OPENING CASH BALANCE	\$ 86,513,214	\$ 49,126,483	\$ 42,662,065										\$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	-	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	9,481	-	-	-	-	-	-	-	-	-	9,481
Broadband Initiative	1,735,855	1,420,060	-	-	-	-	-	-	-	-	-	-	3,155,935
Downtown Revitalization	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	-	-	-	-	-	-	-	-	-	2,545,518
Health Care / Hospital Initiatives	2,586,656	3,634,367	1,761,021	-	-	-	-	-	-	-	-	-	8,002,026
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure	-	-	5,540,794	-	-	-	-	-	-	-	-	-	5,540,794
Jacob Javits Center Expansion	-	-	-	-	-	-	-	-	-	-	-	-	-
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	-	-	-	-	-	-	4,000,000
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	-	-	-	-	-	-	-	-	-	276,548
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	-	-	-	-	-	-	-	-	-
Southern Tier / Hudson Valley Farm Initiative	-	-	-	-	-	-	-	-	-	-	-	-	-
Thruway Stabilization Program	-	79,325	22,587,449	-	-	-	-	-	-	-	-	-	22,587,449
Transformative Economic Development Projects	10,440,876	-	4,746,161	-	-	-	-	-	-	-	-	-	15,266,392
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	-	-	-	-	-	-	-	-	-	17,492,991
Total Disbursements	37,386,731	6,464,418	35,025,955	-	-	-	-	-	-	-	-	-	78,877,104
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	-	-	-	-	-	-	-	-	-	78,877,104
CLOSING CASH BALANCE	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,636,110

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 90-b

(**) Pursuant to Section 93(b) of the State Finance Law

APPENDIX H

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾
FISCAL YEAR 2020-2021

	JUNE 2020			3 MONTHS ENDED JUNE 30		
	Department of Health	Other State Agencies	June	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 7,476,434.00	\$ 7,476,434.00	\$ -	\$ 7,853,041.00	\$ 7,853,041.00
State Share Medicaid	13,500,750.00	3,126,906.13	16,627,656.13	13,500,750.00	3,395,016.51	16,895,766.51
Medical Assistance (OPWDD)	-	-	-	-	-	-
Medical Assistance Administration	116,290.19	-	116,290.19	3,506,543.34	11,415,893.00	14,922,436.34
Population Health Improvement	-	-	-	229,813.18	-	229,813.18
Traumatic Brain Injury Services	-	-	-	1,796,336.38	-	1,796,336.38
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	-	-	-	-	-	-
New York Connects	-	-	-	-	-	-
Facilitated Enrollment	-	-	-	-	702,310.97	702,310.97
Emergency Medical Transportation	234,807.85	-	234,807.85	394,164.67	-	394,164.67
Managed Long-Term Care Ombudsman	-	-	-	750,000.00	-	750,000.00
Major Academic Pool	-	-	-	1,018,708.63	-	1,018,708.63
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	35,239,490.00	-	35,239,490.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	24,870.94	-	24,870.94	121,681.98	-	121,681.98
Fluoridation Systems	-	-	-	-	-	-
Expanding Caregiver Support Services	211.49	-	211.49	3,267,703.48	-	3,267,703.48
Provide Affordable Housing	568,562.75	-	568,562.75	3,507,087.51	270,415.25	3,777,502.76
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	-	-	-	(1,320,927.55)	-	(1,320,927.55)
Inpatient Services	99,307,497.48	-	99,307,497.48	133,541,944.31	-	133,541,944.31
Patient Centered Medical Homes	-	-	-	46,974,452.85	-	46,974,452.85
Outpatient & Emergency Room Services	1,184,924.04	-	1,184,924.04	38,689,079.57	-	38,689,079.57
Clinic Services	8,564,930.44	-	8,564,930.44	31,016,435.25	-	31,016,435.25
Nursing Home Services	74,910,402.58	-	74,910,402.58	193,063,978.03	-	193,063,978.03
Other Long Term Care Services	1,555,998,416.30	-	1,555,998,416.30	2,312,449,831.06	-	2,312,449,831.06
Managed Care Services	746,365,574.62	-	746,365,574.62	1,038,236,441.71	-	1,038,236,441.71
Pharmacy Services	9,874,151.51	-	9,874,151.51	31,632,050.39	-	31,632,050.39
Transportation Services	8,275,652.01	-	8,275,652.01	14,443,876.37	-	14,443,876.37
Dental Services	78,725.09	-	78,725.09	310,234.62	-	310,234.62
Non-Institutional & Other	(45,720,428.63)	-	(45,720,428.63)	145,133,849.72	141,298.00	145,275,147.72
Medical Services State Facilities	5,157,008.55	-	5,157,008.55	317,302,079.25	-	317,302,079.25
CSEA Family Health Plus Buy In	213.50	-	213.50	128,310.97	-	128,310.97
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	-	-	-	-	-	-
Indigent Care	-	-	-	-	-	-
Provider Assessments	31,308,731.07	-	31,308,731.07	750,000,000.00	-	750,000,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	156,808,703.01	-	156,808,703.01
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	128,433,000.00	-	128,433,000.00
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL⁽¹⁾	2,508,741,281.78	10,603,340.13	2,520,344,621.91	5,400,542,064.76	23,777,974.73	5,424,320,039.49
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.						
	(23,003,947.38)	-	(23,003,947.38)	(411,437,565.08)	-	(411,437,565.08)
TOTAL REPORTED MEDICAID	\$ 2,485,737,334.40	\$ 10,603,340.13	\$ 2,497,340,674.53	\$ 4,989,104,499.68	\$ 23,777,974.73	\$ 5,012,882,474.41

⁽¹⁾ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.
⁽²⁾ Source: Statewide Financial System

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)
FISCAL YEAR 2020-2021

APPENDIX I

	JUNE 2020		3 MONTHS ENDED JUNE 30			
	Department of Health	Other State Agencies	June	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 12,168,443.68	\$ -	\$ 12,168,443.68	\$ 25,147,117.45	\$ -	\$ 25,147,117.45
Medical Assistance Administration	17,589,203.00	-	17,589,203.00	17,589,203.00	132,774.00	17,721,977.00
Partnership Plan	-	-	-	16,571,789.27	-	16,571,789.27
Inpatient Services	421,974,162.94	-	421,974,162.94	1,021,146,502.74	-	1,021,146,502.74
Outpatient & Emergency Room Services	71,772,466.43	-	71,772,466.43	177,335,801.06	-	177,335,801.06
Clinic Services	53,579,566.69	-	53,579,566.69	152,581,278.70	-	152,581,278.70
Nursing Home Services	119,924,860.23	-	119,924,860.23	343,503,157.67	-	343,503,157.67
Other Long Term Care Services	(506,138,724.89)	-	(506,138,724.89)	2,356,673,237.64	-	2,356,673,237.64
Managed Care Services	3,410,325,359.69	-	3,410,325,359.69	6,397,606,513.58	-	6,397,606,513.58
Pharmacy Services	39,233,953.33	-	39,233,953.33	111,673,290.03	-	111,673,290.03
Transportation Services	36,744,669.05	-	36,744,669.05	120,443,182.97	-	120,443,182.97
Dental Services	462,295.64	-	462,295.64	2,117,283.22	-	2,117,283.22
Non-Institutional & Other	(86,431,476.84)	-	(86,431,476.84)	79,924,219.64	-	79,924,219.64
Medical Services State Facilities	32,288,719.85	-	32,288,719.85	403,842,284.83	-	403,842,284.83
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL ^(**)	3,623,493,498.80	-	3,623,493,498.80	11,226,154,861.80	132,774.00	11,226,287,635.80
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	(38,294,112.29)	-	(38,294,112.29)	353,269,881.69	-	353,269,881.69
TOTAL REPORTED MEDICAID^(***)	\$ 3,585,199,386.51	\$ -	\$ 3,585,199,386.51	\$ 11,579,424,743.49	\$ 132,774.00	\$ 11,579,557,517.49

^(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
^(**) Source: Statewide Financial System
^(***) Reported Medicaid spending does not include the Basic Health Plan.

